



Jeffrey A. Meyers  
Commissioner

Katja S. Fox  
Director

E JBB

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
*DIVISION FOR BEHAVIORAL HEALTH*

129 PLEASANT STREET, CONCORD, NH 03301  
603-271-9544 1-800-852-3345 Ext. 9544  
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

September 13, 2019

His Excellency, Governor Christopher T. Sununu  
and the Honorable Council  
State House  
Concord, New Hampshire 03301

**REQUESTED ACTION**

Authorize the Department of Health and Human Services, Division for Behavioral Health, to amend an existing agreement with the vendor listed in bold below to provide substance use disorder treatment and recovery support services, by increasing the price limitation by \$1,098,000 from \$16,957,446 to \$18,055,446 and extending the contract completion date from October 31, 2019 to September 30, 2020, effective upon Governor and Executive Council approval. 72.44% Federal Funds, 9.86% General Funds and 17.70% Other Funds.

| Vendor  | Current Amount     | Increase/ Decrease | Revised Modified Budget | G&C Approval   |
|---|--------------------|--------------------|-------------------------|--|
| Dismas Home of New Hampshire, Inc.  | \$258,750          | \$0                | \$258,750               | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23<br>A3:6/19/19 Item #29E<br>A4: 8/14/19 Item #13  |
| FIT/NHNH, Inc.  | \$2,123,396        | \$0                | \$2,123,396             | O: 07/27/18 Item #7<br>A1: 12/05/2018 Item #2<br>A2:6/19/19 Item #29E<br>A4: 8/14/19 Item #13                            |
| Grafton County New Hampshire – Department of Corrections and Alternative Sentencing | \$493,000          | \$0                | \$493,000               | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2:6/19/19 Item #29E   |
| <b>Greater Nashua Council on Alcoholism</b>   | <b>\$1,379,000</b> | <b>\$1,098,000</b> | <b>\$2,477,000</b>      | <b>O: 07/27/18 Item #7<br/>A1: 12/05/18 Item #23<br/>A2:6/19/19 Item #29E</b>  |
| Headrest  | \$680,350          | \$0                | \$680,350               | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23<br>A3:6/19/19 Item #29E<br>A4: 8/14/19 Item #13  |
| Manchester Alcoholism Rehabilitation Center   | \$5,547,750        | \$0                | \$5,547,750             | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #2<br>A3:6/19/19 Item #29E 3<br>A4: 8/28/19 Item #11 |

|  |                     |                    |                     |   |
|--|---------------------|--------------------|---------------------|---|
| Hope on Haven Hill                                       | \$775,500           | \$0                | \$775,500           | O: 07/27/18 Item #7<br>A1: 12/05/18 Item #23<br>A2: 6/19/19 Item #29E<br>A4: 8/14/19 Item #13                             |
| North Country Health Consortium                          | \$1,506,000         | \$0                | \$1,506,000         | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23<br>A3: 6/19/19 Item #29E<br>A4: 8/14/19 Item #13 |
| Phoenix Houses of New England, Inc.                      | \$2,088,750         | \$0                | \$2,088,750         | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23<br>A3: 6/19/19 Item #29E<br>A4: 8/14/19 Item #13 |
| Seacoast Youth Services                                  | \$73,200            | \$0                | \$73,200            | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7   |
| Southeastern New Hampshire Alcohol & Drug Abuse Services | \$1,992,250         | \$0                | \$1,992,250         | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23<br>A3: 6/19/19 Item #29E<br>A4: 8/14/19 Item #13 |
| The Community Council of Nashua, N.H.                    | \$23,000            | \$0                | \$23,000            | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 6/19/19 Item #29E  |
| West Central Services, Inc.                              | \$16,500            | \$0                | \$16,500            | O: 06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 6/19/19 Item #29E  |
| <b>Total</b>   | <b>\$16,957,446</b> | <b>\$1,098,000</b> | <b>\$18,055,446</b> |   |

Funds to support this request are anticipated to be available in the following accounts for State Fiscal Years 2020 and 2021 upon the availability and continued appropriation of funds in the future operating budget, with authority to adjust amounts within the price limitation and adjust encumbrances between State Fiscal Years through the Budget Office, if needed and justified.

**Please see attached financial details.**

**EXPLANATION**

The purpose of this request is provide additional substance use disorder treatment and recovery support services. These funds will be used to provide room and board payments in the amount of \$100 per day for Medicaid-covered individuals with Opioid Use Disorder (OUD) who are in residential treatment. Approximately 75 individuals will receive residential substance use disorder treatment services from October 2019 through September 2020. In addition, approximately 660 days of room and board will be funded through this amendment. The contractor will also continue to offer their existing array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, and high and low intensity residential services.

This amendment is part of the State's recently approved plan under the State Opioid Response (SOR) grant, which identified access to residential treatment as a funding priority. The Substance Abuse and Mental Health Services Administration (SAMHSA) approved New

Hampshire's proposal in September 2018. The Contractor will use these funds to ensure that individuals with OUD receive the appropriate level of residential treatment and have continued and/or expanded access to the necessary level of care, which increases their ability to achieve and maintain recovery.

These services are part of the Department's overall strategy to respond to the opioid epidemic, and other types of substance use disorders that continue to impact individuals, families, and communities in New Hampshire. Currently there are twelve (12) contractors delivering an array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, high and low intensity residential, and ambulatory and residential withdrawal management services, as well as ancillary recovery support services.

In 2018, there were 467 confirmed drug overdose deaths in NH with 6 cases still pending. These contracts will support the State's efforts to continue to respond to the opioid epidemic and substance misuse as a whole.

The Agreement includes requirements for the Contractor to submit ongoing financial reports. Financial reports will include revenue and expense by cost and program category, a Capital Expenditure Report, an Interim Balance Sheet, and a Profit and Loss statement. The Department will continue to review these reports and discuss any concerns with the Contractor on an ongoing basis, which is expected to lead to improved contract oversight and close monitoring of fiscal integrity.

Should the Governor and Executive Council not authorize this request, residential treatment programs may have to limit the availability of beds for individuals with OUD and who are on Medicaid, which would delay access to care for those individuals.

Area served: Greater Nashua Area

Source of Funds: 72.44% Federal Funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, State Opioid Response Grant, CFDA #93.788 and Substance Abuse Prevention and Treatment Block Grant, CFDA #93.959, 9.86% General Funds and 17.70% Other Funds from the Governor's Commission on Alcohol and Other Drug Abuse Prevention, Intervention and Treatment.

In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Jeffrey A. Meyers  
Commissioner

Attachment A  
Financial Details

05-85-82-820510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, GOVERNOR COMMISSION FUNDS (100% Other Funds)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health

Vendor Code: 154112-8001

PO1062982

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$9,821       |                      | \$9,821                 |
| 2020              | 102-500734    | Contracts for Prog Svc | \$3,209       |                      | \$3,209                 |
| 2021              | 102-500734    | Contracts for Prog Svc | \$963         |                      | \$963                   |
| Sub-total         |               |                        | \$13,793      | \$0                  | \$13,793                |

Dismas Home of  
NH

Vendor Code: 290061-8001

PO1062978

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$47,435      |                      | \$47,435                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$25,670      |                      | \$25,670                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$6,417       |                      | \$6,417                 |
| Sub-total         |               |                        | \$79,522      | \$0                  | \$79,522                |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Famum

Vendor Code: 177204-8005

PO1062980

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$337,288     |                      | \$337,288               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$483,229     |                      | \$483,229               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$120,968     |                      | \$120,968               |
| Sub-total         |               |                        | \$941,485     | \$0                  | \$941,485               |

FIT/NHMH

Vendor Code: 157730-8001

PO1063556

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$194,759     |                      | \$194,759               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$251,712     |                      | \$251,712               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$62,690      |                      | \$62,690                |
| Sub-total         |               |                        | \$509,361     | \$0                  | \$509,361               |

Grafton County

Vendor Code: 177397-8003

PO1062977

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$74,492      |                      | \$74,492                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$74,121      |                      | \$74,121                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$18,610      |                      | \$18,610                |
| Sub-total         |               |                        | \$167,223     | \$0                  | \$167,223               |

Greater Nashua  
Council on  
Alcoholism

Vendor Code: 186574-8001

PO1063242

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$188,372     |                      | \$188,372               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$64,495      | \$128,990            | \$193,485               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$48,772             | \$48,772                |
| Sub-total         |               |                        | \$252,867     | \$177,762            | \$430,629               |

Attachment A  
Financial Details

Headrest, Inc Vendor Code: 175226-B001

PO1062979

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$44,635      |                      | \$44,635                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$14,760      |                      | \$14,760                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$3,850       |                      | \$3,850                 |
| Sub-total         |               |                        | \$63,245      | \$0                  | \$63,245                |

Hope on Haven Hill Vendor Code: 275119-B001

PO1063243

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$39,767      |                      | \$39,767                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$31,445      |                      | \$31,445                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$8,022       |                      | \$8,022                 |
| Sub-total         |               |                        | \$79,234      | \$0                  | \$79,234                |

North Country Health Consortium Vendor Code: 158557-B001

PO1062986

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$86,676      |                      | \$86,676                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$117,118     |                      | \$117,118               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$29,199      |                      | \$29,199                |
| Sub-total         |               |                        | \$232,995     | \$0                  | \$232,995               |

Phoenix Houses of New England, Inc. Vendor Code: 177569-B001

PO1062965

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$70,246      |                      | \$70,246                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$101,395     |                      | \$101,395               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$25,349      |                      | \$25,349                |
| Sub-total         |               |                        | \$196,990     | \$0                  | \$196,990               |

Seacoast Youth Services Vendor Code: 203944-B001

PO1062984

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$22,076      |                      | \$22,076                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$22,076      | \$0                  | \$22,076                |

Southeastern NH Alcohol and Drug Services Vendor Code 155292-B001

PO1062989

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$167,409     |                      | \$167,409               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$120,647     |                      | \$120,647               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$30,182      |                      | \$30,182                |
| Sub-total         |               |                        | \$318,238     | \$0                  | \$318,238               |

West Central Services Vendor Code: 177854-B001

PO1062988

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$3,065       |                      | \$3,065                 |
| 2020              | 102-500734    | Contracts for Prog Svc | \$3,209       |                      | \$3,209                 |
| 2021              | 102-500734    | Contracts for Prog Svc | \$802         |                      | \$802                   |
| Sub-total         |               |                        | \$7,096       | \$0                  | \$7,096                 |
| Total Gov. Comm   |               |                        | \$2,884,105   | \$177,782            | \$3,061,887             |

Attachment A  
Financial Details

05-85-92-920510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCDHOL SVCS, CLINICAL SERVICES (66% Federal Funds, 34% General Funds FAIN T1010035 CFDA #3.959)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health

Vendor Code: 154112-B001

PO1062962

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$379         |                      | \$379                   |
| 2020              | 102-500734    | Contracts for Prog Svc | \$6,791       |                      | \$6,791                 |
| 2021              | 102-500734    | Contracts for Prog Svc | \$2,037       |                      | \$2,037                 |
| Sub-total         |               |                        | \$9,207       | \$0                  | \$9,207                 |

Dimas Home of  
NH

Vendor Code:290061-B001

PO1062978

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$32,565      |                      | \$32,565                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$54,330      |                      | \$54,330                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$13,583      |                      | \$13,583                |
| Sub-total         |               |                        | \$100,478     | \$0                  | \$100,478               |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Citr/Farnum

Vendor Code: 177204-B005

PO1062960

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$1,178,712   |                      | \$1,178,712             |
| 2020              | 102-500734    | Contracts for Prog Svc | \$1,022,771   |                      | \$1,022,771             |
| 2021              | 102-500734    | Contracts for Prog Svc | \$256,032     |                      | \$256,032               |
| Sub-total         |               |                        | \$2,457,515   | \$0                  | \$2,457,515             |

FIT/NHMH

Vendor Code: 157730-B001

PO1063556

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$478,841     |                      | \$478,841               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$532,758     |                      | \$532,758               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$133,110     |                      | \$133,110               |
| Sub-total         |               |                        | \$1,144,709   | \$0                  | \$1,144,709             |

Grafton County

Vendor Code: 177397-B003

PO1062977

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$129,508     |                      | \$129,508               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$156,879     |                      | \$156,879               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$39,390      |                      | \$39,390                |
| Sub-total         |               |                        | \$325,777     | \$0                  | \$325,777               |

Attachment A  
Financial Details

Greater Nashua  
Council on  
Alcoholism

Vendor Code: 166574-B001

PO1063242

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$436,628     |                      | \$436,628               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$136,505     | \$277,010            | \$413,515               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$103,228            | \$103,228               |
| Sub-total         |               |                        | \$573,133     | \$380,238            | \$953,371               |

Headrest, Inc

Vendor Code: 175226-B001

PO1062979

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$53,365      |                      | \$53,365                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$31,240      |                      | \$31,240                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$8,150       |                      | \$8,150                 |
| Sub-total         |               |                        | \$92,755      | \$0                  | \$92,755                |

Hope on Haven Hill

Vendor Code: 275119-B001

PO1063243

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$59,233      |                      | \$59,233                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$66,555      |                      | \$66,555                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$16,978      |                      | \$16,978                |
| Sub-total         |               |                        | \$142,766     | \$0                  | \$142,766               |

North Country

Health Consortium

Vendor Code: 158557-B001

PO1062986

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$281,322     |                      | \$281,322               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$247,882     |                      | \$247,882               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$61,801      |                      | \$61,801                |
| Sub-total         |               |                        | \$591,005     | \$0                  | \$591,005               |

Phoenix Houses of

New England, Inc.

Vendor Code: 177569-B001

PO1062985

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$246,754     |                      | \$246,754               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$214,805     |                      | \$214,805               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$53,651      |                      | \$53,651                |
| Sub-total         |               |                        | \$515,010     | \$0                  | \$515,010               |

Seacoast Youth

Services

Vendor Code: 203944-B001

PO1062984

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$51,124      |                      | \$51,124                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$51,124      | \$0                  | \$51,124                |

Attachment A  
Financial Details

Southeastern NH  
Alcohol and Drug  
Services

Vendor Code 155292-B001

PO1062989

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$208,591     |                      | \$208,591               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$255,353     |                      | \$255,353               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$63,838      |                      | \$63,838                |
| Sub-total         |               |                        | \$527,782     | \$0                  | \$527,782               |

West Central  
Services

Vendor Code: 177854-B001

PO1062988

| State Fiscal Year  | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|--------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019               | 102-500734    | Contracts for Prog Svc | \$915         |                      | \$915                   |
| 2020               | 102-500734    | Contracts for Prog Svc | \$6,791       |                      | \$6,791                 |
| 2021               | 102-500734    | Contracts for Prog Svc | \$1,698       |                      | \$1,698                 |
| Sub-total          |               |                        | \$9,404       | \$0                  | \$9,404                 |
| Total Clinical Svs |               |                        | \$6,540,865   | \$380,238            | \$6,920,903             |

05-65-02-020510-70400000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, STATE OPIOID RESPONSE GRANT (100% Federal Funds, FAIN H79T1081685 CFDA 93.788)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health

Vendor Code: 154112-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                  | \$0                     |

Dismas Home of  
NH

Vendor Code:TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$15,000      |                      | \$15,000                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$56,750      |                      | \$56,750                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$7,000       |                      | \$7,000                 |
| Sub-total         |               |                        | \$78,750      | \$0                  | \$78,750                |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Farmum

Vendor Code: 177204-B005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$784,000     |                      | \$784,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$1,339,750   |                      | \$1,339,750             |
| 2021              | 102-500734    | Contracts for Prog Svc | \$25,000      |                      | \$25,000                |
| Sub-total         |               |                        | \$2,148,750   | \$0                  | \$2,148,750             |

FIT/NHMH

Vendor Code: 157730-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$208,256     |                      | \$208,256               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$261,070     |                      | \$261,070               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$469,326     | \$0                  | \$469,326               |

Attachment A  
Financial Details

Grafton County Vendor Code: 177397-B003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                  | \$0                     |

Greater Nashua Council on Alcoholism Vendor Code: 166574-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$353,000     |                      | \$353,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$200,000     | \$393,000            | \$593,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$147,000            | \$147,000               |
| Sub-total         |               |                        | \$553,000     | \$540,000            | \$1,093,000             |

Headrest, Inc Vendor Code: 175226-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$150,600     |                      | \$150,600               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$368,350     |                      | \$368,350               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$5,400       |                      | \$5,400                 |
| Sub-total         |               |                        | \$524,350     | \$0                  | \$524,350               |

Hope on Haven Hill Vendor Code: 275119-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$176,000     |                      | \$176,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$352,500     |                      | \$352,500               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$25,000      |                      | \$25,000                |
| Sub-total         |               |                        | \$553,500     | \$0                  | \$553,500               |

North Country Health Consortium Vendor Code: 156557-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$217,000     |                      | \$217,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$459,000     |                      | \$459,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$6,000       |                      | \$6,000                 |
| Sub-total         |               |                        | \$682,000     | \$0                  | \$682,000               |

Phoenix Houses of New England, Inc. Vendor Code: 177589-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$438,000     |                      | \$438,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$913,750     |                      | \$913,750               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$25,000      |                      | \$25,000                |
| Sub-total         |               |                        | \$1,376,750   | \$0                  | \$1,376,750             |

Seacoast Youth Services Vendor Code: 203044-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           |                      | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                  | \$0                     |

Attachment A  
Financial Details

Southeastern NH  
Alcohol and Drug  
Services Vendor Code 155292-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount      | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|--------------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$365,000          |                    | \$365,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$756,250          |                    | \$756,250               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$25,000           |                    | \$25,000                |
| <b>Sub-total</b>  |               |                        | <b>\$1,146,250</b> | <b>\$0</b>         | <b>\$1,146,250</b>      |

West Central  
Services Vendor Code: 177854-B001

| State Fiscal Year      | Class/Account | Title                  | Budget Amount              | Increase/ Decrease        | Revised Modified Budget    |
|------------------------|---------------|------------------------|----------------------------|---------------------------|----------------------------|
| 2019                   | 102-500734    | Contracts for Prog Svc | \$0                        |                           | \$0                        |
| 2020                   | 102-500734    | Contracts for Prog Svc | \$0                        |                           | \$0                        |
| 2021                   | 102-500734    | Contracts for Prog Svc | \$0                        |                           | \$0                        |
| <b>Sub-total</b>       |               |                        | <b>\$0</b>                 | <b>\$0</b>                | <b>\$0</b>                 |
| <b>Total BOR Grant</b> |               |                        | <b><u>\$7,532,876</u></b>  | <b><u>\$540,000</u></b>   | <b><u>\$8,072,876</u></b>  |
| <b>Grand Total AS</b>  |               |                        | <b><u>\$16,857,448</u></b> | <b><u>\$1,038,000</u></b> | <b><u>\$18,055,448</u></b> |

Attachment A  
Financial Details

| Grand Total by Vendor |  |              | 2019                     | 2020                     | 2021                     | SFY20<br>Total    |                      |
|-----------------------|--|--------------|--------------------------|--------------------------|--------------------------|-------------------|----------------------|
| PO                    | Vendors  | Vendor Code: | Current Price Limitation | Current Price Limitation | Current Price Limitation | Increase/Decrease | New Price Limitation |
| PO1062982             | Community Council of Nashua-<br>Gr Nashua Comm Mental Health | 154112-B001  | \$10,000                 | \$10,000                 | \$3,000                  | \$0               | \$23,000             |
| PO1062978             | Dismas Home of NH  | 290061-B001  | \$95,000                 | \$136,750                | \$27,000                 | \$0               | \$258,750            |
| PO1062990             | Easter Seals of NH Manchester<br>Alcoholism Rehab Ctr/Famum  | 177204-B005  | \$2,300,000              | \$2,845,750              | \$402,000                | \$0               | \$5,547,750          |
| PO1063558             | FIT/NHNNH  | 157730-B001  | \$881,858                | \$1,045,540              | \$196,000                | \$0               | \$2,123,398          |
| PO1062977             | Grafton County   | 177397-B003  | \$204,000                | \$231,000                | \$58,000                 | \$0               | \$493,000            |
| PO1063242             | Greater Nashua Council on<br>Alcoholism                      | 186574-B001  | \$978,000                | \$401,000                | \$0                      | \$1,098,000       | \$2,477,000          |
| PO1062979             | Headrest, Inc  | 175229-B001  | \$248,800                | \$414,350                | \$17,400                 | \$0               | \$680,350            |
| PO1063243             | Hope on Haven Hill   | 275119-B001  | \$275,000                | \$450,500                | \$50,000                 | \$0               | \$775,500            |
| PO1062986             | North Country Health<br>Consortium                           | 158557-B001  | \$585,000                | \$824,000                | \$97,000                 | \$0               | \$1,506,000          |
| PO1062985             | Phoenix Houses of New<br>England, Inc.                       | 177589-B001  | \$755,000                | \$1,229,750              | \$104,000                | \$0               | \$2,088,750          |
| PO1062984             | Seacoast Youth Services                                      | 203944-B001  | \$73,200                 | \$0                      | \$0                      | \$0               | \$73,200             |
| PO1062989             | Southeastern NH Alcohol and<br>Drug Services                 | 155292-B001  | \$741,000                | \$1,132,250              | \$119,000                | \$0               | \$1,992,250          |
| PO1062988             | West Central Services  | 177654-B001  | \$4,000                  | \$10,000                 | \$2,500                  | \$0               | \$16,500             |
|                       | Total  |              | \$7,150,858              | \$8,730,890              | \$1,075,900              | \$1,098,000       | \$18,055,448         |

**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**



**State of New Hampshire  
Department of Health and Human Services  
Amendment #3 to the Substance Use Disorder Treatment and  
Recovery Support Services**

This 3rd Amendment to the Substance Use Disorder Treatment and Recovery Support Services contract (hereinafter referred to as "Amendment #3") is by and between the State of New Hampshire, Department of Health and Human Services (hereinafter referred to as the "State" or "Department") and Greater Nashua Council on Alcoholism, (hereinafter referred to as "the Contractor"), a nonprofit corporation with a place of business at 615 Amherst Street, Nashua, NH 03063.

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on July 27, 2018 (Item #7) and amended on December 5, 2018 (#23), and June 26, 2019 (Item #29E), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, the State and the Contractor have agreed to make changes to the scope of work, payment schedules or terms and conditions of the contract; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 18, Exhibit C-1, Revisions to General Provisions Paragraph 3, the Contract may be amended and extended upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to extend the term of the agreement and increase the price limitation to support continued delivery of these services; and

WHEREAS, all terms and conditions of the Contract and prior amendments not inconsistent with this Amendment #3 remain in full force and effect; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37 General Provisions, Block 1.7, Completion Date, to read:  
September 30, 2020.
2. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:  
\$2,477,000.
3. Revise Exhibit B, Amendment #2 Methods and Conditions Precedent to Payment by replacing it in its entirety with Exhibit B, Amendment #3 Methods and Conditions Precedent to Payment, which is attached hereto and incorporated by reference.
4. Revise Section 8, Maintenance of Fiscal Integrity only, of Exhibit A, Amendment #2, Scope of Services, by replacing it in its entirety with the following:
  8. Maintenance of Fiscal Integrity
    - 8.1. In order to enable DHHS to evaluate the Contractor's fiscal integrity, the Contractor agrees to submit to DHHS monthly, the Balance Sheet, Profit and Loss Statement (total organization and program-level), and Cash Flow Statement for the Contractor. Program-level Profit and Loss Statement shall include all revenue sources and all related expenditures for that program. The program-level Profit and Loss Statement shall include a budget column allowing for budget to actual analysis. Outside of the program-level Profit and Loss Statement and budget to actual analysis, all other statements shall be reflective of the entire Partnership for Successful Living organization and shall be submitted on the same day the reports are submitted to the

*[Handwritten Signature]*  
9/10/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

Board, but no later than the fourth Wednesday of the month. Additionally, the contractor will provide interim profit and loss statements for every program area, reported as of the 20th of the month, by the last day of every month. The Contractor will be evaluated on the following:

**8.1.1. Days of Cash on Hand:**

8.1.1.1. Definition: The days of operating expenses that can be covered by the unrestricted cash on hand.

8.1.1.2. Formula: Cash, cash equivalents and short-term investments divided by total operating expenditures, less depreciation/amortization and in-kind plus principal payments on debt divided by days in the reporting period. The short-term investments as used above must mature within three (3) months and should not include common stock. Any amount of cash from a line of credit should be broken out separately.

8.1.1.3. Performance Standard: The Contractor shall have enough cash and cash equivalents to cover expenditures for a minimum of thirty (30) calendar days with no variance allowed.

**8.1.2. Current Ratio:**

8.1.2.1. Definition: A measure of the Contractor's total current assets available to cover the cost of current liabilities.

8.1.2.2. Formula: Total current assets divided by total current liabilities.

8.1.2.3. Performance Standard: The Contractor shall maintain a minimum current ratio of 1.5:1 with 10% variance allowed.

**8.1.3. Debt Service Coverage Ratio:**

8.1.3.1. Rationale: This ratio illustrates the Contractor's ability to cover the cost of its current portion of its long-term debt.

8.1.3.2. Definition: The ratio of Net Income to the year to date debt service.

8.1.3.3. Formula: Net Income plus Depreciation/Amortization Expense plus Interest Expense divided by year to date debt service (principal and interest) over the next twelve (12) months.

8.1.3.4. Source of Data: The Contractor's Monthly Financial Statements identifying current portion of long-term debt payments (principal and interest).

8.1.3.5. Performance Standard: The Contractor shall maintain a minimum standard of 1.2:1 with no variance allowed.

PK  
9/16/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

---

- 8.1.4. Net Assets to Total Assets:
  - 8.1.4.1. Rationale: This ratio is an indication of the Contractor's ability to cover its liabilities.
  - 8.1.4.2. Definition: The ratio of the Contractor's net assets to total assets.
  - 8.1.4.3. Formula: Net assets (total assets less total liabilities) divided by total assets.
  - 8.1.4.4. Source of Data: The Contractor's Monthly Financial Statements.
  - 8.1.4.5. Performance Standard: The Contractor shall maintain a minimum ratio of .30:1, with a 20% variance allowed.
- 8.1.5. Total Lines of Credit
  - 8.1.5.1. The contractor will provide a listing of every line of credit and amount outstanding for each line.
  - 8.1.5.2. The contractor will report on any new borrowing activities.
  - 8.1.5.3. The contractor will report on any instances of non-compliance with any loan covenant or agreement.
- 8.2. In the event that the Contractor does not meet either:
  - 8.2.1. The standard regarding Days of Cash on Hand and the standard regarding Current Ratio for two (2) consecutive months; or
  - 8.2.2. Three (3) or more of any of the Maintenance of Fiscal Integrity standards for three (3) consecutive months, or
  - 8.2.3. Does not meet the reporting timeframe, then
- 8.3. The Department may:
  - 8.3.1. Require that the Contractor meet with Department staff to explain the reasons that the Contractor has not met the standards.
  - 8.3.2. Require the Contractor to submit a comprehensive corrective action plan within thirty (30) calendar days of notification that 8.2.1 and/or 8.2.2 have not been met.
  - 8.3.3. Terminate the contract.
    - 8.3.3.1. If a corrective action plan is required, the Contractor shall update the corrective action plan at least every thirty (30) calendar days until compliance is achieved.
    - 8.3.3.2. The Contractor shall provide additional information to assure continued access to services as requested by the Department. The Contractor shall provide requested information in a timeframe agreed upon by both parties.

*[Handwritten Signature]*  
*[Handwritten Date: 9/16/19]*



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

---

- 8.4. The Contractor shall inform the Department by phone and by email within twenty-four (24) hours of when any key Contractor staff learn of any actual or likely litigation, investigation, complaint, claim, or transaction that may reasonably be considered to have a material financial impact on and/or materially impact or impair the ability of the Contractor to perform under this Agreement with the Department.
- 8.5. The monthly Balance Sheet, Profit & Loss Statement, Cash Flow Statement, and all other financial reports shall be based on the accrual method of accounting and include the Contractor's total revenues and expenditures whether or not generated by or resulting from funds provided pursuant to this Agreement.
- 8.6. The Contractor shall inform the Department by phone and by email within five business days when any Executive Management, Board Officers, or Program Managers for DHHS contracts submits a resignation or leaves for any other reason.

*PK*  
9/16/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

This amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire  
Department of Health and Human Services

9/16/19  
Date

[Signature]  
Name: Katja S. Fox  
Title: Director

Greater Nashua Council on Alcoholism

9/16/19  
Date

[Signature]  
Name:  
Title:

Acknowledgement of Contractor's signature:

State of NH, County of Hillsborough on September 16, 2019 before the undersigned officer, personally appeared the person identified directly above, or satisfactorily proven to be the person whose name is signed above, and acknowledged that s/he executed this document in the capacity indicated above.

[Signature]  
Signature of Notary Public or Justice of the Peace

Wendy Nichols  
Name and Title of Notary or Justice of the Peace

My Commission Expires: 6/5/19





**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

Date 9/16/19

Name: *C. Pinos*  
Title: CATHERINE PINOS  
Attorney

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: \_\_\_\_\_ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

Date \_\_\_\_\_

Name: \_\_\_\_\_  
Title: \_\_\_\_\_

# State of New Hampshire

## Department of State

### CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that GREATER NASHUA COUNCIL ON ALCOHOLISM is a New Hampshire Nonprofit Corporation registered to transact business in New Hampshire on December 16, 1983. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 74349

Certificate Number: 0004516977



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 17th day of May A.D. 2019.

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner  
Secretary of State

**CERTIFICATE OF VOTE**

1. Ed McDonough, Asst. Treasurer, do hereby certify that:  
(Name of the elected Officer of the Agency; cannot be contract signatory)

1. I am a duly elected Officer of Greater Nashua Council on Alcoholism  
(Agency Name)

2. The following is a true copy of the resolution duly adopted at a meeting of the Board of Directors of the Agency duly held on 9/16/19:  
(Date)

**RESOLVED:** That the President + CEO  
(Title of Contract Signatory)

is hereby authorized on behalf of this Agency to enter into the said contract with the State and to execute any and all documents, agreements and other instruments, and any amendments, revisions, or modifications thereto, as he/she may deem necessary, desirable or appropriate.

3. The forgoing resolutions have not been amended or revoked, and remain in full force and effect as of the 16<sup>th</sup> day of September, 2019.  
(Date Amendment Signed)

4. Peter Kelleher is the duly elected President + CEO  
(Name of Contract Signatory) (Title of Contract Signatory)

of the Agency.

[Signature]  
(Signature of the Elected Officer)

STATE OF NEW HAMPSHIRE

County of Hillsborough

The forgoing instrument was acknowledged before me this 16<sup>th</sup> day of September, 2019.

By Ed McDonough  
(Name of Elected Officer of the Agency)

Wendy Nichols  
(Notary Public/Justice of the Peace)

(NOTARY SEAL)

Commission Expires: 6/5/24





AGENCY CUSTOMER ID: HARHO

LOC #: \_\_\_\_\_



### ADDITIONAL REMARKS SCHEDULE

Page 1 of 1

|   |           |   |  |
|---|-----------|---|--|
| AGENCY<br>Eaton & Berube Insurance Agency, Inc. |           | NAMED INSURED<br>Harbor Homes, Inc.<br>77 Northeastern Boulevard<br>Nashua NH 03062 |  |
| POLICY NUMBER                                   |           | EFFECTIVE DATE:   |  |
| CARRIER   | NAIC CODE |   |  |

**ADDITIONAL REMARKS**

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM.  
FORM NUMBER: 25 FORM TITLE: CERTIFICATE OF LIABILITY INSURANCE

HH Ownership, Inc.  
Greater Nashua Council on Alcoholism dba Keystone Hall -FID# 222558859  
Boulder Point, LLC - Map 213/Lot 5.3, Boulder Point Drive, Plymouth, NH 03264

615 Amherst Street  
Nashua, NH 03063



Ph. (603) 881-4848  
Fax (603) 598-3644

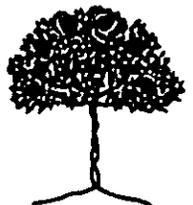
[www.keystonehall.org](http://www.keystonehall.org)

**Mission Statement:** To provide comprehensive substance use treatment and recovery services to individuals and families in a supportive environment while providing a strategic framework for substance use prevention in New Hampshire.

A member of the  
**Partnership for Successful Living**

A collaboration of six affiliated not-for-profit organizations providing southern New Hampshire's most vulnerable community members with access to housing, health care, education, employment and supportive services.  
[www.nhpartnership.org](http://www.nhpartnership.org)

Harbor Homes • Healthy at Home • Keystone Hall • Millard Regional Counseling Services  
• Southern NH HIV/AIDS Task Force • Welcoming Light



**GREATER NASHUA  
COUNCIL ON ALCOHOLISM**

**Financial Statements**

**For the Year Ended June 30, 2018**

**(With Independent Auditors' Report Thereon)**

TABLE OF CONTENTS

|  | <u>Page</u> |
|--|-------------|
| <b>INDEPENDENT AUDITORS' REPORT</b>                  | <b>1</b>    |
| <b>BASIC FINANCIAL STATEMENTS:</b>                   |             |
| Statement of Financial Position                      | 4           |
| Statement of Activities                              | 5           |
| Statement of Functional Expenses                     | 6           |
| Statement of Cash Flows                              | 7           |
| Notes to the Financial Statements                    | 8           |
| <b>SUPPLEMENTARY INFORMATION:</b>                    |             |
| Schedule of Program Services Expenses – Current Year | 19          |
| Schedule of Program Services Expenses – Prior Year   | 21          |

## INDEPENDENT AUDITORS' REPORT

To the Board of Directors of  
Greater Nashua Council on Alcoholism

### **Report on the Financial Statements**

We have audited the accompanying financial statements of Greater Nashua Council on Alcoholism, which comprise the statement of financial position as of June 30, 2018, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and

fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### **Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Greater Nashua Council on Alcoholism as of June 30, 2018, and the changes in net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

### **Report on Summarized Comparative Information**

We have previously audited Greater Nashua Council on Alcoholism's fiscal year 2017 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated January 10, 2018. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2017 is consistent, in all material respects, with the audited financial statements from which it has been derived.

### **Other Matters**

#### *Other Information*

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The supplementary information is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

**Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated December 20, 2018 on our consideration of Greater Nashua Council on Alcoholism's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of Greater Nashua Council on Alcoholism's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Greater Nashua Council on Alcoholism's internal control over financial reporting and compliance.

*Melanson Heath*

December 20, 2018

**GREATER NASHUA COUNCIL ON ALCOHOLISM**

Statement of Financial Position

June 30, 2018

(With Comparative Totals as of June 30, 2017)

**ASSETS**

|  | <u>2018</u>         | <u>2017</u>         |
|--|---------------------|---------------------|
| <b>Current Assets:</b>                                     |                     |                     |
| Cash and cash equivalents                                  | \$ 315,659          | \$ 252,981          |
| Receivables, net   | 555,874             | 1,318,521           |
| Promises to give   | -                   | 3,000               |
| Prepaid expenses   | -                   | 5,088               |
| <b>Total Current Assets</b>                                | <u>871,533</u>      | <u>1,579,590</u>    |
| <b>Noncurrent Assets:</b>                                  |                     |                     |
| Property and equipment, net of<br>accumulated depreciation | 5,605,937           | 5,686,027           |
| Restricted cash  | 29,752              | 38,482              |
| Due from related organizations                             | 238,993             | -                   |
| <b>Total Noncurrent Assets</b>                             | <u>5,874,682</u>    | <u>5,724,509</u>    |
| <b>Total Assets</b>  | <u>\$ 6,746,215</u> | <u>\$ 7,304,099</u> |

**LIABILITIES AND NET ASSETS**

|   |                     |                     |
|---|---------------------|---------------------|
| <b>Current Liabilities:</b>                         |                     |                     |
| Accounts payable                                    | \$ 45,129           | \$ 76,165           |
| Accrued expenses and other liabilities              | 214,127             | 225,962             |
| Due to related organizations                        | -                   | 399,615             |
| Line of credit                                      | 348,779             | 128,779             |
| Current portion of bonds and mortgages payable, net | 128,006             | 123,992             |
| <b>Total Current Liabilities</b>                    | <u>736,041</u>      | <u>954,513</u>      |
| <b>Long-Term Liabilities:</b>                       |                     |                     |
| Bonds and mortgages payable, long term, net         | 3,606,761           | 3,734,588           |
| Mortgages payable, deferred                         | 1,885,000           | 1,885,000           |
| <b>Total Long-Term Liabilities</b>                  | <u>5,491,761</u>    | <u>5,619,588</u>    |
| <b>Total Liabilities</b>                            | 6,227,802           | 6,574,101           |
| <b>Unrestricted Net Assets</b>                      | <u>518,413</u>      | <u>729,998</u>      |
| <b>Total Liabilities and Net Assets</b>             | <u>\$ 6,746,215</u> | <u>\$ 7,304,099</u> |

The accompanying notes are an integral part of these financial statements.

**GREATER NASHUA COUNCIL ON ALCOHOLISM**

**Statement of Activities**

**For the Year Ended June 30, 2018**

**(With Comparative Totals for the Year Ended June 30, 2017)**

| <b>Support and Revenue:</b>                       | <u><b>2018</b></u>       | <u><b>2017</b></u>       |
|---|--------------------------|--------------------------|
| <b>Support:</b>                                   |                          |                          |
| Bureau of Drug and Alcohol grants                 | \$ 3,472,339             | \$ 3,806,540             |
| Other federal grants                              | 20,278                   | 130,017                  |
| State of New Hampshire                            | -                        | 59,000                   |
| Contributions                                     | 2,231                    | 30,741                   |
| In-kind donations                                 | -                        | 57,225                   |
| <b>Revenue:</b>                                   |                          |                          |
| <b>Client services:</b>                           |                          |                          |
| Medicaid  | 1,968,601                | 1,550,194                |
| Third party insurance                             | 23,082                   | 65,060                   |
| Client billings, net                              | 28,394                   | 34,465                   |
| Contracted services                               | 298,483                  | 366,645                  |
| Other income                                      | 6,885                    | 13,723                   |
| Interest income                                   | 50                       | 620                      |
|   | <u>5,820,343</u>         | <u>6,114,230</u>         |
| <b>Total Support and Revenue</b>                  |                          |                          |
|   | <b>5,820,343</b>         | <b>6,114,230</b>         |
| <b>Expenses:</b>                                  |                          |                          |
| Program services                                  | 5,249,192                | 4,767,612                |
| General and administrative                        | 700,477                  | 633,487                  |
| Fundraising                                       | 82,259                   | 112,042                  |
|   | <u>6,031,928</u>         | <u>5,513,141</u>         |
| <b>Total Expenses</b>                             |                          |                          |
|   | <b>6,031,928</b>         | <b>5,513,141</b>         |
| <b>Change in Net Assets</b>                       | <b>(211,585)</b>         | <b>601,089</b>           |
| <b>Unrestricted Net Assets, Beginning of Year</b> | <u><b>729,998</b></u>    | <u><b>128,909</b></u>    |
| <b>Unrestricted Net Assets, End of Year</b>       | <u><b>\$ 518,413</b></u> | <u><b>\$ 729,998</b></u> |

The accompanying notes are an integral part of these financial statements.

**GREATER NASHUA COUNCIL ON ALCOHOLISM**

**Statement of Functional Expenses**

**For the Year Ended June 30, 2018**

**(With Comparative Totals for the Year Ended June 30, 2017)**

|                               | <u>Program<br/>Services</u> | <u>General and<br/>Administrative</u> | <u>Fundraising</u> | <u>2018<br/>Total</u> | <u>2017<br/>Total</u> |
|-------------------------------|-----------------------------|---------------------------------------|--------------------|-----------------------|-----------------------|
| Advertising                   | \$ 66,637                   | \$ 935                                | \$ -               | \$ 67,572             | \$ 1,397              |
| Accounting fees               | -                           | 12,432                                | -                  | 12,432                | 11,309                |
| Client services               | 391,779                     | 50                                    | -                  | 391,829               | 139,271               |
| Client transportation         | 14,838                      | -                                     | -                  | 14,838                | 7,369                 |
| Contract services             | 449,942                     | 26,407                                | 336                | 476,685               | 658,354               |
| Depreciation and amortization | 200,420                     | 33,017                                | -                  | 233,437               | 220,102               |
| Employee benefits             | 367,430                     | 71,622                                | 4,962              | 444,014               | 362,923               |
| Food                          | 102,593                     | 487                                   | -                  | 103,080               | 98,506                |
| Information technology        | 2,803                       | 10,223                                | 274                | 13,300                | 84,187                |
| Insurance                     | 23,862                      | 1,249                                 | -                  | 25,111                | 20,873                |
| Interest                      | 161,328                     | 8,179                                 | -                  | 169,507               | 156,922               |
| Legal fees                    | 2,675                       | 249                                   | -                  | 2,924                 | 5,081                 |
| Miscellaneous                 | 19,535                      | 1,046                                 | -                  | 20,581                | 35,243                |
| Office supplies               | 37,545                      | 953                                   | -                  | 38,498                | 35,641                |
| Operating and maintenance     | 61,850                      | 2,987                                 | -                  | 64,837                | 74,177                |
| Operational supplies          | 31,378                      | 143                                   | -                  | 31,521                | 24,217                |
| Payroll taxes                 | 221,878                     | 40,421                                | 5,540              | 267,839               | 206,497               |
| Professional fees             | 9,634                       | 625                                   | -                  | 10,259                | 2,121                 |
| Rent                          | 90,093                      | 4,942                                 | -                  | 95,035                | 186,064               |
| Salaries and wages            | 2,838,067                   | 475,817                               | 71,102             | 3,382,986             | 2,993,248             |
| Snow removal                  | 9,057                       | 363                                   | -                  | 9,420                 | 7,085                 |
| Staff development             | 19,355                      | 3,520                                 | -                  | 22,875                | 44,688                |
| Staff travel                  | 16,027                      | 1,296                                 | 45                 | 17,368                | 15,513                |
| Telephone                     | 18,905                      | 753                                   | -                  | 19,658                | 18,360                |
| Utilities                     | 76,014                      | 2,761                                 | -                  | 78,775                | 78,796                |
| Vehicle expenses              | 17,749                      | -                                     | -                  | 17,749                | 25,217                |
| <b>Total</b>                  | <b>\$ 5,249,192</b>         | <b>\$ 700,477</b>                     | <b>\$ 82,259</b>   | <b>\$ 6,031,928</b>   | <b>\$ 5,513,141</b>   |

The accompanying notes are an integral part of these financial statements.

GREATER NASHUA COUNCIL ON ALCOHOLISM

Statement of Cash Flows

For the Year Ended June 30, 2018

(With Comparative Totals as of June 30, 2017)

|  | <u>2018</u>              | <u>2017</u>              |
|--|--------------------------|--------------------------|
| <b>Cash Flows From Operating Activities:</b>   |                          |                          |
| Change in net assets   | \$ (211,585)             | \$ 601,089               |
| Adjustments to reconcile change in net assets to net cash provided (used) by operating activities: |                          |                          |
| Depreciation and amortization  | 233,437                  | 220,102                  |
| Gain on disposal of fixed assets   | -                        | (2,180)                  |
| (Increase) Decrease In:  |                          |                          |
| Receivables  | 762,647                  | (795,240)                |
| Promises to give   | 3,000                    | (3,000)                  |
| Prepaid expenses   | 6,567                    | 2,869                    |
| Increase (Decrease) In:  |                          |                          |
| Accounts payable   | (31,036)                 | 27,365                   |
| Accrued expenses and other liabilities   | <u>(13,314)</u>          | <u>60,583</u>            |
| <b>Net Cash Provided By Operating Activities</b>   | <b>749,716</b>           | <b>111,388</b>           |
| <b>Cash Flow From Investing Activities:</b>  |                          |                          |
| Purchase of fixed assets   | (150,491)                | (214,154)                |
| Proceeds from sale of fixed assets   | <u>-</u>                 | <u>2,180</u>             |
| <b>Net Cash Used By Investing Activities</b>   | <b>(150,491)</b>         | <b>(211,974)</b>         |
| <b>Cash Flows From Financing Activities:</b>   |                          |                          |
| Receipts from related organizations  | 1,074,901                | 1,382,697                |
| Payments to related organizations  | (1,713,509)              | (1,088,233)              |
| Proceeds from line of credit   | 520,000                  | 221,377                  |
| Payments to line of credit   | (300,000)                | (275,000)                |
| Proceeds from long term debt   | -                        | 200,000                  |
| Principal payments on long term debt   | <u>(126,669)</u>         | <u>(97,657)</u>          |
| <b>Net Cash Provided (Used) By Financing Activities</b>  | <b>(545,277)</b>         | <b>323,184</b>           |
| <b>Net Increase</b>  | <b>53,948</b>            | <b>222,598</b>           |
| <b>Cash, Cash Equivalents, and Restricted Cash, Beginning of Year</b>                              | <b><u>291,463</u></b>    | <b><u>68,865</u></b>     |
| <b>Cash, Cash Equivalents, and Restricted Cash, End of Year</b>                                    | <b>\$ <u>345,411</u></b> | <b>\$ <u>291,463</u></b> |
| <b>Supplemental disclosures of cash flow information:</b>  |                          |                          |
| Interest paid  | <b>\$ <u>169,507</u></b> | <b>\$ <u>156,922</u></b> |

The accompanying notes are an integral part of these financial statements.

## GREATER NASHUA COUNCIL ON ALCOHOLISM

### Notes to the Financial Statements

1. **Organization:**

Greater Nashua Council on Alcoholism (the Organization) is a nonprofit organization providing recovery support services which are evidence-based, gender-specific, and culturally competent. Programs include residential, transitional housing, outpatient, intensive outpatient, family-based substance abuse services, pregnant and parenting women and children, and offender re-entry services initiative.

2. **Summary of Significant Accounting Policies:**

***Comparative Financial Information***

The accompanying financial statements include certain prior-year summarized comparative information in total, but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America (GAAP). Accordingly, such information should be read in conjunction with the audited financial statements for the year ended June 30, 2017, from which the summarized information was derived.

***Cash and Cash Equivalents***

All cash and highly liquid financial instruments with original maturities of three months or less, and which are neither held for nor restricted by donors for long-term purposes, are considered to be cash and cash equivalents.

***Receivables, Net***

Receivables, net consist primarily of noninterest-bearing amounts due for services and programs. The allowance for uncollectable receivables is based on historical experience, an assessment of economic conditions, and a review of subsequent collections. Receivables are written off when deemed uncollectable.

***Property and Equipment***

Property and equipment is reported in the Statement of Financial Position at cost, if purchased, and at fair value at the date of donation, if donated. Property and equipment is capitalized if it has a cost of \$2,500 or more and a useful life when acquired of more than one year. Repairs and maintenance that do not significantly increase the useful life of the asset are expensed as

incurred. Depreciation is computed using the straight-line method over the estimated useful lives of the assets, as follows:

|                           |             |
|---------------------------|-------------|
| Land improvements         | 15 years    |
| Building and improvements | 30 years    |
| Equipment                 | 5 years     |
| Furniture and fixtures    | 5 - 7 years |
| Software                  | 3 years     |
| Vehicles                  | 5 years     |

Property and equipment is reviewed for impairment when a significant change in the asset's use or another indicator of possible impairment is present. No impairment losses were recognized in the financial statements in the current period.

#### ***Net Assets***

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified and reported as follows:

*Unrestricted Net Assets* – Net assets available for use in general operations.

*Temporarily Restricted Net Assets* – Net assets subject to donor restrictions that may or will be met by expenditures or actions and/or the passage of time. Contributions are reported as temporarily restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the Statement of Activities as net assets released from restrictions.

*Permanently Restricted Net Assets* – Net assets whose use is limited by donor-imposed restrictions that neither expire by the passage of time nor can be fulfilled or otherwise removed.

The Organization has only unrestricted net assets.

#### ***Revenue and Revenue Recognition***

Revenue is recognized when earned. Program service fees and payments under cost-reimbursable contracts received in advance are deferred to the applicable period in which the related services are performed or expenditures are incurred, respectively.

### ***Accounting for Contributions***

Contributions are recognized when received. All contributions are reported as increases in unrestricted net assets unless use of the contributed assets is specifically restricted by the donor. Amounts received that are restricted by the donor to use in future periods or for specific purposes are reported as increases in either temporarily restricted or permanently restricted net assets, consistent with the nature of the restriction. Unconditional promises with payments due in future years have an implied restriction to be used in the year the payment is due and, therefore, are reported as temporarily restricted until the payment is due unless the contribution is clearly intended to support activities of the current fiscal year or is received with permanent restrictions. Conditional promises, such as matching grants, are not recognized until they become unconditional, that is, until all conditions on which they depend are substantially met.

### ***Gifts-in-Kind Contributions***

The Organization periodically receives contributions in a form other than cash or investments. Contributed property and equipment is recognized as an asset at its estimated fair value at the date of gift, provided that the value of the asset and its estimated useful life meets the Organization's capitalization policy. Donated use of facilities is reported as contributions and as expenses at the estimated fair value of similar space for rent under similar conditions. If the use of the space is promised unconditionally for a period greater than one year, the contribution is reported as a contribution and an unconditional promise to give at the date of gift, and the expense is reported over the term of use. Donated supplies are recorded as contributions at the date of gift and as expenses when the donated items are placed into service or distributed.

The Organization benefits from personal services provided by a substantial number of volunteers. Those volunteers have donated significant amounts of time and services in the Organization's program operations and in its fund-raising campaigns. However, the majority of the contributed services do not meet the criteria for recognition in financial statements. Generally Accepted Accounting Principles allow recognition of contributed services only if (a) the services create or enhance nonfinancial assets or (b) the services would have been purchased if not provided by contribution, require specialized skills, and are provided by individuals possessing those skills.

### ***Grant Revenue***

Grant revenue is recognized when the qualifying costs are incurred for cost-reimbursement grants or contracts or when a unit of service is provided for performance grants. Grant revenue from federal agencies is subject to independent audit under the Office of Management and Budget's, *Uniform Grant Guidance*, and review by grantor agencies. The review could result in the dis-

allowance of expenditures under the terms of the grant or reductions of future grant funds. Based on prior experience, the Organization's management believes that costs ultimately disallowed, if any, would not materially affect the financial position of the Organization.

### ***Functional Allocation of Expenses***

The costs of program and supporting services activities have been summarized on a functional basis in the Statement of Activities. The Statement of Functional Expenses presents the natural classification detail of expenses by function. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

### ***Income Taxes***

Greater Nashua Council on Alcoholism has been recognized by the Internal Revenue Service (IRS) as exempt from federal income taxes under Internal Revenue Code (IRC) Section 501(a) as an organization described in IRC Section 501(c)(3), qualifies for charitable contribution deductions, and has been determined not to be a private foundation. The Organization is annually required to file a Return of Organization Exempt from Income Tax (Form 990) with the IRS. In addition, the Organization is subject to income tax on net income that is derived from business activities that are unrelated to its exempt purpose. In fiscal year 2018, the Organization was not subject to unrelated business income tax and did not file an Exempt Organization Business Income Tax Return (Form 990-T) with the IRS.

### ***Estimates***

The preparation of financial statements in conformity with Generally Accepted Accounting Principles requires estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Accordingly, actual results may differ from those estimates.

### ***Financial Instruments and Credit Risk***

Deposit concentration risk is managed by placing cash with financial institutions believed to be creditworthy. At times, amounts on deposit may exceed insured limits. To date, no losses have been experienced in any of these accounts. Credit risk associated with receivables is considered to be limited due to high historical collection rates.

### ***Fair Value Measurements and Disclosures***

Certain liabilities are reported at fair value in the financial statements. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction in the principal, or most advantageous, market at the measurement date under current market conditions regardless of whether that price is directly observable or estimated using another valuation technique. Inputs used to determine fair value refer broadly to the assumptions that market participants would use in pricing the asset or liability, including assumptions about risk. Inputs may be observable or unobservable. Observable inputs are inputs that reflect the assumptions market participants would use in pricing the asset or liability based on market data obtained from sources independent of the reporting entity. Unobservable inputs are inputs that reflect the reporting entity's own assumptions about the assumptions market participants would use in pricing the asset or liability based on the best information available. A three-tier hierarchy categorizes the inputs as follows:

Level 1 – Quoted prices (unadjusted) in active markets for identical assets or liabilities that are accessible at the measurement date.

Level 2 – Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly. These include quoted prices for similar assets or liabilities in active markets, quoted prices for identical or similar assets or liabilities in markets that are not active, inputs other than quoted prices that are observable for the asset or liability, and market-corroborated inputs.

Level 3 – Unobservable inputs for the asset or liability. In these situations, inputs are developed using the best information available in the circumstances.

When available, the Organization measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. However, Level 1 inputs are not available for many of the assets and liabilities that the Organization is required to measure at fair value (for example, unconditional contributions receivable and in-kind contributions).

The primary uses of fair value measures in the Organization's financial statements are:

- Initial measurement of noncash gifts, including gifts of investment assets and unconditional contributions receivable.
- Recurring measurement of due (to) from related organizations – Level 3.

- Recurring measurement of line of credit – Level 2.
- Recurring measurement of bonds and mortgages payable – Level 2.

The carrying amounts of cash, cash equivalents, and restricted cash, receivables, accounts payable, and accrued expenses and other liabilities approximate fair value due to the short-term nature of the items.

**3. Receivables, Net:**

Receivables at June 30, 2018 consist of the following:

|          | <u>Receivable</u> | <u>Allowance</u>  | <u>Net</u>        |
|----------|-------------------|-------------------|-------------------|
| Grants   | \$ 342,165        | \$ -              | \$ 342,165        |
| Medicaid | 211,274           | (9,268)           | 202,006           |
| Other    | 11,703            | -                 | 11,703            |
| Total    | <u>\$ 565,142</u> | <u>\$ (9,268)</u> | <u>\$ 555,874</u> |

**4. Property, Equipment and Depreciation:**

A summary of the major components of property and equipment is presented below:

|                                | <u>2018</u>         | <u>2017/</u>        |
|--------------------------------|---------------------|---------------------|
| Land                           | \$ 742,500          | \$ 742,500          |
| Construction in progress       | 241,363             | 143,865             |
| Land improvements              | 6,644               | 1,743               |
| Building                       | 5,646,560           | 5,646,560           |
| Building improvements          | 90,526              | 45,813              |
| Computer equipment             | 25,233              | 21,854              |
| Furniture and fixtures         | 38,711              | 38,711              |
| Software                       | 57,594              | 57,594              |
| Vehicles                       | <u>55,838</u>       | <u>55,838</u>       |
| Subtotal                       | 6,904,969           | 6,754,478           |
| Less: accumulated depreciation | <u>(1,299,032)</u>  | <u>(1,068,451)</u>  |
| Total                          | <u>\$ 5,605,937</u> | <u>\$ 5,686,027</u> |

Depreciation expense for the years ended June 30, 2018 and 2017 totaled \$230,582 and \$217,248, respectively.

5. **Restricted Cash:**

Restricted cash consists of funds required to be used for the replacement of property, with prior approval by the New Hampshire Housing Finance Authority.

6. **Accrued Expenses and Other Liabilities:**

Accrued expenses and other liabilities consist of the following:

|   | <u>2018</u>       | <u>2017</u>       |
|---|-------------------|-------------------|
| Accrued payroll and related liabilities | \$ 206,274        | \$ 219,476        |
| Accrued interest                        | 6,374             | 6,374             |
| HSA liability                           | -                 | 112               |
| Other accruals                          | <u>1,479</u>      | <u>-</u>          |
| Total                                   | <u>\$ 214,127</u> | <u>\$ 225,962</u> |

7. **Due From (to) Related Organizations:**

Due from (to) related organizations represents short-term assets and liabilities due from (to) related entities whereby common control is shared with the same Board of Directors. The related organizations and their balances at June 30, 2018 are as follows:

|  | <u>2018</u>       | <u>2017</u>         |
|--|-------------------|---------------------|
| Current:                                   |                   |                     |
| Harbor Homes, Inc.                         | \$ 265,768        | \$ (380,115)        |
| Milford Regional Counseling Services       | 204               | -                   |
| Southern New Hampshire HIV/AIDS Task Force | (27,012)          | (19,500)            |
| Welcoming Light, Inc.                      | <u>33</u>         | <u>-</u>            |
| Total                                      | <u>\$ 238,993</u> | <u>\$ (399,615)</u> |

As discussed in Note 2, the valuation technique used for due from (to) related organizations is a Level 3 measure because there are no observable market transactions. Changes in the fair value of assets measured at fair value on a recurring basis using significant unobservable inputs are as follows:

|                                 |                    |
|---------------------------------|--------------------|
| Beginning balance June 30, 2017 | \$ (399,615)       |
| Advances                        | 1,713,509          |
| Reductions                      | <u>(1,074,901)</u> |
| Ending balance June 30, 2018    | <u>\$ 238,993</u>  |

**8. Line of Credit:**

At June 30, 2018, the Organization had \$750,000 of credit available from Merrimack County Savings Bank due on demand, and secured by all assets and guaranteed by Harbor Homes, Inc., a related party (see Note 13). The Organization is required, at a minimum, to make monthly interest payments at the Wall Street Journal Prime Rate plus 1.00% (6.00% at June 30, 2018) to Merrimack County Savings Bank. As of June 30, 2018, the credit line had an outstanding balance of \$348,779.

**9. Bonds and Mortgages Payable:**

Bonds and mortgages payable as of June 30, 2018 were as follows:

|   |                     |
|---|---------------------|
| \$3,963,900 in New Hampshire Health and Education Facilities Authority bonds, dated September 15, 2014, due in monthly installments of \$19,635, including principal and interest at 4.00%, maturing in 2042, secured by real property owned and guaranteed by Harbor Homes, Inc. | \$ 3,653,055        |
| \$200,000 loan from New Hampshire Health and Education Facilities Authority, dated March 6, 2017, due in monthly installments of \$3,419, including principal and interest at 1.00%, maturing in 2022, secured by real property, and guaranteed by Harbor Homes, Inc.             | 150,933             |
| Less: debt issuance costs, net  | <u>(69,221)</u>     |
| Total   | 3,734,767           |
| Less amount due within one year   | <u>(128,006)</u>    |
| Long term debt, net of current portion  | <u>\$ 3,606,761</u> |

The following is a summary of future payments on the previously mentioned long-term debt.

| <u>Year</u> | <u>Amount</u>       |
|-------------|---------------------|
| 2019        | \$ 128,006          |
| 2020        | 131,731             |
| 2021        | 136,371             |
| 2022        | 130,535             |
| 2023        | 104,080             |
| Thereafter  | <u>3,104,044</u>    |
| Total       | <u>\$ 3,734,767</u> |

Debt issuance costs, net of accumulated amortization, totaled \$69,221 as of June 30, 2018, and are related to the New Hampshire Health and Education Facilities Authority bonds described above. The debt issuance costs on the above bonds are being amortized over the life of the bonds. Amortization expense for fiscal year 2018 was \$2,855.

**10. Mortgages Payable, Deferred:**

The Organization received special financing as partial funding for a new building. These notes are interest free for thirty years with principal payments calculated annually at the discretion of the lender. Certain covenants apply related to eligibility and use of the mortgaged property. The balance of these notes at June 30, 2018 is as follows:

|   |                     |
|---|---------------------|
| Federal Home Loan Bank of Boston - Affordable Housing Program | \$ 385,000          |
| New Hampshire Housing Finance Authority                       | <u>1,500,000</u>    |
| Total   | <u>\$ 1,885,000</u> |

**11. Net Assets Released from Restriction:**

There were no restricted net assets during the year ended June 30, 2018 and, as a result, no net assets were released from restrictions.

**12. Deferred Compensation Plan:**

The Organization offers a 401(k) retirement plan. Upon meeting the eligibility criteria, employees can contribute a portion of their wages to the 401(k) plan. The Organization will contribute as a matching contribution an amount equal to 100% of employees' contributions that is not in excess of 6% of their contribution. Total matching contributions paid by the Organization for the year ended June 30, 2018 were \$69,630.

**13. Transactions with Related Parties:**

As a commonly controlled organization by way of its common board of directors and management, the Organization is included in the consolidated financial statements of Harbor Homes, Inc. The following transactions between the Organization and Harbor Homes, Inc. occurred during the fiscal year 2018:

- The Organization is a corporate guarantor for Harbor Homes, Inc., related to the mortgage on their Northeastern Boulevard property. The guaranty consists of one mortgage in the amount of \$1,125,000.
- The Organization receives janitorial and maintenance services performed by clients of Harbor Homes, Inc., a related organization.
- The Organization also receives payroll services from the related organization, billed at actual cost.
- The Organization rents space from Harbor Homes, Inc., a related organization. Rent expense for the year under this agreement was \$27,383.

The Organization also offers counseling services to the clients of Harbor Homes, Inc. and other related organizations included in the consolidated financial statements of Harbor Homes, Inc.

**14. Concentration of Risk:**

A material part of the Organization's revenue is dependent upon support from the State of New Hampshire and Medicaid, the loss of which would have a materially adverse effect on the Organization. During the year ended June 30, 2018, the State of New Hampshire accounted for 60% and Medicaid accounted for 34% of total revenues.

**15. Supplemental Disclosure of Cash Flow Information:**

The Organization has adopted Accounting Standard Update (ASU) No. 2016-18, *State of Cash Flows (Topic 203): Restricted Cash*. The amendments in this update require that a Statement of Cash Flows explain the change during the fiscal year of restricted cash as part of the total cash and cash equivalents.

The following table provides a reconciliation of cash and cash equivalents, and restricted cash reported in the Statement of Financial Position to the same such amounts reported in the Statement of Cash Flows.

|  | <u>2018</u>       | <u>2017</u>       |
|--|-------------------|-------------------|
| Cash and Cash Equivalents  | \$ 315,659        | \$ 252,981        |
| Restricted Cash  | <u>29,752</u>     | <u>38,482</u>     |
| Total Cash, Cash Equivalents, and<br>Restricted Cash shown in the Statement<br>of Cash Flows | <u>\$ 345,411</u> | <u>\$ 291,463</u> |

**16. Subsequent Events:**

In accordance with the provisions set forth by FASB ASC, Subsequent Events, events and transactions from July 1, 2018 through December 20, 2018, the date the financial statements were available to be issued, have been evaluated by management for disclosure. Management has determined that there were no material events that would require disclosure in the Organization's financial statements through this date.

GREATER NASHUA COUNCIL ON ALCOHOLISM

Schedule of Program Services Expenses

For the Year Ended June 30, 2018

|  | Crisis Call<br>Center | Cynthia Day<br>Family Center<br>CAMHIRT | Drug Court -<br>Rockingham<br>County | Harbor<br>Homes<br>Passthrough | High<br>Intensity | Hillsborough<br>County<br>DOC | HUD<br>Transitional<br>Living | Incarceration -<br>IOP |
|--|-----------------------|---|--------------------------------------|--------------------------------|-------------------|-------------------------------|-------------------------------|------------------------|
| Advertising                            | \$ 64,349             | \$ 1,151                                | \$ -                                 | \$ -                           | \$ 704            | \$ -                          | \$ 68                         | \$ -                   |
| Accounting fees                        | -                     | -                                       | -                                    | -                              | -                 | -                             | -                             | -                      |
| Client services                        | -                     | 26,355                                  | 18,184                               | -                              | 4,285             | -                             | 403                           | -                      |
| Client transportation                  | -                     | 3,090                                   | -                                    | -                              | 2,511             | -                             | 359                           | -                      |
| Contract services                      | 154                   | 5,440                                   | -                                    | 438,873                        | 3,327             | -                             | 310                           | -                      |
| Depreciation                           | 1,615                 | 100,410                                 | -                                    | -                              | 59,992            | -                             | 5,276                         | -                      |
| Employee benefits                      | 20,535                | 85,960                                  | 24,476                               | 388                            | 68,684            | 34,784                        | 203                           | 16,453                 |
| Food                                   | 27                    | 45,957                                  | 797                                  | -                              | 35,491            | -                             | 5,024                         | -                      |
| Information technology                 | 24                    | 1,371                                   | -                                    | -                              | 839               | -                             | 78                            | -                      |
| Insurance                              | 414                   | 10,136                                  | 389                                  | 287                            | 6,419             | 11                            | 593                           | -                      |
| Interest                               | 1,405                 | 80,459                                  | -                                    | -                              | 49,202            | -                             | 4,589                         | -                      |
| Legal fees                             | 16                    | 1,294                                   | -                                    | -                              | 853               | -                             | 94                            | -                      |
| Miscellaneous                          | 1,294                 | 3,389                                   | 7,573                                | -                              | 1,499             | 759                           | 206                           | -                      |
| Office supplies                        | 1,772                 | 9,991                                   | 3,967                                | -                              | 6,651             | 3,745                         | 747                           | 671                    |
| Operating and maintenance              | 2,206                 | 27,521                                  | 3,816                                | -                              | 17,650            | -                             | 1,745                         | -                      |
| Operational supplies                   | 31                    | 14,036                                  | 302                                  | -                              | 10,499            | -                             | 1,444                         | -                      |
| Payroll taxes                          | 21,223                | 49,472                                  | 20,898                               | 169                            | 38,734            | 12,369                        | 340                           | 11,022                 |
| Professional fees                      | 84                    | 4,805                                   | -                                    | -                              | 2,938             | -                             | 274                           | -                      |
| Rent                                   | 4,152                 | -                                       | 33,600                               | -                              | -                 | -                             | -                             | -                      |
| Salaries and wages                     | 269,846               | 621,968                                 | 273,220                              | 2,159                          | 536,109           | 159,705                       | 3,638                         | 139,805                |
| Snow removal                           | 79                    | 4,517                                   | -                                    | -                              | 2,762             | -                             | 258                           | -                      |
| Staff development                      | 2,093                 | 4,849                                   | 5,592                                | -                              | 2,733             | -                             | 293                           | -                      |
| Staff travel                           | 960                   | 2,051                                   | 5,061                                | -                              | 1,329             | -                             | 152                           | 2,231                  |
| Telephone                              | 2,002                 | 6,085                                   | 3,687                                | -                              | 3,721             | 26                            | 347                           | 132                    |
| Utilities                              | 601                   | 34,414                                  | 7,011                                | -                              | 21,044            | -                             | 1,963                         | -                      |
| Vehicle expenses                       | -                     | 3,834                                   | -                                    | -                              | 3,325             | -                             | -                             | -                      |
| <b>Total program services expenses</b> | <b>\$ 394,882</b>     | <b>\$ 1,148,555</b>                     | <b>\$ 408,573</b>                    | <b>\$ 441,876</b>              | <b>\$ 881,301</b> | <b>\$ 211,399</b>             | <b>\$ 28,402</b>              | <b>\$ 170,314</b>      |

(continued)

(continued)

| Incarceration -<br>OP | Intensive<br>Outpatient | Low<br>Intensity  | Open<br>Doors     | Recovery<br>Outpatient | Recovery<br>Support | Non<br>DHHS      | Total               | General and<br>Administrative | Fundraising      | Total<br>Expenses   |
|-----------------------|-------------------------|-------------------|-------------------|------------------------|---------------------|------------------|---------------------|-------------------------------|------------------|---------------------|
| \$ -                  | \$ -                    | \$ 367            | \$ -              | \$ -                   | \$ -                | \$ -             | \$ 66,637           | \$ 935                        | \$ -             | \$ 67,572           |
| -                     | 175                     | 1,410             | 337,501           | 140                    | -                   | -                | -                   | 12,432                        | -                | 12,432              |
| -                     | -                       | 1,067             | 7,600             | -                      | 9                   | 3,326            | 391,779             | 50                            | -                | 391,829             |
| -                     | -                       | 1,736             | -                 | -                      | -                   | -                | 14,636              | -                             | -                | 14,636              |
| -                     | -                       | 33,127            | -                 | -                      | -                   | 102              | 449,942             | 26,407                        | 336              | 476,685             |
| 3,583                 | 15,989                  | 23,200            | 26,468            | 30,231                 | 15,176              | -                | 200,420             | 33,017                        | -                | 233,437             |
| -                     | -                       | 15,297            | -                 | -                      | -                   | 1,300            | 367,430             | 71,622                        | 4,962            | 444,014             |
| -                     | -                       | 438               | 53                | -                      | -                   | -                | 102,593             | 487                           | -                | 103,080             |
| 258                   | 121                     | 3,301             | 1,320             | 303                    | 257                 | -                | 2,803               | 10,223                        | 274              | 13,300              |
| -                     | -                       | 25,673            | -                 | -                      | -                   | 53               | 23,862              | 1,249                         | -                | 25,111              |
| -                     | -                       | 418               | -                 | -                      | -                   | -                | 161,328             | 8,179                         | -                | 169,507             |
| -                     | 457                     | 1,030             | 2,100             | 1,219                  | 9                   | -                | 2,675               | 249                           | -                | 2,924               |
| -                     | 2,092                   | 3,262             | 2,476             | 2,171                  | -                   | -                | 19,535              | 1,046                         | -                | 20,581              |
| -                     | 63                      | 8,786             | -                 | 63                     | -                   | -                | 37,545              | 953                           | -                | 38,498              |
| -                     | -                       | 4,599             | 467               | -                      | -                   | -                | 61,850              | 2,987                         | -                | 64,837              |
| 1,784                 | 8,487                   | 14,626            | 13,765            | 21,870                 | 5,303               | -                | 31,378              | 143                           | -                | 31,521              |
| -                     | -                       | 1,533             | -                 | -                      | -                   | 1,816            | 221,878             | 40,421                        | 5,540            | 267,839             |
| -                     | 17,242                  | -                 | 18,747            | 18,352                 | -                   | -                | 9,634               | 625                           | -                | 10,259              |
| 22,371                | 114,836                 | 176,524           | 159,192           | 278,963                | 66,853              | -                | 90,093              | 4,942                         | -                | 95,035              |
| -                     | -                       | 1,441             | -                 | -                      | -                   | -                | 2,836,067           | 475,817                       | 71,102           | 3,382,986           |
| -                     | 1,045                   | 1,354             | 350               | 1,046                  | -                   | -                | 9,057               | 363                           | -                | 9,420               |
| -                     | 227                     | 641               | 3,014             | 227                    | -                   | -                | 19,355              | 3,520                         | -                | 22,875              |
| -                     | -                       | 1,942             | 803               | -                      | -                   | 134              | 16,027              | 1,296                         | 45               | 17,368              |
| -                     | -                       | 10,981            | -                 | -                      | -                   | 160              | 18,905              | 753                           | -                | 19,658              |
| -                     | -                       | 1,784             | -                 | -                      | 8,806               | -                | 76,014              | 2,761                         | -                | 78,775              |
| -                     | -                       | -                 | -                 | -                      | -                   | -                | 17,749              | -                             | -                | 17,749              |
| <u>\$ 27,996</u>      | <u>\$ 160,734</u>       | <u>\$ 334,537</u> | <u>\$ 573,856</u> | <u>\$ 352,585</u>      | <u>\$ 96,413</u>    | <u>\$ 17,769</u> | <u>\$ 5,249,192</u> | <u>\$ 700,477</u>             | <u>\$ 82,259</u> | <u>\$ 6,031,928</u> |

See Independent Auditors' Report.

GREATER NASHUA COUNCIL ON ALCOHOLISM

Schedule of Program Services Expenses

For the Year Ended June 30, 2017

|  | 28 Day<br>Residential<br><u>CMMIRT</u> | 90 Day<br>Residential<br><u>CMLIRT</u> | Crisis Call<br>Center | Cynthia Day<br>Family Center<br><u>CMHIRT</u> | Friendship<br>House | Harbor<br>Homes<br>Passthrough | Hillsborough<br>County<br><u>DOC</u> | HUD<br>Transitional<br>Living | Incarceration -<br><u>IOP</u> | Incarceration -<br><u>OP</u> |
|--|--|--|-----------------------|---|---------------------|--------------------------------|--------------------------------------|-------------------------------|-------------------------------|------------------------------|
| Advertising                            | \$ -                                   | \$ -                                   | \$ 1,094              | \$ -  | \$ -                | \$ -                           | \$ -                                 | \$ -                          | \$ -                          | \$ -                         |
| Accounting fees                        | -                                      | -                                      | -                     | -   | -                   | -                              | -                                    | -                             | -                             | -                            |
| Client services                        | 3,077                                  | 2,462                                  | -                     | 24,922  | -                   | -                              | -                                    | 211                           | -                             | -                            |
| Client transportation                  | 886                                    | 300                                    | -                     | 1,401   | -                   | -                              | -                                    | 86                            | -                             | -                            |
| Contract services                      | 243                                    | 34,358                                 | 2                     | 363   | 178,766             | 374,851                        | -                                    | 25                            | -                             | -                            |
| Depreciation                           | 55,171                                 | 35,158                                 | 1,598                 | 96,926  | -                   | -                              | -                                    | 3,917                         | -                             | -                            |
| Employee benefits                      | 60,020                                 | 20,453                                 | 19,287                | 65,641  | -                   | -                              | -                                    | -                             | 33,961                        | 2,970                        |
| Food                                   | 29,501                                 | 17,181                                 | 1                     | 47,959  | -                   | -                              | 1,717                                | -                             | 123                           | -                            |
| Information technology                 | 2,064                                  | 2,002                                  | 73                    | 4,261   | -                   | -                              | -                                    | 9                             | -                             | -                            |
| Insurance                              | 4,214                                  | 4,547                                  | 314                   | 9,681   | -                   | 105                            | -                                    | 45                            | -                             | 161                          |
| Interest                               | 41,564                                 | 24,730                                 | 1,234                 | 70,883  | -                   | -                              | -                                    | 3,272                         | -                             | -                            |
| Legal fees                             | 231                                    | 107                                    | 2                     | 325   | -                   | -                              | -                                    | 29                            | -                             | -                            |
| Miscellaneous                          | 732                                    | -                                      | 11                    | 2,416   | -                   | 667                            | -                                    | 130                           | 2,318                         | -                            |
| Office supplies                        | 5,690                                  | 3,375                                  | 1,020                 | 9,336   | -                   | 19                             | 846                                  | 503                           | 4,624                         | -                            |
| Operating and maintenance              | 18,957                                 | 12,150                                 | 539                   | 33,234  | -                   | -                              | -                                    | 1,361                         | -                             | -                            |
| Operational supplies                   | 6,904                                  | 4,123                                  | 27                    | 11,515  | -                   | -                              | -                                    | 766                           | -                             | -                            |
| Payroll taxes                          | 33,145                                 | 11,123                                 | 21,043                | 39,077  | -                   | -                              | 1,188                                | -                             | 15,864                        | 1,979                        |
| Professional fees                      | -                                      | -                                      | -                     | -   | -                   | -                              | -                                    | -                             | -                             | -                            |
| Rent                                   | -                                      | -                                      | -                     | -   | -                   | -                              | -                                    | -                             | -                             | -                            |
| Salaries and wages                     | 434,072                                | 346,982                                | 269,938               | 502,403                                       | -                   | -                              | 18,287                               | 1,629                         | 209,533                       | 29,487                       |
| Snow removal                           | 2,072                                  | 1,081                                  | 59                    | 3,388   | -                   | -                              | -                                    | 193                           | -                             | -                            |
| Staff development                      | 1,504                                  | 738                                    | 1,591                 | 2,224   | -                   | -                              | -                                    | 230                           | 935                           | -                            |
| Staff travel                           | 1,040                                  | 690                                    | 349                   | 1,836   | -                   | -                              | -                                    | 72                            | 2,914                         | -                            |
| Telephone                              | 2,085                                  | 1,412                                  | 1,703                 | 3,720   | -                   | -                              | -                                    | 130                           | 90                            | -                            |
| Utilities                              | 19,672                                 | 12,945                                 | 603                   | 34,737  | -                   | -                              | -                                    | 1,302                         | -                             | -                            |
| Vehicle expenses                       | 2,054                                  | 2,332                                  | 2                     | 5,648   | -                   | -                              | -                                    | 7                             | -                             | -                            |
| <b>Total program services expenses</b> | <b>\$ 724,898</b>                      | <b>\$ 538,249</b>                      | <b>\$ 320,490</b>     | <b>\$ 971,896</b>                             | <b>\$ 178,766</b>   | <b>\$ 375,642</b>              | <b>\$ 22,038</b>                     | <b>\$ 17,295</b>              | <b>\$ 270,362</b>             | <b>\$ 34,597</b>             |

(continued)

(continued)

| <u>Infrastructure Grant</u> | <u>Intensive Outpatient</u> | <u>Open Doors</u> | <u>Outpatient</u> | <u>Project Hope</u> | <u>Recovery Support</u> | <u>Non DHHS</u> | <u>Total</u>     | <u>General and Administrative</u> | <u>Fundraising</u> | <u>Total Expenses</u> |
|-----------------------------|-----------------------------|-------------------|-------------------|---------------------|-------------------------|-----------------|------------------|-----------------------------------|--------------------|-----------------------|
| \$ -                        | \$ -                        | \$ -              | \$ -              | \$ -                | \$ -                    | -               | 1,094            | \$ 303                            | \$ -               | \$ 1,397              |
| 125                         | -                           | 101,841           | 119               | 5,266               | -                       | 1,041           | 139,064          | 11,309                            | -                  | 11,309                |
| -                           | -                           | 4,649             | -                 | -                   | -                       | 47              | 7,369            | 207                               | -                  | 139,271               |
| 15,510                      | 149                         | -                 | -                 | 20,580              | -                       | 2,170           | 627,117          | -                                 | -                  | 7,369                 |
| -                           | -                           | -                 | -                 | -                   | -                       | -               | 192,770          | 31,237                            | -                  | 658,354               |
| 13,090                      | 17,310                      | 1,526             | 17,729            | 1,609               | 22,095                  | 17,472          | 294,880          | 27,332                            | -                  | 220,102               |
| -                           | -                           | -                 | -                 | -                   | -                       | 359             | 98,502           | 4                                 | 3,923              | 362,923               |
| 5,426                       | -                           | -                 | -                 | -                   | -                       | -               | 13,835           | 70,352                            | -                  | 98,506                |
| -                           | 75                          | -                 | 189               | 81                  | 160                     | 262             | 19,834           | 1,039                             | -                  | 84,187                |
| -                           | -                           | -                 | -                 | -                   | -                       | -               | 141,683          | 15,239                            | -                  | 20,873                |
| 21,630                      | 69                          | 4,682             | 293               | -                   | -                       | 2,555           | 3,249            | 1,832                             | -                  | 156,922               |
| 222                         | 1,836                       | 1,210             | 1,205             | 375                 | 5                       | -               | 32,953           | 1,024                             | 1,266              | 5,081                 |
| -                           | 89                          | -                 | 161               | -                   | -                       | 2,998           | 33,259           | 2,382                             | -                  | 35,243                |
| -                           | 233                         | -                 | 233               | -                   | -                       | 1,560           | 68,051           | 6,126                             | -                  | 35,641                |
| 7,567                       | 9,143                       | 6,502             | 14,765            | 4,976               | 8,260                   | 291             | 24,092           | 125                               | -                  | 74,177                |
| -                           | -                           | -                 | -                 | -                   | -                       | 16,614          | 191,246          | 9,124                             | 6,127              | 24,217                |
| 93,750                      | 10,053                      | 6,304             | 14,243            | -                   | -                       | -               | -                | 1,771                             | 350                | 206,497               |
| 118,713                     | 113,943                     | 93,080            | 184,046           | 63,192              | 105,163                 | 61,513          | 185,863          | 201                               | -                  | 2,121                 |
| -                           | -                           | -                 | -                 | -                   | -                       | -               | 2,513,370        | 379,588                           | 100,290            | 186,064               |
| 28,002                      | 718                         | -                 | 1,058             | 40                  | -                       | -               | 6,793            | 272                               | -                  | 2,993,248             |
| 578                         | -                           | 1,186             | -                 | 333                 | 16                      | 6,939           | 43,979           | 696                               | -                  | 7,065                 |
| -                           | -                           | 238               | -                 | 426                 | 742                     | 4,471           | 13,485           | 1,955                             | 13                 | 44,688                |
| -                           | -                           | 158               | -                 | -                   | -                       | 3,473           | 14,019           | 4,341                             | 73                 | 15,513                |
| -                           | -                           | -                 | -                 | -                   | -                       | 6,481           | 75,898           | 2,898                             | -                  | 18,360                |
| -                           | -                           | -                 | -                 | -                   | 15,164                  | -               | 25,207           | 10                                | -                  | 78,796                |
| <u>\$ 304,613</u>           | <u>\$ 153,618</u>           | <u>221,376</u>    | <u>234,041</u>    | <u>96,978</u>       | <u>151,605</u>          | <u>151,148</u>  | <u>4,767,612</u> | <u>\$ 633,487</u>                 | <u>\$ 112,042</u>  | <u>\$ 5,513,141</u>   |

See Independent Auditors' Report.

## CURRENT BOARD OF DIRECTORS LIST (12/1/18)

### Officers

Dan Sallet, Chair  
Trent Smith, Vice-Chair  
David Aponovich, Treasurer  
Jared Freilich, Asst. Treasurer  
Joel Jaffe, Secretary  
Laurie Goguen, Asst. Secretary

### Directors

Thomas I. Arnold, III  
Jack Balcom  
Vijay Bhatt  
Vince Chamberlain  
Laurie DesRochers  
Phil Duhaime  
Lynn King  
Ed McDonough  
Rick Plante

# MARY BETH LAVALLEY, M.A.

---

## PROFESSIONAL EXPERIENCE

---

### KEYSTONE HALL/GREATER NASHUA COUNCIL ON ALCOHOLISM

9/16 - present

Acting Vice President, 9/29/2017

Vice President, 5/3/2018

Compliance/Quality Assurance Director

- ♦ Assume all duties of the Vice President that includes developing new and expanding existing services/programs by networking with other agencies. Also fosters relationships in the community, monitors and prepare budgets, supervises and evaluates directors, approves expenses, and other related duties. Responsible for the overall operations of the programs, facilities and staffing.
- ♦ Monitor all grant funded programs to ensure compliance including tracking and reporting data as specified by the funder.
- ♦ Ensure compliance with federal and state laws related to substance abuse treatment programs.
- ♦ Prepare data and narrative reports and analyze program metrics to determine ways to improve processes and procedures.
- ♦ Facilitate Clinical Billing team meetings.
- ♦ Oversee the CARF reaccreditation process including preparing plans, updating policies and procedures and ensuring that all programs meet CARF and state licensure requirements.
- ♦ Represent the agency on the Nashua/Integrated Delivery Network's full committee meetings.
- ♦ Develop policies and procedures to maximize billing.
- ♦ Develop and implement plans and protocols for new programs.

### EASTER SEALS NH/FARNUM CENTER

Vice President, Substance Abuse Services

7/15 - 9/16

- ♦ Plan, develop and direct the implementation and on-going evaluation of inpatient and outpatient programs.
- ♦ Assist with reports on administrative, financial, professional and programmatic information and statistics.
- ♦ Develop policies and procedures for substance abuse programs.
- ♦ Conduct on-site reviews of all substance abuse programs. Ensure compliance with state and federal regulations as well as with CARF (Commission on the Accreditation of Rehabilitation Facilities).
- ♦ Establish and maintain positive effective relationships with public and private agencies in NH.
- ♦ Represent Easter Seals NH on the Region 4 Integrated Delivery Network (1115 Medicaid Waiver).
- ♦ Prepare a monthly dashboard for the Board of Directors.
- ♦ Provide consultation and facilitation for teams involved in strategic initiatives and priority projects.
- ♦ Assist with the implementation and oversight of budgets.
- ♦ Oversee the recruiting, hiring, training and performance of staff including consultants.

#### Exemplary Accomplishments:

- ♦ Secured a \$1.67 million infrastructure grant to expand substance abuse treatment services.
- ♦ Ensured agency programs and facilities were prepared for the CARF re-accreditation survey. Facilities awarded a 3-year accreditation.

### THE MENTAL HEALTH CENTER OF GREATER MANCHESTER, Manchester, NH

12/03 - 7/15

Director of Strategic Planning, 12/03-9/23/05

Vice President, Strategic Planning and Business Development; as of 9/25/06

- ♦ Researched and analyzed potential new business opportunities.
- ♦ Maintained the agency's dashboard, closely monitored the metrics and developed plans for improvement.
- ♦ Developed strategic plans for new business development that included marketing plans and financial projections.
- ♦ Oversaw education, consultation, research and behavioral health staffing contracts.

# MARY BETH LAVALLEY, M.A.

2

- Supervised and provided direction, leadership and technical assistance to Strategic Planning Department staff.
- Attended Strategic Planning meetings of the Board of Directors, and provided monthly updates.
- Developed long-range plans for programs and services and evaluated their effectiveness.
- Served on the Executive Committee of the Manchester Sustainable Access Project (MSAP), a planning initiative of Healthy Manchester Leadership Council as well as on MSAP's Oral Health, Westside Neighborhood Health Center and Behavioral Health Integration Subcommittees. Served as Chairperson for the Oral Health and Behavioral Health Integration subcommittees.
- Represented the agency at community meetings and served on a number of collaborative.
- Oversaw the Mental Health First Aid Program including marketing in the community and maintaining data.
- Served as the chairperson for the agency's Marketing/Public Relations Committee four years.

## Exemplary Accomplishments:

- Led the Oral Health Committee in efforts to select, purchase and implement an Electronic Dental Record for the three partnering agencies: Catholic Medical Center's Poisson Dental Clinic; Easter Seals' Dental Clinic; and the Manchester Health Department's school-based oral health program. Services expanded from serving kindergarten children to children at all of the Title IX schools in Manchester and establishing a dental clinic at Dartmouth-Hitchcock Manchester.
- Negotiated and secured behavioral health integration contracts with several area health care organizations expanding the availability of behavioral health services into community settings. Some of the agencies included Dartmouth-Hitchcock Manchester, Manchester Community Health Center/Child Health Services, and Easter Seals NH.
- Built an integrated Naturopathic Practice that increased from 4 hours a week to business requiring a Naturopathic Doctor 4 to 5 days a week. Secured a grant from the Ittleson Foundation to assist with marketing the program and documenting how to integrate naturopathic medicine in a behavioral health setting.
- Served on a statewide committee to develop a model for community mental health centers to serve as health homes.
- Established a satellite mental health clinic at Derry Medical Center.

## PRIVATE CONSULTANT

summer / fall 2001; summer 2003

Assisted community coalitions to develop strategic plans and to secure grant funds. Prepared grant proposals and provided technical assistance regarding prevention programming.

## LORETTO, Syracuse, NY

10/01 – 08/03

### Director of Grant and Research Development

- Researched local, state and national funding sources to meet program and facility needs.
- Conducted needs assessments to identify resource needs and developed strategic plans for new programming.
- Prepared narrative and financial reports based on statistical information and other project information.
- Supervised the grant writer and administrative assistant.
- Prepared narrative and financial reports for funders and monitored programs and expenses for compliance.

## Exemplary Accomplishments:

- Secured over \$3.0 Million in funds to enhance training programs, renovate facilities to the needs of the frail elderly, and to establish enhanced programs for the frail elderly and their caregivers.
- Created and implemented protocols to monitor program progress and ensure grant objectives, financial spend down and reporting requirements were met.
- Established excellent reputation among state and federal agencies, securing opportunities for future funding.

SYRACUSE ONONDAGA DRUG & ALCOHOL ABUSE COMMISSION, Syracuse, NY

11/99 – 08/01

# MARY BETH LAVALLEY, M.A.

3

## Executive Director

- Developed programs, action plans, policies and direction for the promotion and education of substance abuse prevention and treatment in the City of Syracuse and Onondaga County.
- Monitored and evaluated effectiveness of projects.
- Served as liaison to local coalitions and chaired committees.
- Developed and monitored budgets.
- Hired, supervised, trained and evaluated staff.

### Exemplary Accomplishments:

- Re-energized the Commission by securing members, establishing committees, developing a strategic plan, and securing federal grant funds to hire staff and expand programming.
- Secured approximately \$275,000 in funding.

## SCOTTSDALE UNIFIED SCHOOL DISTRICT, Scottsdale, AZ

11/97 - 06/99

### Prevention Specialist

Grant funded position through Title IV Safe and Drug Free Schools.

- Oversaw prevention programs at 29 schools.
- Monitored and distributed the district's prevention funds, responded to compliance issues, completed reports, and developed prevention plans.
- Managed expenditure of prevention funds, made recommendations on best practices, and evaluated results.
- Assisted in coordinating community responses to prevention by working with coalitions.

### Exemplary Accomplishments:

- Developed and implemented training and structure of peer mediation and mentor programs.
- Created and established application process used by schools to obtain funds.

## WILSON ELEMENTARY SCHOOL DISTRICT, Phoenix, AZ

12/96 - 10/97

### Prevention Education Coordinator

Temporary position funded through the City of Phoenix Community Impact Initiative Grant.

- Developed, implemented and evaluated prevention education programs for high at-risk population.
- Coordinated prevention/early intervention activities of internal and external staff.
- Served as member of Student Assistance Team and the Wilson Community Coalition.
- Editor of *The Wilson Way*, a monthly school newsletter.

### Exemplary Accomplishments:

- Developed and established peer mediation and mentor programs.
- Established and maintained strong linkages with community organizations and businesses.

## RAPPAHANNOCK AREA COMMUNITY SERVICES BOARD, Fredericksburg, VA

11/88 - 10/96

### Director of Prevention/Public Information

- Developed, coordinated and evaluated research-based prevention programs.
- Created and maintained budgets and program statistics. Monitored progress and ensured funding source compliance.
- Served as Executive Director of Rappahannock Area Kids on the Block, Inc., a non-profit agency that educated youth on disabilities, differences and social concerns.
- Marketed Kids on the Block program, scheduled performances, and organized fund raising and promotional events.
- Promoted agency through organizing speakers' bureau, brochures, annual reports, quarterly newsletters, and special events.

### Exemplary Accomplishments:

# MARY BETH LAVALLEY, M.A.

4

- Expanded prevention department from one staff person to 14 through conducting a community needs assessment, developing a long-range plan and securing funds through grant writing.
- Developed and successfully implemented nine prevention programs dealing with substance abuse, drop out, violence, teen pregnancy, and child abuse and developmental disabilities.

---

## EDUCATION

---

Texas Woman's University, Denton TX  
M.A., School Health Education

Franklin Pierce University, Concord, NH  
B.S., Business Management

University of Great Falls, Great Falls, MT  
A.S., Computer Science

---

## COMMUNITY/VOLUNTEER ACTIVITIES

---

- Volunteer organizer for the Out of the Darkness Walks in Portsmouth for 11 years
- Organize an annual Pampered Chef fundraiser to benefit a local animal shelter/rescue organization
- Volunteer at church with fundraisers, teaching religious education, greeting, and hospitality and have served as a Eucharistic Minister

**ALEXANDRA H. HANEL, MA MLADC**



**Director of Residential Services**  
**Unit Supervisor**  
Keystone Hall, Nashua, NH  
2012-present  
2004-2012

- Oversee the daily operations of residential milieu
- Supervision of residential staff
- Maintain oversight of admissions, (past scheduling coordinator)
- Maintain effective communication with hospitals and government agencies
- Case manager as needed.
- Prepare monthly reports, maintaining state compliance with federal, state and local regulations
- Screen, train and hire new staff
- Emergency On call

**Intern Mentoring Coordinator**  
Intern  
Teen Resource Exchange, Derry NH  
2002  
2001-2002

- Worked primarily with high school students with identified substance abuse problems, group work, prevention and outreach;
- Handled telephone screenings and initial assessments;
- Collaborated with Strengthening Families Program in community outreach

**Substitute Teacher**  
**Special Education Paraprofessional**  
Weare Middle School, Weare, NH  
1988-2003  
1989-2000

- Substitute teacher and academic paraprofessional for grades 5-8.

**Special Education Secretary**  
**Special Education Aide**  
John Stark Regional High School, Weare, NH  
1984-1988

- Maintained special education records in compliance with state standards.
- Assistant to Special Education Director
- Scheduled appointments, typed educational and psychological evaluations
- Provide academic support for students with identified learning disabilities

**EDUCATION**

---

- 2008 Antioch University, Keene, NH  
Masters of Arts: Counseling Psychology-concentration in addiction studies
- 2003 New Hampshire Technical Institute, Concord, NH  
Associate of Science Addictions Counseling
- 1974 St. Petersburg Junior College, St. Petersburg, FL  
Associate of Arts: General Studies towards degree in Education

**Charlotte E. Trenholm, MSW, LCSW**

**Professional Experience**

**KEYSTONE HALL, GREATER MARIQUA COUNCIL ON ALCOHOLISM**

Director of Intake Services

MARIQUA, NH

2015 - PRESENT

Senior management position coordinating all aspects of the client intake process, establishing and maintaining positive relationships with client, referral sources, responding to client requests and concerns, and managing the insurance verification and authorization processes.

- Establishing and maintaining excellent relationships throughout the state and communities the Agency serves.
- Maintains comprehensive working knowledge of Agency contractual relationships and ensures that patients are admitted according to contract provisions.
- Coordinates all daily client referral and intake operations.
- Assists with the implementation of improved work methods and procedures to ensure patients are admitted in accordance with policy.
- Ensures maximum third party reimbursement through participation in insurance verification and authorization processes.
- Provides feedback during strategic planning including identifying opportunities for additional or improved services to meet client needs.
- Maintains comprehensive working knowledge of community resources and assists referral sources in accessing community resources should services not be provided by Agency.
- Maintain compliance with all licensure, certification and other standards.
- Supervise staff working in the intake department. Perform staff job performance evaluations.
- Determine client eligibility for residential level of care based on ASAM criteria.
- Conduct client admission intakes, completing assessments and Ensures compliance with all state, federal, and referral/intake regulatory requirements for admission.

**THE MENTAL HEALTH CENTER OF GREATER MANCHESTER**

Clinical Case Manager, Family Intensive Treatment Team

MANCHESTER, NH

2015

- Clinical Case Manager within the Child & Adolescent Services Department at the largest provider of behavioral health services in New Hampshire, The Mental Health Center of Greater Manchester. Providing Intensive level of care counseling to a caseload of 20 -25 clients ranging in age from 5- 19 years old.
- Provided community and home-based clinical services to clients, conducting individual and family therapy sessions.
- Undertook to and consultation with community resources on the behalf of clients and their families.
- Worked collaboratively with families, school officials, NH Department of Health and Human Service workers and various community agency representatives as appropriate for coordination of care.
- Attendance and advocacy for children and families at school meetings and treatment team meetings with collateral when clinically appropriate for the client and family.
- Responsible for on time completion of Medicaid approved Individual service plans, care plans, and quarterly reports. Completed annual assessments, CAFAS and Medicaid / Private Insurance eligibility reports.
- Attend weekly clinical supervision, weekly team meetings with department psychiatrist and monthly staff meetings.

**WESTERN HOUSE**

**MANCHESTER, NH**

**Assistant Director / Treatment Coordinator**

2011-2015

Assistant Director and Treatment Coordinator of a private, non-profit residential program for youth between 8 and 18 who are unable to live at home for various reasons. The program's focus is on development of physical, social, personal, and family growth.

- Responsible for overseeing all program, resident and staff needs, in the absence of the Executive Director to ensure compliance of state mandated regulations and program policies.
- Review referrals, interviewed potential residents and oversee the intake process of new residents upon acceptance into the program.
- Conducted psychosocial assessment for new residents, develop Medicaid approved treatment plans, facilitate treatment team meetings and complete discharge summaries and exit treatment plans for residents in accordance of state regulations.
- Provided individual and group therapy to adolescents with emotional and behavioral issues as well as facilitated family counseling sessions.
- Demonstrated an ability to interpret behavioral/emotional responses in order to resolve a crisis with a resident
- Maintained case files and compiled annual statistical data of residents
- Supervised 2 social workers and 4 to 5 child care workers daily, encouraged effective teamwork among them.
- Responsible for reviewing, editing and signing off on monthly progress reports and court reports of Social Workers to ensure excellence in communication and meeting of program and DHHS standards
- Co-facilitate bi-monthly staff meetings, attend monthly peer supervision and weekly clinical supervision
- Attended monthly peer supervision meetings with the DHHS Program Specialist and residential treatment coordinators throughout the state. Actively participated on the subcommittee organized by the Program Specialist to develop the current New Hampshire, Medicaid approved, regulations and guidelines for child and adolescent residential programs.

**CHILD AND FAMILY SERVICES INC.**

MANCHESTER, NH

Clinical Supervisor, Integrated Home Based Program (IHB)

2010-2011

Provide clinical supervision and administrative support to per diem IHB family therapists.

- Provide therapists with scheduled and emergency clinical consultation to counsel and teach, offer support, feedback and help workers obtain advanced clinical skills necessary to meet ethical and professional standards
- Responsible for reviewing, editing and signing off on assessments, care plans and monthly summaries of family therapist to ensure excellence in communication and meeting of agency and DHHS standards
- Responsible for verifying and approving per diem payroll sheets through review of collaborating documents and submitting forms to accounting for payment
- Assist in the orientation of new employees with regard to record compliance and paperwork

**Family Therapist, Integrated Home Based Program (IHB)**

2004-2011

Provide court ordered, team approach direct services to children and families within their home and the community following referral from the DJS Juvenile Probation and Parole Officer or DCYF Child Protective Service Worker of the identified client.

- Conduct family bio-psychosocial intake assessments, treatment planning, family therapy sessions, case management and after care planning
- Work collaboratively with families, school officials, Juvenile Probation and Parole Officers and Child Protection Service Workers
- Attendance and advocacy for children and families at school meetings, court hearings and treatment team meetings with collaterals
- Responsible for on time completion of written assessments, care plans, monthly summaries, and court reports. Maintain organized, precisely documented case files
- Collaborate with caseworkers to coordinate service provision to families
- Attend weekly clinical supervision, regular staff meetings and monthly peer supervision
- Facilitated a weekly skills development group for adolescent girls

**ARBOUR COUNSELING SERVICES**

Per Diem Staff Therapist

LAWRENCE, MA

2004-2005

Outpatient clinician at a community mental health office, carrying a caseload of 5-8 clients ranging in age from 12 - 55 years old.

- Perform diagnostic evaluations of client functioning in conducting initial clinical assessments
- Formulate individual client treatment plans
- Provide individual, group, family, and other clinical and diagnostic interventions to clients with differing DSM-IV diagnoses

**Therapist - Advanced Clinical Internship**

2003-2004

Internship at an outpatient mental health office, carrying a caseload of 8-12 clients ranging in age from 12 - 55 years old.

- Perform diagnostic evaluations of client functioning in conducting initial clinical assessments
- Formulate individual client treatment plans
- Provide individual, group, family, and other clinical and diagnostic interventions to clients with differing DSM-IV diagnoses

**CENTER FOR EATING DISORDER MANAGEMENT**

BEDFORD, NH

Group facilitator - Clinical Internship

2002-2003

Internship at a multidisciplinary treatment center for individuals with Eating Disorders.

- Developed and facilitated a weekly Eating Disorder support group for inmates at the NH State Prison for Women
- Conducted new client bio-psychosocial intake assessments
- Co-facilitated a weekly community support group for people with Eating Disorders, their families & friends

**HAMPSTEAD HOSPITAL**

HAMPSTEAD, NH

Mental Health Counselor

1999-2003

Full time counselor on a 20 bed, secure psychiatric unit offering services to youth ranging in age from 6 years old to 14 years old.

- Milieu management
- Collaborated with a multidisciplinary team to provide case management and treatment planning for inpatient and partial day patient clients.
- Documented daily progress notes on patients
- Facilitated therapeutic groups emphasizing behavior modification, as well as violence prevention
- Assisted patients in enhancing their social skills and self esteem
- Educated and reinforced effective coping skills

**CHILD AND FAMILY LEARNING CENTER**

JACKSONVILLE, NC

Case manager

1999

Casework with children with physical and/or learning disabilities. Clients were primarily children with autism.

Responsibilities included providing services outlined in service agreement, attending staff meetings and training.

Submitted daily progress notes, maintained communication with clinical director and case manager.

- Utilized materials and activities to assist in achieving outcomes outlined in service plan
- Assisted clients and family in daily routine to enhance capabilities and development
- Engaged in role playing and redirection to improve social and verbal skills

**COURT APPOINTED SPECIAL ADVOCATES OF NH, INC.**  
Guardian ad Litem

MANCHESTER, NH

1995-1997

Court appointed volunteer to serve as Guardian ad Litem for neglected and abused children in the State of New Hampshire. Responsibilities included client advocacy, case management, court documentation, and liaison between court and family.

- Developed trusting relationship with children to best determine their current needs
- Maintained accurate and thorough documentation for the court and state
- Established communication between court, family, attorneys, state, and CASA
- Participated in media activities to enhance public awareness and volunteerism

### **Education**

---

#### **UNIVERSITY OF NEW HAMPSHIRE**

Masters in Social Work

MANCHESTER, NH

Bachelor of Arts Degree in Psychology

#### **NEW HAMPSHIRE TECHNICAL INSTITUTE**

Associate of Science Degree in Accounting

CONCORD, NH

Regularly participate in continuing education seminars focusing on issues affecting youth and the mental health community

### **Professional Associations**

---

National Association of Social Workers - member since 2003

NH Disaster Behavioral Health Response Team (DBHRT) - team member since 2008

# Jaime Nicole Gormley

## Education

- Master of Arts in Social Work  
2008 University of New Hampshire Manchester, NH
- 3.66 Cumulative GPA
- Bachelor of Arts in Psychology w/ minor in Elementary Education  
2003 Western New England College Springfield, MA
- 3.65 Cumulative GPA, Deans List all semesters
  - Phi Chi National Honor Society and Mortar Board National Honor Society

## Professional Licenses

Licensed Independent Clinical Social Worker - November 22, 2010  
Master Licensed Alcohol and Drug Counselor - January 14, 2010

## Social Work Experience

- Director of Residential Services - Keystone Hall  
Nashua, NH - October 2017-present
- Manages the total operation of Residential programs
  - Supervise 30 Support Staff and 6 clinical staff members
  - Provide supervision to all employees on a weekly basis
  - Ensure appropriate maintenance of residential areas, adhering to building routines and health/safety standards.
  - Provide written evaluation of staff according to agency policies and procedures.
  - Develop and approve job descriptions for all parties within the residential division.
  - Identifies recruitment needs and establishes position requirements per regulatory requirements
  - Maintain compliance with all licensure, certification and other standards.
  - Screen, train, and supervise existing and new staff to develop and build an effective organization

## Outpatient Coordinator- Keystone Hall Nashua, NH - November 2016-October 2017

- Perform individual and co-occurring counseling to individuals
- Complete LADC evaluations and Adult Inmate Assessments
- Provide clinical supervision to outpatient staff and LADC eligible staff
- Verify insurances and review billing to insurance companies and BDAS
- Oversee programmatic policies and procedures
- Comply with CARF requirements with chart audits and safety evacuations
- Oversee and complete SBIRT procedures for Safe Station clients
- Supervise grant funded Open Doors program and meet with clients individually for counseling
- Participate in forums to educate and advocate for Substance Use Disorder Funding

- Conducted home visits on children in the states care to support foster families
- Supervised visits between in care children and biological parents

**PETER J. KELLEHER, CCSW, LICSW**

45 High Street  
Nashua, NH 03060

Telephone:

Fax:

E-mail:

---

**PROFESSIONAL EXPERIENCE**

- 2006-Present** President & CEO, Southern NH HIV Task Force
- 2002-Present** President & CEO, GNCA, Inc. Nashua, NH
- 1997-Present** President & CEO, Healthy At Home, Inc., Nashua, NH
- 1995-Present** President & CEO, Milford Regional Counseling Services, Inc., Milford, NH
- 1995-Present** President & CEO, Welcoming Light, Inc., Nashua, NH
- 1982-Present** President & CEO, Harbor Homes, Inc., Nashua, NH
- Currently employed as chief executive officer of six nonprofit corporations (Partnership for Successful Living) creating and providing residential and supportive services, mental health care, primary/preventive health care, substance use disorder treatment and prevention services, supported employment and workforce development, professional training, and in-home health care to individuals and families who are homeless, living with disabilities, and/or are underserved/members of vulnerable populations. Responsible for initiation, development, and oversight of more than 50 programs comprising a \$16,000,000 operating budget; proposal development resulting in more than \$9,000,000 in grants annually; oversight of 330 management and direct care professionals.
- 2003-2006** Consultant  
Providing consultation and technical assistance throughout the State to aid service and mental health organizations
- 1980 - 1982** Real Estate Broker, LeVaux Realty, Cambridge, MA  
Successful sales and property management specialist.
- 1979 - 1980** Clinical Coordinator, Task Oriented Communities, Waltham, MA  
Established and provided comprehensive rehabilitation services to approximately 70 mentally ill/mentally retarded clients. Hired, directly supervised, and trained a full-time staff of 20 residential coordinators. Developed community residences for the above clients in three Boston suburbs. Provided emergency consultation on a 24-hour basis to staff dealing with crisis management in six group homes and one sheltered workshop. Administrative responsibilities included some financial management, quality assurance, and other accountability to state authorities.
- 1978 - 1979** Faculty, Middlesex Community College, Bedford, MA  
Instructor for an introductory group psychotherapy course offered through the Social Work Department.
- 1977 - 1979** Senior Social Worker/Assistant Director, Massachusetts Tuberculosis Treatment Center II, a unit of Middlesex County Hospital, Waltham, MA  
Functioned as second in command and chief clinical supervisor for eight interdisciplinary team members, and implemented a six-month residential program for individuals afflicted with recurring tuberculosis and alcoholism. Provided group and individual therapy, relaxation training.
- 1976** Social Worker, Massachusetts Institute of Technology, Out-Patient Psychiatry, Cambridge, MA  
Employed in full-time summer position providing out patient counseling to individuals and groups of the MIT community.
- 1971 - 1976** Program Counselor/Supervisor, Massachusetts Institute of Technology, MIT/Wellesley College Upward Bound Program, Cambridge and Wellesley, MA  
Major responsibilities consisted of psycho educational counseling of Upward Bound students, supervision of tutoring staff, teaching, conducting evaluative research for program policy development.

Patricia A. Robitaille, CPA

TEL:

## PROFILE

---

- 18 years experience in accounting/financial
- Management experience
- Diversified industry exposure
- Counselor and mentor
- Training experience
- Knowledge of multiple computer programs
- Excellent client rapport
- Tax preparation experience

## PROFESSIONAL EXPERIENCE

---

- June 2009 – Present      *Vice President of Finance*      Harbor Homes, Inc.
- Responsible for the finances of 9 related non-profit entities with revenues in excess \$22M
  - Directly responsible for budgeting, planning, cash management, grants and contracts falling under the business/accounting office
  - Reviews and analyzes the monthly, quarterly and annual financial reports
  - Analyzes results of cash flows, budget expenditures and grant restrictions
  - Assists the President/CEO with financial planning and capital projects
  - Responsible for the annual financial and retirement audits of all related entities
  - Reviews Federal 990 tax returns and state returns
  - Set up web based electronic timesheets
  - Implemented the conversion and installation of accounting and HR software
  - Prepares and reviews 941 quarterly returns, state unemployment returns
  - Oversees worker's compensation renewals, audit preparations, safety controls
  - Responsible for coordinating, financing of two \$6M capital construction
- Jan. 2007 – Oct. 2008      *Audit Manager*      Ernst Young LLP, Manchester, NH
- Managed audits of private corporations with revenues up to \$200 million
  - Assisted as manager of audits for public corporations with revenues up to \$400 million
  - Reviewed and assisted preparation of financial statements, 10Q quarterly filings and 10K annual filings
  - Analyzed and reviewed internal control under Section 404 of the Sarbanes Oxley Act
  - Prepared management comments in conjunction with material weakness or significant deficiencies
- Jun. 1997 – Jan. 2007      *Audit Supervisor*      Melanson Heath & Company, P.C., Nashua, NH
- Supervise/train various teams for commercial, not-for-profit, and municipal audits and agreed upon procedures
  - Audit services include balance sheet reconciliation including inventory control
  - Preparation and presentation of financial statements
  - Preparation of management comment letters for internal quality improvement
  - Assist clients with all aspects of accounting

**Greater Nashua Council on Alcoholism**

Key Personnel

| Name                | Job Title                        | Salary    | % Paid from this Contract | Amount Paid from this Contract |
|---------------------|----------------------------------|-----------|---------------------------|--------------------------------|
| Mary Beth LaValley  | VP of Operations                 | \$135,000 | 0%                        | \$0                            |
| Alexandra Hamel     | Clinical Services Director       | \$82,000  | 0%                        | \$0                            |
| Charlotte Trenholm  | Director of Intake Services      | \$75,000  | 0%                        | \$0                            |
| Jaime Gormley       | Director of Residential Services | \$77,250  | 0%                        | \$0                            |
| Peter Kelleher      | President and CEO                | \$338,146 | 0%                        | \$0                            |
| Patricia Robitaille | Chief Financial Officer          | \$150,000 | 0%                        | \$0                            |



Jeffrey A. Meyers  
Commissioner

Katja S. Fox  
Director

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION FOR BEHAVIORAL HEALTH

129 PLEASANT STREET, CONCORD, NH 03301  
603-271-9544 1-800-852-3345 Ext. 9544  
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dbhs.nh.gov

29 E Mac

JUN 19 2019

June 6, 2019

His Excellency, Governor Christopher T. Sununu  
and the Honorable Council  
State House  
Concord, New Hampshire 03301

**REQUESTED ACTION**

Authorize the Department of Health and Human Services, Division for Behavioral Health, to exercise a renewal option and amend an existing contract to the twelve (12) vendors listed below in bold, to provide substance use disorder treatment and recovery support services, statewide, by increasing the total combined price limitation by \$7,872,584 from \$8,278,098 to an amount not to exceed \$16,150,682 and extend the completion date from June 30, 2019 to September 30, 2020 effective upon the date of Governor and Executive Council approval. 70.76% Federal, 10.56% General, and 18.69% Other Funds.

Contrary to all other vendors listed below in bold, Greater Nashua Council on Alcoholism will expire on October 31, 2019.

Funds are anticipated to be available in SFY 2020 and SFY 2021, upon the availability and continued appropriation of funds in the future operating budgets, with authority to adjust amounts within the price limitation and adjust encumbrances between State Fiscal Years through the Budget Office.

**Summary of contracted amounts by Vendor:**

| Vendor   | Current Amount | Increase/ Decrease | Revised Budget | G&C Approval  |
|--|----------------|--------------------|----------------|---|
| <b>Dismas Home of New Hampshire, Inc.</b>  | \$243,400      | \$9,600            | \$253,000      | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |
| <b>FIT/NHNN, Inc.</b>  | \$854,031      | \$1,217,151        | \$2,071,182    | O: 07/27/18 Item #7<br>A: 12/05/2018 Item #23                           |
| <b>Grafton County New Hampshire – Department of Corrections and Alternative Sentencing</b> | \$247,000      | \$246,000          | \$493,000      | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7                          |
| <b>Greater Nashua Council on Alcoholism</b>  | \$1,514,899    | (\$135,899)        | \$1,379,000    | O: 07/27/18 Item #7<br>A1: 12/05/18 Item #23                            |
| <b>Headrest</b>  | \$228,599      | \$382,401          | \$611,000      | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |
| <b>Manchester Alcoholism Rehabilitation Center</b>   | \$2,210,171    | \$3,089,629        | \$5,299,800    | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |

|  |                    |                    |                     |   |
|--|--------------------|--------------------|---------------------|---|
| Hope on Haven Hill                                       | \$497,041          | \$227,959          | \$725,000           | O: 07/27/18 Item #7<br>A1: 12/05/18 Item #23                            |
| North Country Health Consortium                          | \$401,606          | \$1,017,394        | \$1,419,000         | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |
| Phoenix Houses of New England, Inc.                      | \$817,521          | \$1,108,479        | \$1,926,000         | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |
| Seacoast Youth Services                                  | \$73,200           | \$0.00             | \$73,200            | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7                          |
| Southeastern New Hampshire Alcohol & Drug Abuse Services | \$969,140          | \$891,860          | \$1,861,000         | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7<br>A2: 12/05/18 Item #23 |
| The Community Council of Nashua, N.H.                    | \$162,000          | (\$139,000)        | \$23,000            | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7                          |
| West Central Services, Inc.                              | \$59,490           | (\$42,990)         | \$16,500            | O:06/20/18 Late Item G<br>A1: 07/27/18 Item #7                          |
| <b>Total</b>   | <b>\$8,278,098</b> | <b>\$7,872,584</b> | <b>\$16,150,682</b> |   |

05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS  
DEPT OF, HHS: BEHAVIORIAL HEALTH DIV, BUREAU OF DRUG & ALCOHOL SVCS, GOVERNOR  
COMMISSION FUNDS (100% Other Funds)

05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS  
DEPT OF, HHS: BEHAVIORIAL HEALTH DIV, BUREAU OF DRUG & ALCOHOL SVCS, CLINICAL  
SERVICES (66% Federal Funds, 34% General Funds FAIN T1010035 CFDA 93.959)

05-95-92-920510-70400000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS  
DEPT OF, HHS: BEHAVIORIAL HEALTH DIV, BUREAU OF DRUG & ALCOHOL SVCS, STATE  
OPIOID RESPONSE GRANT (100% Federal Funds, FAIN H79T1081685 CFDA 93.788)

Please see attached financial details.

**EXPLANATION**

This purpose of this request is to extend the agreements with the Contractors listed above to provide substance use disorder treatment and recovery support services, statewide.

These funds will be used to provide \$100 room and board payments for Medicaid-covered individuals with opioid use disorder in residential treatment. Funds in this amendment will assist with serving the Medicaid population challenge of different reimbursement rates between Medicaid and Commercial payers. The vendors above will also continue to offer their existing array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, high and low intensity residential services.

This amendment is part of the State's recently approved plan under the State Opioid Response (SOR) grant, which identified access to residential treatment as a funding priority. The Substance Abuse and Mental Health Services Administration (SAMHSA) approved NH's proposal in September 2018. The vendors above will use these funds to ensure that individuals with OUD receiving the appropriate level of residential treatment have continued and/or expanded access to the necessary level of care, which increases their ability to achieve and maintain recovery.

Approximately 6,000 individuals will receive substance use disorder treatment services from July 2019 through September 2020. In addition, approximately 40,184 days of room and board will be funded.

The original agreement, included language in Exhibit C-1, that allows the Department to renew the contract for up to two (2) years, subject to the continued availability of funding, satisfactory performance of service, parties' written authorization and approval from the Governor and Executive Council. The Department is in agreement with renewing services for one (1) and three (3) months of the two (2) years at this time.

Substance use disorders develop when the use of alcohol and/or drugs causes clinically and functionally significant impairment, such as health problems, disability, and failure to meet major responsibilities at work, school, or home. The existence of a substance use disorder is determined using a clinical evaluation based on Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition criteria.

These Agreements are part of the Department's overall strategy to respond to the opioid epidemic that continues to negatively impact New Hampshire's individuals, families, and communities as well as to respond to other types of substance use disorders. Under the current iteration of these contracts, 13 vendors are delivering an array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, high and low intensity residential, and ambulatory and residential withdrawal management services as well as ancillary recovery support services. In 2018, there were 467 confirmed drug overdose deaths in NH with 6 cases still pending. These contracts will support the State's efforts to continue to respond to the opioid epidemic and substance misuse as a whole.

Should the Governor and Executive Council determine to not authorize this Request, the vendors would not have sufficient resources to promote and provide the array of services necessary to provide individuals with substance use disorders the necessary tools to achieve, enhance and sustain recovery.

Area served: Statewide.

Source of Funds: 70.76% Federal Funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant, CFDA #93.959, Federal Award Identification Number TI010035-14, Substance Abuse and Mental Health Services Administration State Opioid Response Grant, CFDA #93.788, and 10.56% General Funds and 18.69% Other Funds from the Governor's Commission on Alcohol and Other Drug Abuse Prevention, Intervention and Treatment.

In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Jeffrey A. Meyers  
Commissioner

Attachment A  
Financial Details

05-88-82-820510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH,  
BUREAU OF DRUG & ALCOHOL SVCS, GOVERNOR COMMISSION FUNDS (100% Other Funds)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health

Vendor Code: 154112-8001

PO1062982

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$48,857      | (\$39,236)           | \$9,621                 |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$3,209              | \$3,209                 |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$963                | \$963                   |
| Sub-total         |               |                        | \$48,857      | (\$35,064)           | \$13,793                |

Dixmas Home of NH

Vendor Code: 290081-8001

PO1062978

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$72,381      | (\$24,946)           | \$47,435                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$25,670             | \$25,670                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$6,417              | \$6,417                 |
| Sub-total         |               |                        | \$72,381      | \$7,141              | \$79,522                |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctz/Famum

Vendor Code: 177204-8005

PO1062980

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$337,288     | \$0                  | \$337,288               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$483,229            | \$483,229               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$120,968            | \$120,968               |
| Sub-total         |               |                        | \$337,288     | \$604,197            | \$941,485               |

FIT/NDH

Vendor Code: 157730-8001

PO1063358

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$194,759     | \$0                  | \$194,759               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$251,712            | \$251,712               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$62,890             | \$62,890                |
| Sub-total         |               |                        | \$194,759     | \$314,602            | \$509,361               |

Attachment A  
Financial Details

Grahn County Vendor Code: 177397-8000 PO1062977

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$74,492      | \$0                  | \$74,492                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$74,121             | \$74,121                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$18,610             | \$18,610                |
| Sub-total         |               |                        | \$74,492      | \$92,731             | \$167,223               |

Greater Nashua Council on Alcoholism Vendor Code: 166574-8001 PO1063242

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$188,372     | \$0                  | \$188,372               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$84,495             | \$84,495                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| Sub-total         |               |                        | \$188,372     | \$84,495             | \$272,867               |

Headrest, Inc Vendor Code: 175226-8001 PO1062979

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$44,635      | \$0                  | \$44,635                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$14,760             | \$14,760                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$3,650              | \$3,650                 |
| Sub-total         |               |                        | \$44,635      | \$18,610             | \$63,245                |

Hope on Haven Hill Vendor Code: 275119-8001 PO1063243

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$84,035      | (\$44,268)           | \$39,767                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$31,445             | \$31,445                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$8,022              | \$8,022                 |
| Sub-total         |               |                        | \$84,035      | (\$4,801)            | \$79,234                |

Attachment A  
Financial Details

North County  
Health Consortium

Vendor Code: 158567-8001

PO1062986

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$86,678      | \$0                  | \$86,678                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$117,118            | \$117,118               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$29,199             | \$29,199                |
| Sub-total         |               |                        | \$86,678      | \$146,317            | \$232,995               |

Phoenix Houses of  
New England, Inc.

Vendor Code: 177589-8001

PO1062985

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$70,246      | \$0                  | \$70,246                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$101,395            | \$101,395               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$25,349             | \$25,349                |
| Sub-total         |               |                        | \$70,246      | \$126,744            | \$196,990               |

Seacoast Youth  
Services

Vendor Code: 203944-8001

PO1062984

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$22,076      | \$0                  | \$22,076                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| Sub-total         |               |                        | \$22,076      | \$0                  | \$22,076                |

Southeastern NH  
Alcohol and Drug  
Services

Vendor Code 155292-8001

PO1062989

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$177,799     | (\$10,390)           | \$167,409               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$120,847            | \$120,847               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$30,162             | \$30,162                |
| Sub-total         |               |                        | \$177,799     | \$140,419            | \$318,218               |

Attachment A  
Financial Details

West Central  
Services Vendor Code: 177854-B001

PO1062888

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$17,942      | (\$14,857)           | \$3,085                 |
| 2020              | 102-500734    | Contracts for Prog Svc | --            | \$3,209              | \$3,209                 |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$802                | \$802                   |
| Sub-total         |               |                        | \$17,942      | (\$10,846)           | \$7,096                 |
| Total Gov. Comm   |               |                        | \$1,418,680   | \$1,484,845          | \$2,884,108             |

05-85-82-820510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, CLINICAL SERVICES (68% Federal Funds, 34% General Funds FAIN T1010035 CFDA 93.859)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health Vendor Code: 154112-B001

PO1082962

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$113,143     | (\$112,784)          | \$379                   |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$8,791              | \$8,791                 |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$2,037              | \$2,037                 |
| Sub-total         |               |                        | \$113,143     | (\$103,936)          | \$9,207                 |

Olana Home of NH Vendor Code:290061-B001

PO1062978

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$187,819     | (\$135,054)          | \$32,565                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$54,330             | \$54,330                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$13,583             | \$13,583                |
| Sub-total         |               |                        | \$187,819     | (\$67,141)           | \$100,478               |

Attachment A  
Financial Details

Ester Seeds of NH  
Manchester  
Alcoholism Rehab  
Ctr/Farnum

Vendor Code: 177204-B005

PO1062960

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$781,083     | \$387,629            | \$1,178,712             |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$1,022,771          | \$1,022,771             |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$256,032            | \$256,032               |
| Sub-total         |               |                        | \$781,083     | \$1,676,432          | \$2,457,515             |

FIT/NH-NH

Vendor Code: 157730-B001

PO1063556

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$451,016     | \$27,825             | \$478,841               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$532,758            | \$532,758               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$133,110            | \$133,110               |
| Sub-total         |               |                        | \$451,016     | \$693,693            | \$1,144,709             |

Grafton County

Vendor Code: 177397-B003

PO1062977

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$172,508     | (\$43,000)           | \$129,508               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$156,879            | \$156,879               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$39,390             | \$39,390                |
| Sub-total         |               |                        | \$172,508     | \$153,269            | \$325,777               |

Greater Nashua  
Council on  
Alcoholism

Vendor Code: 166574-B001

PO1063242

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$436,227     | \$401                | \$436,628               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$136,505            | \$136,505               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| Sub-total         |               |                        | \$436,227     | \$136,906            | \$573,133               |

Headrest, Inc

Vendor Code: 175226-B001

PO1062879

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$103,364     | (\$49,999)           | \$53,365                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$31,240             | \$31,240                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$8,150              | \$8,150                 |
| Sub-total         |               |                        | \$103,364     | (\$10,609)           | \$92,755                |

Attachment A  
Financial Details

Hope on Haven HB Vendor Code: 275119-8001 PO1063243

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$194,606     | (\$135,373)          | \$59,233                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$86,555             | \$86,555                |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$18,978             | \$18,978                |
| Sub-total         |               |                        | \$194,606     | (\$51,840)           | \$142,766               |

North County Health Consortium Vendor Code: 158557-8001 PO1062986

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$200,728     | \$80,594             | \$281,322               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$247,682            | \$247,682               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$81,801             | \$81,801                |
| Sub-total         |               |                        | \$200,728     | \$390,277            | \$591,005               |

Phoenix Houses of New England, Inc. Vendor Code: 177589-8001 PO1062985

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$162,675     | \$84,079             | \$246,754               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$214,605            | \$214,605               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$53,651             | \$53,651                |
| Sub-total         |               |                        | \$162,675     | \$352,335            | \$515,010               |

Attachment A  
Financial Details

Southeastern NH  
Services

Vendor Code: 203944-8001

PO1062984

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$51,124      | \$0                  | \$51,124                |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$0                  | \$0                     |
| <b>Sub-total</b>  |               |                        | \$51,124      | \$0                  | \$51,124                |

Southeastern NH  
Alcohol and Drug  
Services

Vendor Code 155292-8001

PO1062989

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$411,741     | (\$203,150)          | \$208,591               |
| 2020              | 102-500734    | Contracts for Prog Svc |               | \$255,353            | \$255,353               |
| 2021              | 102-500734    | Contracts for Prog Svc |               | \$63,838             | \$63,838                |
| <b>Sub-total</b>  |               |                        | \$411,741     | \$116,041            | \$527,782               |

West Central  
Services

Vendor Code: 177854-8001

PO1062988

| State Fiscal Year         | Class/Account | Title                  | Budget Amount      | Increase/ (Decrease) | Revised Modified Budget |
|---------------------------|---------------|------------------------|--------------------|----------------------|-------------------------|
| 2019                      | 102-500734    | Contracts for Prog Svc | \$41,548           | (\$40,833)           | \$915                   |
| 2020                      | 102-500734    | Contracts for Prog Svc |                    | \$6,791              | \$6,791                 |
| 2021                      | 102-500734    | Contracts for Prog Svc |                    | \$1,696              | \$1,696                 |
| <b>Sub-total</b>          |               |                        | \$41,548           | (\$32,144)           | \$9,404                 |
| <b>Total Clinical Svc</b> |               |                        | <b>\$3,287,392</b> | <b>\$3,253,283</b>   | <b>\$5,640,695</b>      |

Attachment A  
Financial Details

64-95-92-820610-70400000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH,  
BUREAU OF DRUG & ALCOHOL SVCS, STATE OPIOID RESPONSE GRANT (100% Federal Funds, FAIN H79T061688 CFDA 93.788)

Community Council  
of Nashua-Cr  
Nashua Comm  
Mental Health

Vendor Code: 154112-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                  | \$0                     |

Dumas Home of NH

Vendor Code: TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$3,400       | \$11,600             | \$15,000                |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$51,000             | \$51,000                |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$7,000              | \$7,000                 |
| Sub-total         |               |                        | \$3,400       | \$69,600             | \$73,000                |

Eastar Seats of NH

Manchester

Alcoholism Rehab

Ctr/F amum

Vendor Code: 177204-8005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$1,091,800   | (\$307,800)          | \$784,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$1,091,800          | \$1,091,800             |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$25,000             | \$25,000                |
| Sub-total         |               |                        | \$1,091,800   | \$809,000            | \$1,900,800             |

Attachment A  
Financial Details

FIT/MNH Vendor Code: 157730-8001

| State Fiscal Year | Class/Account  | Title                  | Budget Amount    | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|----------------|------------------------|------------------|----------------------|-------------------------|
| 2019              | 102-500734     | Contracts for Prog Svc | \$206,256        | \$0                  | \$206,256               |
| 2020              | 102-500734     | Contracts for Prog Svc | \$0              | \$208,856            | \$208,856               |
| - 2021 -          | - 102-500734 - | Contracts for Prog Svc | \$0              | \$0                  | \$0                     |
| <b>Sub-total</b>  |                |                        | <b>\$206,256</b> | <b>\$208,856</b>     | <b>\$417,112</b>        |

Grafton County Vendor Code: 177387-8003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| <b>Sub-total</b>  |               |                        | <b>\$0</b>    | <b>\$0</b>           | <b>\$0</b>              |

Greater Nashua Council on Alcoholism

Vendor Code: 186574-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount    | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|------------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$890,300        | (\$537,300)          | \$353,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0              | \$200,000            | \$200,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0              | \$0                  | \$0                     |
| <b>Sub-total</b>  |               |                        | <b>\$890,300</b> | <b>(\$337,300)</b>   | <b>\$553,000</b>        |

Headrest, Inc

Vendor Code: 175226-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount   | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|-----------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$80,000        | \$70,000             | \$150,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0             | \$298,000            | \$298,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0             | \$5,400              | \$5,400                 |
| <b>Sub-total</b>  |               |                        | <b>\$80,000</b> | <b>\$374,400</b>     | <b>\$455,000</b>        |

Attachment A  
Financial Details

Hope on Haven Hill Vendor Code: 275118-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$218,400     | (\$42,400)           | \$176,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$302,000            | \$302,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$25,000             | \$25,000                |
| Sub-total         |               |                        | \$218,400     | \$284,600            | \$503,000               |

North County Health Consortium Vendor Code: 158557-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$114,200     | \$102,800            | \$217,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$372,000            | \$372,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$8,000              | \$8,000                 |
| Sub-total         |               |                        | \$114,200     | \$480,800            | \$565,000               |

Phoenix Houses of New England, Inc. Vendor Code: 177569-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$584,600     | (\$146,800)          | \$438,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$751,000            | \$751,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$25,000             | \$25,000                |
| Sub-total         |               |                        | \$584,600     | \$629,400            | \$1,214,000             |

Seacoast Youth Services Vendor Code: 203944-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ (Decrease) | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|----------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                  | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                  | \$0                     |

Attachment A  
Financial Details

Southeastern NH  
Alcohol and Drug  
Services

Vendor Code 155292-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount    | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|------------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$379,600        | (\$14,600)         | \$365,000               |
| 2020              | 102-500734    | Contracts for Prog Svc | \$0              | \$625,000          | \$625,000               |
| 2021              | 102-500734    | Contracts for Prog Svc | \$0              | \$25,000           | \$25,000                |
| <b>Sub-total</b>  |               |                        | <b>\$379,600</b> | <b>\$635,400</b>   | <b>\$1,015,000</b>      |

West Central  
Services

Vendor Code: 177654-8001

| State Fiscal Year      | Class/Account | Title                  | Budget Amount      | Increase/ Decrease | Revised Modified Budget |
|------------------------|---------------|------------------------|--------------------|--------------------|-------------------------|
| 2019                   | 102-500734    | Contracts for Prog Svc | \$0                | \$0                | \$0                     |
| 2020                   | 102-500734    | Contracts for Prog Svc | \$0                | \$0                | \$0                     |
| 2021                   | 102-500734    | Contracts for Prog Svc | \$0                | \$0                | \$0                     |
| <b>Sub-total</b>       |               |                        | <b>\$0</b>         | <b>\$0</b>         | <b>\$0</b>              |
| <b>Total SOR Grant</b> |               |                        | <b>\$3,071,196</b> | <b>\$2,154,759</b> | <b>\$4,725,912</b>      |
| <b>Grand Total All</b> |               |                        | <b>\$3,278,098</b> | <b>\$2,072,684</b> | <b>\$18,150,882</b>     |

Attachment A  
Financial Details

| Grand Total by Vendor |   |              | 2019                     | 2018              | 2020              | 2021              |
|-----------------------|---|--------------|--------------------------|-------------------|-------------------|-------------------|
| PO                    | Vendors   | Vendor Code: | Current Price Limitation | Increase/Decrease | Increase/Decrease | Increase/Decrease |
| PO1062962             | Community Council of Nashua-Gr<br>Nashua Comm Mental Health | 154112-B001  | \$182,000                | (\$132,000)       | \$10,000          | \$3,000           |
| PO1062978             | Dixmas Home of NH   | 290061-B001  | \$243,400                | (\$148,400)       | \$131,000         | \$27,000          |
| PO1062980             | Easter Seals of NH Manchester<br>Alcoholism Rehab Center    | 177204-B005  | \$2,210,171              | \$89,820          | \$2,597,800       | \$402,000         |
| PO1063556             | FIT/NH/NH   | 157730-B001  | \$854,031                | \$27,825          | \$983,326         | \$198,000         |
| PO1062977             | Grafton County  | 177397-B003  | \$247,000                | (\$43,000)        | \$231,000         | \$58,000          |
| PO1063242             | Greater Nashua Council on<br>Alcoholism                     | 166574-B001  | \$1,514,899              | (\$536,899)       | \$401,000         | \$0               |
| PO1062979             | Headrest, Inc   | 175226-B001  | \$228,599                | \$20,001          | \$345,000         | \$17,400          |
| PO1063243             | Hope on Haven HB  | 275118-B001  | \$497,041                | (\$222,041)       | \$400,000         | \$50,000          |
| PO1062986             | North Country Health Consortium                             | 158557-B001  | \$401,606                | \$183,394         | \$737,000         | \$97,000          |
| PO1062985             | Phoenix Houses of New England,<br>Inc.                      | 177569-B001  | \$617,521                | (\$82,521)        | \$1,067,000       | \$104,000         |
| PO1062984             | Seacoast Youth Services                                     | 203944-B001  | \$73,200                 | \$0               | \$0               | \$0               |
| PO1062989             | Southeastern NH Alcohol and<br>Drug Services                | 155292-B001  | \$989,140                | (\$226,140)       | \$1,001,000       | \$119,000         |
| PO1062988             | West Central Services                                       | 177654-B001  | \$59,490                 | (\$55,490)        | \$10,000          | \$2,500           |
|                       | Total   |              | \$8,278,098              | (\$1,127,442)     | \$7,924,126       | \$1,075,900       |



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**State of New Hampshire  
Department of Health and Human Services  
Amendment #2 to the Substance Use Disorder Treatment and  
Recovery Support Services Contract**

This 2<sup>nd</sup> Amendment to the Substance Use Disorder Treatment and Recovery Support Services contract (hereinafter referred to as "Amendment #3") is by and between the State of New Hampshire, Department of Health and Human Services (hereinafter referred to as the "State" or "Department") and Greater Nashua Council on Alcoholism., (hereinafter referred to as "the Contractor"), a nonprofit corporation with a place of business at 615 Amherst Street, Nashua, NH 03063.

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on July 27, 2018 (Item #7) and amended on December 5, 2018 (#23), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, the State and the Contractor have agreed to make changes to the scope of work, completion date, price limitation and payment schedules or terms and conditions of the contract; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 18, and Exhibit C-1, Revisions to General Provisions Paragraph 3 the State may modify the scope of work and the payment schedule of the contract upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to modify the contract completion date and increase the price limitation to support continued delivery of these services; and

WHEREAS, all terms and conditions of the Contract and prior amendments not inconsistent with this Amendment #2 remain in full force and effect; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37 General Provisions, Block 1.7, Completion Date, to read:  
October 31, 2019.
2. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:  
\$1,379,000.
3. Delete Exhibit A, Amendment #1, Scope of Service in its entirety and replace with Exhibit A, Amendment #2, Scope of Services.
4. Delete Exhibit B, Amendment #1, Methods and Conditions Precedent to Payment in its entirety and replace with Exhibit B, Amendment #2 Methods and Conditions Precedent to Payment.



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

This amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire  
Department of Health and Human Services

6/6/19  
Date

[Signature]  
Katja S. Fox  
Director

Greater Nashua Council on Alcoholism

6/5/19  
Date

[Signature]  
Name: Peter Keitker  
Title: President & CEO

Acknowledgement of Contractor's signature:

State of New Hampshire, County of Hillsborough on 6/5/2019, before the undersigned officer, personally appeared the person identified directly above, or satisfactorily proven to be the person whose name is signed above, and acknowledged that s/he executed this document in the capacity indicated above.

[Signature]  
Signature of Notary Public or Justice of the Peace

William C Martin Justice of the Peace  
Name and Title of Notary or Justice of the Peace

My Commission Expires: WILLIAM C. MARTIN  
Justice of the Peace - New Hampshire  
My Commission Expires November 4, 2020



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

Scope of Services

**1. Provisions Applicable to All Services**

- 1.1. The Contractor agrees that, to the extent future legislative action by the New Hampshire General Court or federal or state court orders may have an impact on the Services described herein, the State Agency has the right to modify Service priorities and expenditure requirements under this Agreement so as to achieve compliance therewith.
- 1.2. For the purposes of this Contract, the Department has identified the Contractor as a Subrecipient in accordance with the provisions of 2 CFR 200 et seq.
- 1.3. The Contractor shall provide Substance Use Disorder Treatment and Recovery Support Services to any eligible client, regardless of where the client lives or works in New Hampshire.

**1.4. Standard Compliance**

1.4.1. The Contractor shall meet all information security and privacy requirements as set by the Department.

**1.4.2. State Opioid Response (SOR) Grant Standards**

1.4.2.1. The Contractor shall establish formal information sharing and referral agreements with the Regional Hubs for Substance Use Services, compliant with all applicable confidentiality laws, including 42 CFR Part 2 in order to receive payments for services funded with SOR resources.

1.4.2.2. The Department shall be able to verify that client referrals to the Regional Hub for Substance Use Services have been completed by Contractor prior to accepting invoices for services provided through SOR funded initiatives.

1.4.2.3. The Contractor shall only provide Medication Assisted Treatment (MAT) with FDA-approved MAT for Opioid Use Disorder (OUD). FDA-approved MAT for OUD includes:

1.4.2.3.1. Methadone.

1.4.2.3.2. Buprenorphine products, including:

1.4.2.3.2.1. Single-entity buprenorphine products.

1.4.2.3.2.2. Buprenorphine/naloxone tablets,

1.4.2.3.2.3. Buprenorphine/naloxone films.

1.4.2.3.2.4. Buprenorphine/naloxone buccal

PA  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

preparations.

- 1.4.2.3.3. Long-acting injectable buprenorphine products.
- 1.4.2.3.4. Buprenorphine implants.
- 1.4.2.3.5. Injectable extended-release naltrexone.
- 1.4.2.4. The Contractor shall not provide medical withdrawal management services to any individual supported by SOR Funds, unless the withdrawal management service is accompanied by the use of injectable extended-release naltrexone, as clinically appropriate.
- 1.4.2.5. The Contractor shall ensure that clients receiving financial aid for recovery housing utilizing SOR funds shall only be in a recovery housing facility that is aligned with the National Alliance for Recovery Residences standards and registered with the State of New Hampshire, Bureau of Drug and Alcohol Services in accordance with current NH Administrative Rules.
- 1.4.2.6. The Contractor shall assist clients with enrolling in public or private health insurance, if the client is determined eligible for such coverage.
- 1.4.2.7. The Contractor shall accept clients on MAT and facilitate access to MAT on-site or through referral for all clients supported with SOR Grant funds, as clinically appropriate.
- 1.4.2.8. The Contractor shall coordinate with the NH Ryan White HIV/AIDS program, for clients identified as at risk of or with HIV/AIDS.
- 1.4.2.9. The Contractor shall ensure that all clients are regularly screened for tobacco use, treatment needs and referral to the QuitLine as part of treatment planning.
- 1.4.3. The Contractor shall submit a plan for Department approval no later than 30 days from the date of Governor & Executive Council approval that specifies actions to be taken in the event that the Contractor ceases to provide services. The Contractor shall ensure the plan includes, but is not limited to:
  - 1.4.3.1. A transition action plan that ensures clients seamlessly transition to alternative providers with no gap in services.
  - 1.4.3.2. Where and how client records will be transferred to ensure no gaps and services, ensuring the Department is not identified as the entity responsible for client records; and
  - 1.4.3.3. Client notification processes and procedures for 1.5.3.1 and 1.5.3.2.

## 2. Scope of Services

### 2.1. Covered Populations

- 2.1.1. The Contractor shall provide services to eligible individuals who:



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 2.1.1.1. Are age 12 or older or under age 12, with required consent from a parent or legal guardian to receive treatment, and
- 2.1.1.2. Have income below 400% Federal Poverty Level, and
- 2.1.1.3. Are residents of New Hampshire or homeless in New Hampshire, and
- 2.1.1.4. Are determined positive for substance use disorder.

**2.2. Resiliency and Recovery Oriented Systems of Care**

- 2.2.1. The Contractor shall provide substance use disorder treatment services that support the Resiliency and Recovery Oriented Systems of Care (RROSC) by operationalizing the Continuum of Care Model (<http://www.dhhs.nh.gov/dcbcs/bdas/continuum-of-care.htm>).
- 2.2.2. RROSC supports person-centered and self-directed approaches to care that build on the strengths and resilience of individuals, families and communities to take responsibility for their sustained health, wellness and recovery from alcohol and drug problems. At a minimum, the Contractor shall:
  - 2.2.2.1. Inform the Integrated Delivery Network(s) (IDNs) of services available in order to align this work with IDN projects that may be similar or impact the same populations.
  - 2.2.2.2. Inform the Regional Public Health Networks (RPHN) of services available in order to align this work with other RPHN projects that may be similar or impact the same populations.
  - 2.2.2.3. Coordinate client services with other community service providers involved in the client's care and the client's support network
  - 2.2.2.4. Coordinate client services with the Department's Doorway contractors including, but not limited to:
    - 2.2.2.4.1. Ensuring timely admission of clients to services
    - 2.2.2.4.2. Referring any client receiving room and board payment to the Doorway;
    - 2.2.2.4.3. Coordinating all room and board client data and services with the clients' preferred Doorway to ensure that each room and board client served has a GPRA interview completed at intake, three (3) months, six (6) months, and discharge.
    - 2.2.2.4.4. Referring clients to Doorway services when the Contractor cannot admit a client for services within forty-eight (48) hours; and
    - 2.2.2.4.5. Referring clients to Doorway services at the time of discharge when a client is in need of Hub services
  - 2.2.2.5. Be sensitive and relevant to the diversity of the clients being served.

99  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

2.2.2.6. Be trauma informed; i.e. designed to acknowledge the impact of violence and trauma on people's lives and the importance of addressing trauma in treatment.

2.3. Substance Use Disorder Treatment Services

2.3.1. The Contractor shall provide one or more of the following substance use disorder treatment services:

2.3.1.1. Individual Outpatient Treatment as defined as American Society of Addiction Medicine (ASAM) Criteria, Level 1. Outpatient Treatment services assist an individual to achieve treatment objectives through the exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.

2.3.1.2. Group Outpatient Treatment as defined as ASAM Criteria, Level 1. Outpatient Treatment services assist a group of individuals to achieve treatment objectives through the exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.

2.3.1.3. Intensive Outpatient Treatment as defined as ASAM Criteria, Level 2.1. Intensive Outpatient Treatment services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities that are provided according to an individualized treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services. Services for adults are provided at least 9 hours a week. Services for adolescents are provided at least 6 hours a week.

2.3.1.4. Partial Hospitalization as defined as ASAM Criteria, Level 2.5. Partial Hospitalization services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities to individuals with substance use and moderate to severe co-occurring mental health disorders, including both behavioral health and medication management (as appropriate) services to address both disorders. Partial Hospitalization is provided to clients for at least 20 hours per week according to an individualized

*[Handwritten Signature]*  
6/5/19



**New Hampshire Department of Health and Human Services**  
**Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services.

2.3.1.5. Ambulatory Withdrawal Management services as defined as ASAM Criteria, Level 1-WM as an outpatient service. Withdrawal Management services provide a combination of clinical and/or medical services utilized to stabilize the client while they are undergoing withdrawal.

2.3.1.6. Transitional Living Services provide residential substance use disorder treatment services according to an individualized treatment plan designed to support individuals as they transition back into the community. Transitional Living Services are not defined by ASAM. Transitional Living services shall include at least 3 hours of clinical services per week of which at least 1 hour shall be delivered by a Licensed Counselor or unlicensed Counselor working under the supervision of a Licensed Supervisor and the remaining hours shall be delivered by a Certified Recovery Support Worker (CRSW) working under a Licensed Supervisor or a Licensed Counselor. The maximum length of stay in this service is six (6) months. Adult residents typically work in the community and may pay a portion of their room and board.

2.3.1.7. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1 for adults. Low-Intensity Residential Treatment services provide residential substance use disorder treatment services designed to support individuals that need this residential service. The goal of low-intensity residential treatment is to prepare clients to become self-sufficient in the community. Adult residents typically work in the community and may pay a portion of their room and board.

2.3.1.8. High-Intensity Residential Treatment for Adults as defined as ASAM Criteria, Level 3.5. This service provides residential substance use disorder treatment designed to assist individuals who require a more intensive level of service in a structured setting.

2.3.1.9. Specialty Residential Treatment for Pregnant and Parenting Women as defined as ASAM Criteria, Level 3.1 and above. This service provides residential substance use disorder treatment to pregnant women and their children when appropriately designed to assist individuals who require a more intensive level of service in a structured setting.

2.3.2. The Contractor may provide Integrated Medication Assisted Treatment only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.9 to a client:



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

2.3.2.1. Integrated Medication Assisted Treatment services provide for medication prescription and monitoring for treatment of opiate and other substance use disorders. The Contractor shall provide non-medical treatment services to the client in conjunction with the medical services provided either directly by the Contractor or by an outside medical provider. The Contractor shall be responsible for coordination of care and meeting all requirements for the service provided. The Contractor shall deliver Integrated Medication Assisted Treatment services in accordance with guidance provided by the Department, "Guidance Document on Best Practices: Key Components for Delivery Community-Based Medication Assisted Treatment Services for Opioid Use Disorders in New Hampshire."

2.4. Recovery Support Services

2.4.1. Upon approval of the Department, the Contractor shall provide recovery support services that will remove barriers to a client's participation in treatment or recovery, or reduce or remove threats to an individual maintaining participation in treatment and/or recovery.

2.4.2. The Contractor shall provide recovery support services only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.9 to a client, as follows:

2.4.2.1. Intensive Case Management

2.4.2.1.1. The Contractor may provide individual or group Intensive Case Management in accordance with SAMHSA TIP 27: Comprehensive Case Management for Substance Abuse Treatment (<https://store.samhsa.gov/product/TIP-27-Comprehensive-Case-Management-for-Substance-Abuse-Treatment/SMA15-4215>) and which exceed the minimum case management expectations for the level of care.

2.4.2.2. Transportation for Pregnant Women and Parenting Men and Women:

2.4.2.2.1. The Contractor may provide transportation services to pregnant women and parenting men and women to and from services as required by the client's treatment plan.



**New Hampshire Department of Health and Human Services--  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

2.4.2.2.2. The Contractor may use Contractor's own vehicle, and/or purchase public transportation passes and/or pay for cab fare. The Contractor shall:

2.4.2.2.2.1. Comply with all applicable Federal and State Department of Transportation and Department of Safety regulations.

2.4.2.2.2.2. Ensure that all vehicles are registered pursuant to New Hampshire Administrative Rule Saf-C 500 and inspected in accordance with New Hampshire Administrative Rule Saf-C 3200, and are in good working order.

2.4.2.2.2.3. Ensure all drivers are licensed in accordance with New Hampshire Administrative Rules, Saf-C 1000, drivers licensing, and Saf-C 1800 Commercial drivers licensing, as applicable.

2.4.2.3. Child Care for Parenting Clients:

2.4.2.3.1. The Contractor may provide child care to children of parenting clients while the individual is in treatment and case management services.

2.4.2.3.2. The Contractor may directly provide child care and/or pay for childcare provided by a licensed childcare provider.

2.4.2.3.3. The Contractor shall comply with all applicable Federal and State childcare regulations such as but not limited to New Hampshire Administrative Rule He-C 4002 Child Care Licensing.

**2.5. Enrolling Clients for Services**

2.5.1. The Contractor shall determine eligibility for services in accordance with Section 2.1 above and with Sections 2.5.2 through 2.5.4 below:

2.5.2. The Contractor shall complete intake screenings as follows:



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 2.5.2.1. Have direct contact (face to face communication by meeting in person, or electronically, or by telephone conversation) with an individual (defined as anyone or a provider) within two (2) business days from the date that individual contacts the Contractor for Substance Use Disorder Treatment and Recovery Support Services. All attempts at contact shall be documented in the client record or call log.
- 2.5.2.2. Complete an initial Intake Screening within two (2) business days from the date of the first direct contact with the individual, using the eligibility module in Web Information Technology System (WITS) to determine probability of being eligible for services under this contract and for probability of having a substance use disorder. All attempts at contact shall be documented in the client record or call log.
- 2.5.2.3. Assess clients' income prior to admission using the WITS fee determination model and
  - 2.5.2.3.1. Assure that clients' income information is updated as needed over the course of treatment by asking clients about any changes in income no less frequently than every 4 weeks. Inquiries about changes in income shall be documented in the client record
- 2.5.3. The Contractor shall complete an ASAM Level of Care Assessment for all services in Sections 2.3.1.1 through 2.3.1.2 within two (2) days of the initial Intake Screening in Section 2.5.2 above using the ASI Lite module, in Web Information Technology System (WITS) or other method approved by the Department when the individual is determined probable of being eligible for services.
  - 2.5.3.1. The Contractor shall make available to the Department, upon request, the data from the ASAM Level of Care Assessment in Section 2.5.3 in a format approved by the Department.
- 2.5.4. The Contractor shall use the clinical evaluations completed by a Licensed or unlicensed Counselor from a referring agency.
- 2.5.5. If the client does not present with an evaluation completed by a licensed or unlicensed counselor, the Contractor shall, for all services provided, complete a clinical evaluation utilizing CONTINUUM or an alternative method approved by the Department that includes DSM 5 diagnostic information and a recommendation for a level of care based on the ASAM Criteria, published in October, 2013. The Contractor shall complete a clinical evaluation, for each client:
  - 2.5.5.1. Prior to admission as a part of interim services or within three (3) business days following admission.
  - 2.5.5.2. During treatment only when determined by a Licensed Counselor.
- 2.5.6. The Contractor shall either complete clinical evaluations in Section 2.5.4, above before admission or Level of Care Assessments in Section 2.5.3, above before admission along with a clinical evaluation in Section 2.5.4, above after admission.



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

2.5.7. The Contractor shall provide eligible clients the substance use disorder treatment services in Section 2.3 determined by the client's clinical evaluation in Section 2.5.4 unless:

2.5.7.1. The client chooses to receive a service with a lower intensity ASAM Level of Care; or

2.5.7.2. The service with the needed ASAM level of care is unavailable at the time the level of care is determined in Section 2.5.3, in which case the client may choose:

2.5.7.2.1. A service with a lower Intensity ASAM Level of Care;

2.5.7.2.2. A service with the next available higher intensity ASAM Level of Care;

2.5.7.2.3. Be placed on the waitlist until their service with the assessed ASAM level of care becomes available as in Section 2.5.3; or

2.5.7.2.4. Be referred to another agency in the client's service area that provides the service with the needed ASAM Level of Care.

2.5.8. The Contractor shall enroll eligible clients for services in order of the priority described below:

2.5.8.1. Pregnant women and women with dependent children, even if the children are not in their custody, as long as parental rights have not been terminated, including the provision of interim services within the required 48-hour time frame. If the Contractor is unable to admit a pregnant woman for the needed level of care within 24 hours, the Contractor shall:

2.5.8.1.1. Contact the Doorway of the client's choice to connect the client with substance use disorder treatment services; or

2.5.8.1.2. If the client refuses referral in 2.5.8.1.1., assist the pregnant woman with identifying alternative providers and with accessing services with these providers. This assistance shall include actively reaching out to identify providers on the behalf of the client; and

2.5.8.1.3. Provide interim services until the appropriate level of care becomes available at either the Contractor agency or an alternative provider. Interim services shall include:

2.5.8.1.3.1. At least one 60-minute individual or group outpatient session per week;

2.5.8.1.3.2. Recovery support services as needed by the client;

*PA*  
6/5/19



New Hampshire Department of Health and Human Services --  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 2.5.8.1.3.3. Daily calls to the client to assess and respond to any emergent needs.
- 2.5.8.2. Individuals who have been administered naloxone to reverse the effects of an opioid overdose either in the 14 days prior to screening or in the period between screening and admission to the program.
- 2.5.8.3. Individuals with a history of injection drug use including the provision of interim services within 14 days.
- 2.5.8.4. Individuals with substance use and co-occurring mental health disorders.
- 2.5.8.5. Individuals with Opioid Use Disorders.
- 2.5.8.6. Veterans with substance use disorders
- 2.5.8.7. Individuals with substance use disorders who are involved with the criminal justice and/or child protection system.
- 2.5.8.8. Individuals who require priority admission at the request of the Department.
- 2.5.9. The Contractor shall obtain consent in accordance with 42 CFR Part 2 for treatment from the client prior to receiving services for individuals whose age is 12 years and older.
- 2.5.10. The Contractor shall obtain consent in accordance with 42 CFR Part 2 for treatment from the parent or legal guardian when the client is under the age of twelve (12) prior to receiving services.
- 2.5.11. The Contractor shall include in the consent forms language for client consent to share information with other social service agencies involved in the client's care, including but not limited to:
- 2.5.11.1. The Department's Division of Children, Youth and Families (DCYF)
- 2.5.11.2. Probation and parole
- 2.5.11.3. Doorways
- 2.5.12. The Contractor shall not prohibit clients from receiving services under this contract when a client does not consent to information sharing in Section 2.5.11 above except that clients who refuse to consent to information sharing with the Doorways shall not receive services utilizing State Opioid Response (SOR) funding.
- 2.5.13. The Contractor shall notify the clients whose consent to information sharing in Section 2.5.11 above that they have the ability to rescind the consent at any time without any impact on services provided under this contract except that clients who rescind consent to information sharing with the Regional Hub shall not receive any additional services utilizing State Opioid Response (SOR) funding.
- 2.5.14. The Contractor shall not deny services to an adolescent due to:

*[Handwritten Signature]*  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 2.5.14.1. The parent's inability and/or unwillingness to pay the fee;
- 2.5.14.2. The adolescent's decision to receive confidential services pursuant to RSA 318-B:12-a.
- 2.5.15. The Contractor shall provide services to eligible clients who:
  - 2.5.15.1. Receive Medication Assisted Treatment services from other providers such as a client's primary care provider;
  - 2.5.15.2. Have co-occurring mental health disorders; and/or
  - 2.5.15.3. Are on medications and are taking those medications as prescribed regardless of the class of medication.
- 2.5.16. The Contractor shall provide substance use disorder treatment services separately for adolescent and adults, unless otherwise approved by the Department. The Contractor agrees that adolescents and adults do not share the same residency space, however, the communal space such as kitchens, group rooms, and recreation may be shared but at separate times.
- 2.6. Waitlists
  - 2.6.1. The Contractor shall maintain a waitlist for all clients and all substance use disorder treatment services including the eligible clients being served under this contract and clients being served under another payer source.
  - 2.6.2. The Contractor shall track the wait time for the clients to receive services, from the date of initial contact in Section 2.5.2.1 above to the date clients first received substance use disorder treatment services in Sections 2.3 and 2.4 above, other than Evaluation in Section 2.5.4
  - 2.6.3. The Contractor shall report to the Department monthly:
    - 2.6.3.1. The average wait time for all clients, by the type of service and payer source for all the services.
    - 2.6.3.2. The average wait time for priority clients in Section 2.5.8 above by the type of service and payer source for the services.
- 2.7. Assistance with Enrolling in Insurance Programs
  - 2.7.1. The Contractor shall assist clients and/or their parents or legal guardians, who are unable to secure financial resources necessary for initial entry into the program, with obtaining other potential sources for payment, such as:
    - 2.7.1.1. Enrollment in public or private insurance, including but not limited to New Hampshire Medicaid programs within fourteen (14) days after intake.
    - 2.7.1.2. Assistance with securing financial resources or the clients' refusal of such assistance shall be clearly documented in the client record
- 2.8. Service Delivery Activities and Requirements

*PK*  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 2.8.1. The Contractor shall assess all clients for risk of self-harm at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and at discharge.
- 2.8.2. The Contractor shall assess all clients for withdrawal risk based on ASAM (2013) standards at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and stabilize all clients based on ASAM (2013) guidance and shall:
- 2.8.2.1. Provide stabilization services when a client's level of risk indicates a service with an ASAM Level of Care that can be provided under this Contract; If a client's risk level indicates a service with an ASAM Level of Care that can be provided under this contract, then the Contractor shall integrate withdrawal management into the client's treatment plan and provide on-going assessment of withdrawal risk to ensure that withdrawal is managed safely.
- 2.8.2.2. Refer clients to a facility where the services can be provided when a client's risk indicates a service with an ASAM Level of Care that is higher than can be provided under this Contract; Coordinate with the withdrawal management services provider to admit the client to an appropriate service once the client's withdrawal risk has reached a level that can be provided under this contract. and
- 2.8.3. The Contractor shall complete individualized treatment plans for all clients based on clinical evaluation data within three (3) days or three (3) sessions, whichever is longer of the clinical evaluation in Section 2.5.4 above, that address problems in all ASAM (2013) domains which justified the client's admittance to a given level of care, that are in accordance the requirements in Exhibit A-1 and that:
- 2.8.3.1. Include in all individualized treatment plan goals, objectives, and interventions written in terms that are:
- 2.8.3.1.1. Specific, clearly defining what shall be done.
- 2.8.3.1.2. Measurable, including clear criteria for progress and completion.
- 2.8.3.1.3. Attainable, within the individual's ability to achieve.
- 2.8.3.1.4. Realistic, the resources are available to the individual.
- 2.8.3.1.5. Timely, something that needs to be completed within a stated period for completion that is reasonable.
- 2.8.3.2. Include the client's involvement in identifying, developing, and prioritizing goals, objectives, and interventions.
- 2.8.3.3. Are update based on any changes in any American Society of Addiction Medicine Criteria (ASAM) domain and no less frequently than every 4 sessions or every 4 weeks, whichever is less frequent. Treatment plan updates shall include:

*DA*  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 2.8.3.3.1. Documentation of the degree to which the client is meeting treatment plan goals and objectives;
- 2.8.3.3.2. Modification of existing goals or addition of new goals based on changes in the clients functioning relative to ASAM domains and treatment goals and objectives.
- 2.8.3.3.3. The counselor's assessment of whether or not the client needs to move to a different level of care based on changes in functioning in any ASAM domain and documentation of the reasons for this assessment.
- 2.8.3.3.4. The signature of the client and the counselor agreeing to the updated treatment plan, or if applicable, documentation of the client's refusal to sign the treatment plan.
- 2.8.3.4. Track the client's progress relative to the specific goals, objectives, and interventions in the client's treatment plan by completing encounter notes in WITS.
- 2.8.4. The Contractor shall refer clients to and coordinate a client's care with other providers.
  - 2.8.4.1. The Contractor shall obtain in advance if appropriate, consents from the client, including 42 CFR Part 2 consent, if applicable, and in compliance with state, federal laws and state and federal rules, including but not limited to:
    - 2.8.4.1.1. Primary care provider and if the client does not have a primary care provider, the Contractor shall make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.2. Behavioral health care provider when serving clients with co-occurring substance use and mental health disorders, and if the client does not have a mental health care provider, then the Contractor shall make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.3. Medication assisted treatment provider.
    - 2.8.4.1.4. Peer recovery support provider, and if the client does not have a peer recovery support provider, the Contractor shall make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client,



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
- 2.8.4.1.5. Coordinate with local recovery community organizations (where available) to bring peer recovery support providers into the treatment setting, to meet with clients to describe available services and to engage clients in peer recovery support services as applicable.
  - 2.8.4.1.6. Coordinate with case management services offered by the client's managed care organization, Doorway, third party insurance or other provider, if applicable. If appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
- 2.8.4.2. Coordinate with other social service agencies engaged with the client, including but not limited to the Department's Division of Children, Youth and Families (DCYF), probation/parole, and the Doorways as applicable and allowable with consent provided pursuant to 42 CFR Part 2. The Contractor shall clearly document in the client's file if the client refuses any of the referrals or care coordination in Section 2.8.4, above.
- 2.8.5. The Contractor shall complete continuing care, transfer, and discharge plans for all Services in Section 2.3, except for Transitional Living, in Section 2.3.1.1, that address all ASAM (2013) domains, that are in accordance with the requirements in Exhibit A-1 and that:
- 2.8.5.1. Include the process of transfer/discharge planning at the time of the client's intake to the program.
  - 2.8.5.2. Include at least one (1) of the three (3) criteria for continuing services when addressing continuing care as follows:
    - 2.8.5.2.1. Continuing Service Criteria A: The patient is making progress, but has not yet achieved the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his or her treatment goals; or
    - 2.8.5.2.2. Continuing Service Criteria B: The patient is not yet making progress, but has the capacity to resolve his or her problems. He/she is actively working toward the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his/her treatment goals; and /or

*PP*  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 2.8.5.2.3: Continuing Service Criteria C: New problems have been identified that are appropriately treated at the present level of care. The new problem or priority requires services, the frequency and intensity of which can only safely be delivered by continued stay in the current level of care. The level of care which the patient is receiving treatment is therefore the least intensive level at which the patient's problems can be addressed effectively
- 2.8.5.3. Include at least one (1) of the four (4) criteria for transfer/discharge, when addressing transfer/discharge that include:
- 2.8.5.3.1. Transfer/Discharge Criteria A: The Patient has achieved the goals articulated in the individualized treatment plan, thus resolving the problem(s) that justified admission to the present level of care. Continuing the chronic disease management of the patient's condition at a less intensive level of care is indicated; or
- 2.8.5.3.2. Transfer/Discharge Criteria B: The patient has been unable to resolve the problem(s) that justified the admission to the present level of care, despite amendments to the treatment plan. The patient is determined to have achieved the maximum possible benefit from engagement in services at the current level of care. Treatment at another level of care (more or less intensive) in the same type of services, or discharge from treatment, is therefore indicated; or
- 2.8.5.3.3. Transfer/Discharge Criteria C: The patient has demonstrated a lack of capacity due to diagnostic or co-occurring conditions that limit his or her ability to resolve his or her problem(s). Treatment at a qualitatively different level of care or type of service, or discharge from treatment, is therefore indicated; or
- 2.8.5.3.4. Transfer/Discharge Criteria D: The patient has experienced an intensification of his or her problem(s), or has developed a new problem(s), and can be treated effectively at a more intensive level of care.
- 2.8.5.4. Include clear documentation that explains why continued services/transfer/ or discharge is necessary for Transitional Living.
- 2.8.6. The Contractor shall deliver all services in this Agreement using evidence based practices as demonstrated by meeting one of the following criteria:
- 2.8.6.1. The service shall be included as an evidence-based mental health and substance abuse intervention on the SAMHSA Evidence-Based Practices Resource Center <https://www.samhsa.gov/ebp-resource-center>;

OK  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

2.8.6.2. The services shall be published in a peer-reviewed journal and found to have positive effects; or

2.8.6.3. The service is based on a theoretical perspective that has validated research.

2.8.7. The Contractor shall deliver services in this Contract in accordance with:

2.8.7.1. The ASAM Criteria (2013). The ASAM Criteria (2013) can be purchased online through the ASAM website at: <http://www.asamcriteria.org/>

2.8.7.2. The Substance Abuse Mental Health Services Administration (SAMHSA) Treatment Improvement Protocols (TIPs) available at <http://store.samhsa.gov/list/series?name=TIP-Series-Treatment-Improvement-Protocols-TIPS->

2.8.7.3. The SAMHSA Technical Assistance Publications (TAPs) available at <http://store.samhsa.gov/list/series?name=Technical-Assistance-Publications-TAPs-&pageNumber=1>

2.8.7.4. The Requirements in Exhibit A-1.

2.9. Client Education

2.9.1. The Contractor shall offer to all eligible clients receiving services under this contract, individual or group education on prevention, treatment, and nature of:

2.9.1.1. Hepatitis C Virus (HCV).

2.9.1.2. Human Immunodeficiency Virus (HIV).

2.9.1.3. Sexually Transmitted Diseases (STD).

2.9.1.4. Tobacco Treatment Tools that include:

2.9.1.4.1. Assessing clients for motivation in stopping the use of tobacco products;

2.9.1.4.2. Offering resources such as but not limited to the Department's Tobacco Prevention & Control Program (TPCP) and the certified tobacco cessation counselors available through the QuitLine.

2.10. Tobacco Free Environment

2.10.1. The Contractor shall ensure a tobacco-free environment by having policies and procedures that at a minimum:

2.10.1.1. Include the smoking of any tobacco product, the use of oral tobacco products or "spit" tobacco, and the use of electronic devices;

2.10.1.2. Apply to employees, clients and employee or client visitors;

2.10.1.3. Prohibit the use of tobacco products within the Contractor's facilities at any time.

PP  
6/5/19



**New Hampshire Department of Health and Human Services -  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 
- 2.10.1.4. Prohibit the use of tobacco in any Contractor owned vehicle.
  - 2.10.1.5. Include whether or not use of tobacco products is prohibited outside of the facility on the grounds.
  - 2.10.1.6. Include the following if use of tobacco products is allowed outside of the facility on the grounds:
    - 2.10.1.6.1. A designated smoking area(s) which is located at least twenty (20) feet from the main entrance.
    - 2.10.1.6.2. All materials used for smoking in this area, including cigarette butts and matches, shall be extinguished and disposed of in appropriate containers.
    - 2.10.1.6.3. Ensure periodic cleanup of the designated smoking area.
    - 2.10.1.6.4. If the designated smoking area is not properly maintained, it can be eliminated at the discretion of the Contractor.
  - 2.10.1.7. Prohibit tobacco use in any company vehicle.
  - 2.10.1.8. Prohibit tobacco use in personal vehicles when transporting people on authorized business.
  - 2.10.2. The Contractor shall post the tobacco free environment policy in the Contractor's facilities and vehicles and included in employee, client, and visitor orientation.
  - 2.10.3. The Contractor shall not use tobacco use, in and of itself, as grounds for discharging clients from services being provided under this contract.

### **3. Staffing**

- 3.1. The Contractor shall meet the minimum staffing requirements to provide the scope of work in this contract as follows:
  - 3.1.1. At least one licensed supervisor, defined as:
    - 3.1.1.1. Masters Licensed Alcohol and Drug Counselor (MLADC);
    - 3.1.1.2. Licensed Alcohol and Drug Counselor (LADC) who also holds the Licensed Clinical Supervisor (LCS) credential; or
    - 3.1.1.3. Licensed mental health provider.
  - 3.1.2. Sufficient staffing levels that are appropriate for the services provided and the number of clients served including but not limited to:
    - 3.1.2.1. Licensed counselors defined as MLADCS, LADCs and individuals licensed by the Board of Mental Health Practice or Board of Psychology. Licensed counselors may deliver any clinical or recovery support services within their scope of practice.
    - 3.1.2.2. Unlicensed counselors defined as individuals who have completed the required coursework for licensure by the Board of Alcohol and Other

*PP*  
*6/5/19*



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- Drug Use Providers, Board of Mental Health Practice or Board of Psychology and are working to accumulate the work experience required for licensure. Unlicensed counselors may deliver any clinical or recovery support services within their scope of knowledge provided that they are under the direct supervision of a licensed supervisor.
- 3.1.2.3. Certified Recovery Support workers (CRSWs) who may deliver intensive case management and other recovery support services within their scope of practice provided that they are under the direct supervision of a licensed supervisor.
  - 3.1.2.4. Uncertified recovery support workers defined as individuals who are working to accumulate the work experience required for certification as a CRSW who may deliver intensive case management and other recovery support services within their scope of knowledge provided that they are under the direct supervision of a licensed supervisor.
- 3.1.3. No licensed supervisor shall supervise more than twelve staff unless the Department has approved an alternative supervision plan (See Exhibit A-1 Section 8.1.2).
  - 3.1.4. Provide ongoing clinical supervision that occurs at regular intervals in accordance with the Operational Requirements in Exhibit A-1. and evidence based practices, at a minimum:
    - 3.1.4.1. Weekly discussion of cases with suggestions for resources or therapeutic approaches, co-therapy, and periodic assessment of progress;
    - 3.1.4.2. Group supervision to help optimize the learning experience, when enough candidates are under supervision;
- 3.2. The Contractor shall provide training to staff on:
- 3.2.1. Knowledge, skills, values, and ethics with specific application to the practice issues faced by the supervisee;
  - 3.2.2. The 12 core functions;
  - 3.2.3. The Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice, available at <http://store.samhsa.gov/product/TAP-21-Addiction-Counseling-Competencies/SMA15-4171> and
  - 3.2.4. The standards of practice and ethical conduct, with particular emphasis given to the counselor's role and appropriate responsibilities, professional boundaries, and power dynamics and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2.
- 3.3. The Contractor shall notify the Department, in writing of changes in key personnel and provide, within five (5) working days to the Department, updated resumes that clearly indicate the staff member is employed by the Contractor. Key personnel are those staff

*[Handwritten Signature]*  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

for whom at least 10% of their work time is spent providing substance use disorder treatment and/or recovery support services.

- 3.4. The Contractor shall notify the Department in writing within one month of hire when a new administrator or coordinator or any staff person essential to carrying out this scope of services is hired to work in the program. The Contractor shall provide a copy of the resume of the employee, which clearly indicates the staff member is employed by the Contractor, with the notification.
- 3.5. The Contractor shall notify the Department in writing within 14 calendar days, when there is not sufficient staffing to perform all required services for more than one month.
- 3.6. The Contractor shall have policies and procedures related to student interns to address minimum coursework, experience and core competencies for those interns having direct contact with individuals served by this contract. Additionally, The Contractor shall have student interns complete an approved ethics course and an approved course on the 12 core functions and the Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 prior to beginning their internship.
- 3.7. The Contractor shall have unlicensed staff complete an approved ethics course and an approved course on the 12 core functions and the Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 within 6 months of hire.
- 3.8. The Contractor shall ensure staff receives continuous education in the ever changing field of substance use disorders, and state and federal laws, and rules relating to confidentiality
- 3.9. The Contractor shall provide in-service training to all staff involved in client care within 15 days of the contract effective date or the staff person's start date, if after the contract effective date, and at least annually thereafter on the following:
  - 3.9.1. The contract requirements.
  - 3.9.2. All other relevant policies and procedures provided by the Department.
- 3.10. The Contractor shall provide in-service training or ensure attendance at an approved training by the Department to clinical staff on hepatitis C (HCV), human immunodeficiency virus (HIV), tuberculosis (TB) and sexually transmitted diseases (STDs) annually. The Contractor shall provide the Department with a list of trained staff.

#### 4. Facilities License

- 4.1. The Contractor shall be licensed for all residential services provided with the Department's Health Facilities Administration.

PA  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 4.2. The Contractor shall comply with the additional licensing requirements for medically monitored, residential withdrawal management services by the Department's Bureau of Health Facilities Administration to meet higher facilities licensure standards.
- 4.3. The Contractor is responsible for ensuring that the facilities where services are provided meet all the applicable laws, rules, policies, and standards.

## 5. Web Information Technology

- 5.1. The Contractor shall use the Web Information Technology System (WITS) or an alternative electronic health record approved by the Department to record all client activity and client contact within (3) days following the activity or contact as directed by the Department.
- 5.2. The Contractor shall, before providing services, obtain written informed consent from the client on the consent form provided by the Department:
  - 5.2.1. Any client refusing to sign the informed consent in 5.2:
    - 5.2.1.1. Shall not be entered into the WITS system; and
    - 5.2.1.2. Shall not receive services under this contract.
      - 5.2.1.2.1. Any client who cannot receive services under this contract pursuant to Section 5.2.4. shall be assisted in finding alternative payers for the required services.
- 5.3. The Contractor agrees to the Information Security Requirements Exhibit K.
- 5.4. The WITS system shall only be used for clients who are in a program that is funded by or under the oversight of the Department.

## 6. Reporting

- 6.1. The Contractor shall report on the following:
  - 6.1.1. National Outcome Measures (NOMs) data in WITS for:
    - 6.1.1.1. 100% of all clients at admission
    - 6.1.1.2. 100% of all clients who are discharged because they have completed treatment or transferred to another program
    - 6.1.1.3. 50% of all clients who are discharged for reasons other than those specified above in Section 6.1.1.2.
    - 6.1.1.4. The above NOMs in Section 6.1.1.1 through 6.1.1.3 are minimum requirements and the Contractor shall attempt to achieve greater reporting results when possible.
  - 6.1.2. Monthly and quarterly contract compliance reporting no later than the 10th day of the month following the reporting month or quarter;

*[Handwritten Signature]*  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 6.1.3. All critical incidents to the bureau in writing as soon as possible and no more than 24 hours following the incident. The Contractor agrees that:
- 6.1.3.1. "Critical incident" means any actual or alleged event or situation that creates a significant risk of substantial or serious harm to physical or mental health, safety, or well-being, including but not limited to:
- 6.1.3.1.1. Abuse;
  - 6.1.3.1.2. Neglect;
  - 6.1.3.1.3. Exploitation;
  - 6.1.3.1.4. Rights violation;
  - 6.1.3.1.5. Missing person;
  - 6.1.3.1.6. Medical emergency;
  - 6.1.3.1.7. Restraint; or
  - 6.1.3.1.8. Medical error.
- 6.1.4. All contact with law enforcement to the bureau in writing as soon as possible and no more than 24 hours following the incident;
- 6.1.5. All Media contacts to the bureau in writing as soon as possible and no more than 24 hours following the incident;
- 6.1.6. Sentinel events to the Department as follows:
- 6.1.6.1. Sentinel events shall be reported when they involve any individual who is receiving services under this contract;
  - 6.1.6.2. Upon discovering the event, the Contractor shall provide immediate verbal notification of the event to the bureau, which shall include:
    - 6.1.6.2.1. The reporting individual's name, phone number, and agency/organization;
    - 6.1.6.2.2. Name and date of birth (DOB) of the individual(s) involved in the event;
    - 6.1.6.2.3. Location, date, and time of the event;
    - 6.1.6.2.4. Description of the event, including what, when, where, how the event happened, and other relevant information, as well as the identification of any other individuals involved;
    - 6.1.6.2.5. Whether the police were involved due to a crime or suspected crime; and
    - 6.1.6.2.6. The identification of any media that had reported the event;



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 6.1.6.3. Within 72 hours of the sentinel event, the Contractor shall submit a completed "Sentinel Event Reporting Form" (February 2017), available at <https://www.dhhs.nh.gov/dcbcs/documents/reporting-form.pdf> to the bureau
  - 6.1.6.4. Additional information on the event that is discovered after filing the form in Section 6.1.6.3. above shall be reported to the Department, in writing, as it becomes available or upon request of the Department; and
  - 6.1.6.5. Submit additional information regarding Sections 6.1.6.1 through 6.1.6.4 above if required by the department; and
  - 6.1.6.6. Report the event in Sections 6.1.6.1 through 6.1.6.4 above, as applicable, to other agencies as required by law.
- 6.2. For room and board payments associated with Medicaid clients with OUD, the Contractor shall coordinate client data and services with the Doorways to ensure that each client served has a Government Performance and Results Modernization Act (GPRA) interview completed at intake, three (3) months, six (6) months, and discharge.
- 6.3. The Contractor shall coordinate all services delivered to Medicaid clients with OUD for whom the contractor is receiving room and board payments for with the Doorways including, but not limited to accepting referrals and clinical evaluation results for level of care placement directly from the Doorways.

## 7. Quality Improvement

- 7.1. The Contractor shall participate in all quality improvement activities to ensure the standard of care for clients, as requested by the Department, such as, but not limited to:
- 7.1.1. Participation in electronic and in-person client record reviews
  - 7.1.2. Participation in site visits
  - 7.1.3. Participation in training and technical assistance activities as directed by the Department.
- 7.2. The Contractor shall monitor and manage the utilization levels of care and service array to ensure services are offered through the term of the contract to:
- 7.2.1. Maintain a consistent service capacity for Substance Use Disorder Treatment and Recovery Support Services statewide by:
    - 7.2.1.1. Monitoring the capacity such as staffing and other resources to consistently and evenly deliver these services; and
    - 7.2.1.2. Monitoring no less than monthly the percentage of the contract funding expended relative to the percentage of the contract period that has elapsed. If there is a difference of more than 10% between expended funding and elapsed time on the contract the Contractor shall notify the Department within 5 days and submit a plan for correcting the discrepancy within 10 days of notifying the Department.



## 8. Maintenance of Fiscal Integrity

8.1. In order to enable DHHS to evaluate the Contractor's fiscal integrity, the Contractor agrees to submit to DHHS monthly, the Balance Sheet, Profit and Loss Statement, and Cash Flow Statement for the Contractor. The Profit and Loss Statement shall include a budget column allowing for budget to actual analysis. Statements shall be submitted within thirty (30) calendar days after each month end. The Contractor shall be evaluated on the following:

### 8.1.1. Days of Cash on Hand:

8.1.1.1. Definition: The days of operating expenses that can be covered by the unrestricted cash on hand.

8.1.1.2. Formula: Cash, cash equivalents and short term investments divided by total operating expenditures, less depreciation/amortization and in-kind plus principal payments on debt divided by days in the reporting period. The short-term investments as used above shall mature within three (3) months and should not include common stock.

8.1.1.3. Performance Standard: The Contractor shall have enough cash and cash equivalents to cover expenditures for a minimum of thirty (30) calendar days with no variance allowed.

### 8.1.2. Current Ratio:

8.1.2.1. Definition: A measure of the Contractor's total current assets available to cover the cost of current liabilities.

8.1.2.2. Formula: Total current assets divided by total current liabilities.

8.1.2.3. Performance Standard: The Contractor shall maintain a minimum current ratio of 1.5:1 with 10% variance allowed.

### 8.1.3. Debt Service Coverage Ratio:

8.1.3.1. Rationale: This ratio illustrates the Contractor's ability to cover the cost of its current portion of its long-term debt.

8.1.3.2. Definition: The ratio of Net Income to the year to date debt service.

8.1.3.3. Formula: Net Income plus Depreciation/Amortization Expense plus Interest Expense divided by year to date debt service (principal and interest) over the next twelve (12) months.

8.1.3.4. Source of Data: The Contractor's Monthly Financial Statements identifying current portion of long-term debt payments (principal and interest).

8.1.3.5. Performance Standard: The Contractor shall maintain a minimum standard of 1.2:1 with no variance allowed.

### 8.1.4. Net Assets to Total Assets:



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

- 8.1.4.1. Rationale: This ratio is an indication of the Contractor's ability to cover its liabilities.
  - 8.1.4.2. Definition: The ratio of the Contractor's net assets to total assets.
  - 8.1.4.3. Formula: Net assets (total assets less total liabilities) divided by total assets.
  - 8.1.4.4. Source of Data: The Contractor's Monthly Financial Statements.
  - 8.1.4.5. Performance Standard: The Contractor shall maintain a minimum ratio of .30:1, with a 20% variance allowed.
- 8.2. In order to enable DHHS to evaluate the Contractor's fiscal integrity, the Contractor agrees to submit to DHHS monthly, the Balance Sheet, the Profit and Loss statement for the month and year-to-date for the agency and the Profit and Loss statement for the month and year-to-date for the program being funded with this contract.
- 8.3. In the event that the Contractor does not meet either:
- 8.3.1. The standard regarding Days of Cash on Hand and the standard regarding Current Ratio for two (2) consecutive months; or
  - 8.3.2. Three (3) or more of any of the Maintenance of Fiscal Integrity standards for three (3) consecutive months, then
  - 8.3.3. The Department may require that the Contractor meet with Department staff to explain the reasons that the Contractor has not met the standards.
  - 8.3.4. The Department may require the Contractor to submit a comprehensive corrective action plan within thirty (30) calendar days of notification that 8.2.1 and/or 8.2.2 have not been met.
    - 8.3.4.1. The Contractor shall update the corrective action plan at least every thirty (30) calendar days until compliance is achieved.
    - 8.3.4.2. The Contractor shall provide additional information to assure continued access to services as requested by the Department. The Contractor shall provide requested information in a timeframe agreed upon by both parties.
- 8.4. The Contractor shall inform the Department by phone and by email within twenty-four (24) hours of when any key Contractor staff learn of any actual or likely litigation, investigation, complaint, claim, or transaction that may reasonably be considered to have a material financial impact on and/or materially impact or impair the ability of the Contractor to perform under this Agreement with the Department.
- 8.5. The monthly Balance Sheet, Profit & Loss Statement, Cash Flow Statement, and all other financial reports shall be based on the accrual method of accounting and include the Contractor's total revenues and expenditures whether or not generated by or resulting from funds provided pursuant to this Agreement. These reports are due within thirty (30) calendar days after the end of each month.

## 9. Performance Measures

PK  
4/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit A, Amendment #2

The following performance measures are required for client services rendered from SOR funding only.

- 9.1. The Contractor shall ensure that 100% of clients receiving room and board payments under this contract that enter care directly through the Contractor who consent to information sharing with the Doorways receive a Hub referral for ongoing care coordination.
- 9.2. The Contractor shall ensure that 100% of clients referred to them by the Doorways who shall be covered by room and board payments under this contract have proper consents in place for transfer of information for the purposes of data collection between the Doorways and the Contractor.

The following performance measures are required for client services rendered from all sources of funds.

- 9.3. The Contractor's contract performance shall be measured as in Section 9.5 below to evaluate that services are mitigating negative impacts of substance misuse, including but not limited to the opioid epidemic and associated overdoses.
- 9.4. For the first year of the contract only, the data, as collected in WITS, shall be used to assist the Department in determining the benchmark for each measure below. The Contractor agrees to report data in WITS used in the following measures:
  - 9.4.1. Initiation: % of clients accessing services within 14 days of screening;
  - 9.4.2. Engagement: % of clients receiving 3 or more eligible services within 34 days;
  - 9.4.3. Retention: % of clients receiving 6 or more eligible services within 60 days;
  - 9.4.4. Clinically appropriate services: % of clients receiving ASAM level of care within 30 days;
  - 9.4.5. Treatment completion: % of clients completing treatment; and
  - 9.4.6. National Outcome Measures (NOMS) The % of clients out of all clients discharged meeting at least 3 out of 5 NOMS outcome criteria:
    - 9.4.6.1. Reduction in /no change in the frequency of substance use at discharge compared to date of first service
    - 9.4.6.2. Increase in/no change in number of individuals employed or in school at date of last service compared to first service
    - 9.4.6.3. Reduction in/no change in number of individuals arrested in past 30 days from date of first service to date of last service
    - 9.4.6.4. Increase in/no change in number of individuals that have stable housing at last service compared to first service
    - 9.4.6.5. Increase in/no change in number of individuals participating in community support services at last service compared to first service

## 10. Contract Compliance Audits

Contractor Initials: *[Handwritten Signature]*  
Date: *6/5/19*



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit A, Amendment #2**

- 
- 10.1. In the event that the Contractor undergoes an audit by the Department, the Contractor agrees to provide a corrective action plan to the Department within thirty (30) days from the date of the final findings which addresses any and all findings.
- 10.2. The Contractor shall ensure the corrective action plan shall include:
- 10.2.1. The action(s) that shall be taken to correct each deficiency;
  - 10.2.2. The action(s) that shall be taken to prevent the reoccurrence of each deficiency;
  - 10.2.3. The specific steps and time line for implementing the actions above;
  - 10.2.4. The plan for monitoring to ensure that the actions above are effective; and
  - 10.2.5. How and when the vendor shall report to the Department on progress on implementation and effectiveness.

*PH*  
6/5/19



New Hampshire Department of Health and Human Services —  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit B, Amendment #2

**Method and Conditions Precedent to Payment**

1. The State shall pay the Contractor an amount not to exceed the Price Limitation, Block 1.8, of the General Provisions, for the services provided by the Contractor pursuant to Exhibit A, Scope of Services.
2. This Agreement is funded by:
  - 2.1. New Hampshire General Funds;
  - 2.2. Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment, and Recovery Funds;
  - 2.3. Federal Funds from the United States Department of Health and Human Services, the Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant (CFDA #93.959);
  - 2.4. Federal funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration State Opioid Response Grant (CFDA #93.788) and
  - 2.5. The Contractor agrees to provide the services in Exhibit A, Scope of Services in compliance with the federal funding requirements.
3. Non Reimbursement for Services
  - 3.1. The State shall not reimburse the Contractor for services provided through this contract when a client has or may have an alternative payer for services described the Exhibit A, Scope of Work, such as but not limited to:
    - 3.1.1. Services covered by any New Hampshire Medicaid programs for clients who are eligible for New Hampshire Medicaid
    - 3.1.2. Services covered by Medicare for clients who are eligible for Medicare
    - 3.1.3. Services covered by the client's private insurer(s) at a rate greater than the Contract Rate in Exhibit B-1 Amendment #2, Service Fee set by the Department.
  - 3.2. Notwithstanding Section 3.1 above, the Contractor may seek reimbursement from the State for services provided under this contract when a client needs a service that is not covered by the payers listed in Section 3.1.
  - 3.3. Payments may be withheld until the Contractor submits accurate required monthly and quarterly reporting.
  - 3.4. Notwithstanding Section 3.1 above, when payment of the deductible or copay would constitute a financial hardship for the client, the Contractor must seek reimbursement from the State for that deductible based on the sliding fee scale, not to exceed \$4,000 per client per treatment episode.

*JA*  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2**

3.4.1. For the purposes of this section, financial hardship is defined as the client's monthly household income being less than the deductible plus the Federally-defined monthly cost of living (COL).

3.4.1.1. If the individual owns a vehicle:

| Monthly COL | Family Size |             |             |             |             |
|-------------|-------------|-------------|-------------|-------------|-------------|
|             | 1           | 2           | 3           | 4           | 5+          |
|             | \$ 3,119.90 | \$ 3,964.90 | \$ 4,252.10 | \$ 4,798.80 | \$ 4,643.90 |

3.4.1.2. If the individual does not own a vehicle:

| Monthly COL | Family Size |             |             |             |             |
|-------------|-------------|-------------|-------------|-------------|-------------|
|             | 1           | 2           | 3           | 4           | 5           |
|             | \$ 2,570.90 | \$ 3,415.90 | \$ 3,703.10 | \$ 4,249.80 | \$ 4,643.90 |

4. The Contractor shall bill and seek reimbursement for actual services delivered by fee for services in Exhibit B-1, Amendment #2 Service Fee Table, unless otherwise stated. The Contractor agrees:

4.1. The fees for services, excluding Clinical Evaluation, are all-inclusive contract rates to deliver the services and are the maximum allowable charge in calculating the amount to charge the Department for services delivered as part of this Agreement (See Section 5 below).

4.2. To bill for Clinical Evaluation services separately from all other per day units of services.

4.3. Payments may be withheld until the Contractor submits accurate required monthly and quarterly reporting.

5. Calculating the Amount to Charge the Department Applicable to All Services in Exhibit B-1, Amendment #2 Service Fee Table.

5.1. The Contractor shall:

5.1.1. Directly bill and receive payment for services and/or transportation provided under this contract from public and private insurance plans, the clients, and the Department

5.1.2. Assure a billing and payment system that enables expedited processing to the greatest degree possible in order to not delay a client's admittance into the program and to immediately refund any overpayments.

5.1.3. Maintain an accurate accounting and records for all services billed, payments received and overpayments (if any) refunded.

*PA*  
6/5/19



**New Hampshire Department of Health and Human Services**  
**Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2**

- 5.2. The Contractor shall determine and charge accordingly for services provided to an eligible client under this contract, as follows:
- 5.2.1. First: Charge the client's private insurance up to the Contract Rate, in Exhibit B-1, Amendment #2 when the insurers' rates meet or are lower than the Contract Rate in Exhibit B-1 Amendment #2.
  - 5.2.2. Second: Charge the client according to Exhibit B, Amendment #3, Section 9, Sliding Fee Scale, when the Contractor determines or anticipates that the private insurer shall not remit payment for the full amount of the Contract Rate in Exhibit B-1, Amendment #2.
  - 5.2.3. Third: If, any portion of the Contract Rate in Exhibit B-1 Amendment #2 remains unpaid, after the Contractor charges the client's insurer, if applicable, and the client then the Contractor shall charge the Department the balance, which is the Contract Rate in Exhibit B-1 Amendment #2, Service Fee Table less the amount paid by private insurer and the amount paid by the client; unless the client's copay or deductible is charged to the Department in accordance with 3.3 above.
- 5.3. The Contractor agrees the amount charged to the client shall not exceed the Contract Rate in Exhibit B-1, Amendment #2 Service Fee Table multiplied by the corresponding percentage stated in Exhibit B, Amendment #3, Section 9 Sliding Fee Scale for the client's applicable income level.
- 5.4. The Contractor shall assist clients who are unable to secure financial resources necessary for initial entry into the program by developing payment plans.
- 5.5. The Contractor shall not deny, delay or discontinue services for enrolled clients who do not pay their fees in Section 5.2.2 above, until after working with the client as in Section 5.4 above, and only when the client fails to pay their fees within thirty (30) days after being informed in writing and counseled regarding financial responsibility and possible sanctions including discharge from treatment.
- 5.6. The Contractor shall provide to clients, upon request, copies of their financial accounts.
- 5.7. The Contractor shall not charge the combination of the public or private insurer, the client and the Department an amount greater than the Contract Rate in Exhibit B-1, Amendment #2 except for:
- 5.7.1. Transitional Living, See Section 7 below and
  - 5.7.2. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1. See Section 7 below.
- 5.8. In the event of an overpayment, wherein the combination of all payments received by the Contractor for a given service (except in Exhibit B, Amendment #3, Section 5.7.1 and 5.7.2) exceeds the Contract Rate stated in Exhibit B-1 Amendment #2, Service Fee Table, the Contractor shall refund the parties in the reverse order, unless the overpayment was due to insurer, client or Departmental error.

0774  
2/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit B, Amendment #2

- 5.9. In instances of payer error, the Contractor shall refund the party who erred, and adjust the charges to the other parties, according to a correct application of the Sliding Fee Schedule.
- 5.10. In the event of overpayment as a result of billing the Department under this contract when a third party payer would have covered the service, the Contractor must repay the state in an amount and within a timeframe agreed upon between the Contractor and the Department upon identifying the error.
6. Additional Billing information for: Room and Board for Medicaid clients with Opioid Use Disorder (OUD) in residential level of care.
- 6.1. The Contractor shall invoice the Department for Room and Board payments up to \$100/day for Medicaid clients with OUD in residential level of care.
- 6.2. With the exception of room and board payments for transitional living, the Contractor shall not bill the Department for Room and Board payments in excess of \$553,000.
- 6.3. The Contractor shall maintain documentation of the following:
- 6.3.1. Medicaid ID of the Client;
  - 6.3.2. WITS ID of the Client (if applicable)
  - 6.3.3. Period for which room and board payments cover;
  - 6.3.4. Level of Care for which the client received services for the date range identified in 6.3.3
  - 6.3.5. Amount being billed to the Department for the service
- 6.4. The Contractor shall submit an invoice by the twentieth (20th) day of each month, which identifies and requests reimbursement for authorized expenses incurred for room and board in the prior month. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement. Invoices must be submitted in a Department approved manner.
- 6.5. The Contractor shall ensure that clients receiving services rendered from SOR funds have a documented history of/or current diagnoses of Opioid Use Disorder.
- 6.6. The Contractor shall coordinate ongoing client care for all clients with documented history of/or current diagnoses of Opioid Use Disorder, receiving services rendered from SOR funds, with Doorways in accordance with 42 CFR Part 2.
7. Charging the Client for Room and Board for Transitional Living and Low Intensity Residential Services
- 7.1. The Contractor may charge the client fees for room and board, in addition to:
- 7.1.1. The client's portion of the Contract Rate in Exhibit B-1, Amendment #2 using the sliding fee scale
  - 7.1.2. The charges to the Department

DA  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2**

7.2. The Contractor may charge the client for Room and Board, inclusive of lodging and meals offered by the program according to the Table A below:

| Table A   |   |
|---|---|
| If the percentage of Client's income of the Federal Poverty Level (FPL) is: | Then the Contractor may charge the client up to the following amount for room and board per week: |
| 0%-138%   | \$0   |
| 139% - 149%   | \$8   |
| 150% - 199%   | \$12  |
| 200% - 249%   | \$25  |
| 250% - 299%   | \$40  |
| 300% - 349%   | \$57  |
| 350% - 399%   | \$77  |

7.3. The Contractor shall hold 50% of the amount charged to the client that shall be returned to the client at the time of discharge.

7.4. The Contractor shall maintain records to account for the client's contribution to room and board.

**8. Charging for Clinical Services under Transitional Living**

8.1. The Contractor shall charge for clinical services separately from this contract to the client's other third party payers such as Medicaid, NHHP, Medicare, and private insurance. The Contractor shall not charge the client according to the sliding fee scale.

8.2. Notwithstanding Section 8.1 above, the Contractor may charge in accordance with Sections 5.2.2 and 5.2.3 above for clinical services under this contract only when the client does not have any other payer source other than this contract.

**9. Additional Billing Information: Intensive Case Management Services:**

9.1. The Contractor shall charge in accordance with Section 5 above for intensive case management under this contract only for clients who have been admitted to programs in accordance to Exhibit A, Scope of Services and after billing other public and private insurance.

9.2. The Department will not pay for intensive case management provided to a client prior to admission.

9.3. The Contractor will bill for intensive case management only when the service is authorized by the Department.



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit B, Amendment #2

10. Additional Billing Information: Transportation
- 10.1. The Contractor will seek reimbursement in accordance with Section 5 above and upon prior approval of the Department for Transportation provided in Exhibit A Scope of Services Section 2.4.2.2 as follows:
- 10.1.1. At Department's standard per mile rate plus an hourly rate in accordance with Exhibit B-1 Service Fee Table for Contractor's staff driving time, when using the Contractor's own vehicle for transporting clients to and from services required by the client's treatment plan. If the Contractor's staff works less than a full hour, then the hourly rate will be prorated at fifteen (15) minute intervals for actual work completed; or.
- 10.1.2. At the actual cost to purchase transportation passes or to pay for cab fare, in order for the client to receive transportation to and from services required by the client's treatment plan.
- 10.2. The Contractor shall keep and maintain records and receipts to support the cost of transportation and provide said records and receipts to the Department upon request.
- 10.3. The Contractor will invoice the Department according to Department instructions.
11. Additional Billing Information: Child Care
- 11.1. The Contractor shall seek reimbursement upon prior approval of the Department for Childcare provided in Exhibit A Scope of Services, Section 2.4.2.3 as follows:
- 11.1.1. At the hourly rate in Exhibit B-1 Service Fee Table for when the Contractor's staff provides child care while the client is receiving treatment or recovery support services, or
- 11.1.2. At the actual cost to purchase childcare from a licensed child care provider.
- 11.2. The Contractor shall keep and maintain records and receipts to support the cost of childcare and provide these to the Department upon request.
- 11.3. The Contractor will invoice the Department according to Department instructions.
12. Additional Billing Information for: Integrated Medication Assisted Treatment (MAT)
- 12.1. The Contractor shall invoice the Department for Integrated Medication Assisted Treatment Services for Medication and Physician Time as in Section 5 above and as follows:

PR  
6/5/19



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

Exhibit B, Amendment #2

12.2. Medication:

- 12.2.1. The Contractor shall seek reimbursement for the Medication Assisted Treatment medication based on the Contractor's usual and customary charges according to Revised Statutes Annotated (RSA) 126-A:3 III. (b), except for Section 6.2.2 below.
- 12.2.2. The Contractor will be reimbursed for Medication Assisted Treatment with Methadone or Buprenorphine in a certified Opiate Treatment Program (OTP) per New Hampshire Administrative Rule He-A 304 as follows:
  - 12.2.2.1. The Contractor shall seek reimbursement for Methadone or Buprenorphine based on the Medicaid rate, up to 7 days per week. The code for Methadone in an OTP is H0020, and the code for buprenorphine in an OTP is H0033.
- 12.2.3. The Contractor shall seek reimbursement for up to 3 doses per client per day.
- 12.2.4. The Contractor shall maintain documentation of the following:
  - 12.2.4.1. WITS Client ID #;
  - 12.2.4.2. Period for which prescription is intended;
  - 12.2.4.3. Name and dosage of the medication;
  - 12.2.4.4. Associated Medicaid Code;
  - 12.2.4.5. Charge for the medication.
  - 12.2.4.6. Client cost share for the service; and
  - 12.2.4.7. Amount being billed to the Department for the service.

12.3. Physician Time:

- 12.3.1. Physician Time is the time spent by a physician or other medical professional to provide Medication Assisted Treatment Services, including but not limited to assessing the client's appropriateness for a medication, prescribing and/or administering a medication, and monitoring the client's response to a medication.
- 12.3.2. The Contractor shall seek reimbursement according to Exhibit B-1 Service Fee Table.

BR  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2**

12.3.3. The Contractor shall maintain documentation of the following:

- 12.3.3.1. WITS Client ID #;
- 12.3.3.2. Date of Service;
- 12.3.3.3. Description of service;
- 12.3.3.4. Associated Medicaid Code;
- 12.3.3.5. Charge for the service;
- 12.3.3.6. Client cost share for the service; and
- 12.3.3.7. Amount being billed to the Department for the service.

12.3.4. The Contractor will submit an invoice by the twentieth (20th) day of each month, which identifies and requests reimbursement for authorized expenses incurred for medication assisted treatment in the prior month.

12.3.5. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement. Invoices must be submitted utilizing the WITS system.

**13. Sliding Fee Scale**

13.1. The Contractor shall apply the sliding fee scale in accordance with Exhibit B, Amendment #3, Section 5, above.

13.2. The Contractor shall adhere to the sliding fee scale as follows:

| Percentage of Client's income of the Federal Poverty Level (FPL) | Percentage of Contract Rate in Exhibit B-1 to Charge the Client |
|--|---|
| 0%-138%  | 0%  |
| 139% - 149%  | 8%  |
| 150% - 199%  | 12%   |
| 200% - 249%  | 25%   |
| 250% - 299%  | 40%   |
| 300% - 349%  | 57%   |
| 350% - 399%  | 77%   |



**New Hampshire Department of Health and Human Services--  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2.**

- 13.3. The Contractor shall not deny a child under the age of 18 services because of the parent's unwillingness to pay the fee or the minor child's decision to receive confidential services pursuant to RSA 318-B:12-a.
14. Submitting Charges for Payment
- 14.1. The Contractor shall submit billing through the Website Information Technology System (WITS) for services listed in Exhibit B-1, Amendment #2 Service Fee Table. The Contractor shall:
- 14.1.1. Enter encounter note(s) into WITS no later than three (3) days after the date the service was provided to the client
  - 14.1.2. Review the encounter notes no later than twenty (20) days following the last day of the billing month, and notify the Department that encounter notes are ready for review.
  - 14.1.3. Correct errors, if any, in the encounter notes as identified by the Department no later than seven (7) days after being notified of the errors and notify the Department the notes have been corrected and are ready for review.
  - 14.1.4. Batch and transmit the encounter notes upon Department approval for the billing month.
  - 14.1.5. Submit separate batches for each billing month.
- 14.2. The Contractor agrees that billing submitted for review after sixty (60) days of the last day of the billing month may be subject to non-payment.
- 14.3. To the extent possible, the Contractor shall bill for services provided under this contract through WITS. For any services that are unable to be billed through WITS, the contractor shall work with the Department to develop an alternative process for submitting invoices.
- 14.4. The Contractor shall only bill room and board for SUD clients with Opioid Use Disorder that are Medicaid coded for both residential and transitional living services.
15. Funds in this contract may not be used to replace funding for a program already funded from another source.
16. The Contractor shall keep detailed records of their activities related to Department-funded programs and services.
17. Notwithstanding anything to the contrary herein, the Contractor agrees that funding under this agreement may be withheld, in whole or in part, in the event of non-compliance with any Federal or State law, rule or regulation applicable to the services provided, or if the said services or products have not been satisfactorily completed in accordance with the terms and conditions of this agreement.
18. The Contractor shall submit final invoices to the Department no later than forty-five (45) days after the contract completion date.

PA  
6/5/19



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

**Exhibit B, Amendment #2**

19. The Contractor shall ensure any adjustments to a prior invoices are submitted with the original invoice, adjusted invoice and supporting documentation to justify the adjustment.

20. Limitations and restrictions of federal Substance Abuse Prevention and Treatment (SAPT) Block Grant funds

20.1. The Contractor agrees to use the SAPT funds as the payment of last resort.

20.2. The Contractor agrees to the following funding restrictions on SAPT Block Grant expenditures to:

20.2.1. Make cash payments to intended recipients of substance abuse services.

20.2.2. Expend more than the amount of Block Grant funds expended in Federal Fiscal Year 1991 for treatment services provided in penal or correctional institutions of the State.

20.2.3. Use any federal funds provided under this contract for the purpose of conducting testing for the etiologic agent for Human Immunodeficiency Virus (HIV) unless such testing is accompanied by appropriate pre and post-test counseling.

20.2.4. Use any federal funds provided under this contract for the purpose of conducting any form of needle exchange, free needle programs or the distribution of bleach for the cleaning of needles for intravenous drug abusers.

20.3. The Contractor agrees to the Charitable Choice federal statutory provisions as follows:

Federal Charitable Choice statutory provisions ensure that religious organizations are able to equally compete for Federal substance abuse funding administered by SAMHSA, without impairing the religious character of such organizations and without diminishing the religious freedom of SAMHSA beneficiaries (see 42 USC 300x-65 and 42 CFR Part 54 and Part 54a, 45 CFR Part 96, Charitable Choice Provisions and Regulations). Charitable Choice statutory provisions of the Public Health Service Act enacted by Congress in 2000 are applicable to the SAPT Block Grant program. No funds provided directly from SAMHSA or the relevant State or local government to organizations participating in applicable programs may be expended for inherently religious activities, such as worship, religious instruction, or proselytization. If an organization conducts such activities, it must offer them separately, in time or location, from the programs or services for which it receives funds directly from SAMHSA or the relevant State or local government under any applicable program, and participation must be voluntary for the program beneficiaries.

OK

6/5/19



Jeffrey A. Meyers  
Commissioner

Katja S. Fox  
Director

NOV 21 '18 PM 2:26 DAS  
STATE OF NEW HAMPSHIRE

*23 Mac*

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION FOR BEHAVIORAL HEALTH  
BUREAU OF DRUG AND ALCOHOL SERVICES

105 PLEASANT STREET, CONCORD, NH 03301  
603-271-6110 1-800-852-3345 Ext. 6738  
Fax: 603-271-6105 TDD Access: 1-800-735-2964  
www.dhhs.nh.gov

November 14, 2018

His Excellency, Governor Christopher T. Sununu  
and the Honorable Council  
State House  
Concord, New Hampshire 03301

**REQUESTED ACTION**

Authorize the Department of Health and Human Services, Bureau of Drug and Alcohol Services, to enter into a sole source amendment to eight (8) of the thirteen (13) vendors listed below in bold, to provide substance use disorder treatment and recovery support services, statewide, by increasing the total combined price limitation by \$3,362,900 from \$4,915,198 to an amount not to exceed \$8,278,098 with no change in the completion date of June 30, 2019, effective upon the date of Governor and Executive Council approval. 69.35% Federal, 13.50% General, and 17.15% Other Funds.

**Summary of contracted amounts by Vendor:**

| Vendor   | Current Amount     | Increase/ Decrease | Revised Budget     | G&C Approval                                  |
|--|--------------------|--------------------|--------------------|---|
| <b>Dismas Home of New Hampshire, Inc.</b>  | <b>\$240,000</b>   | <b>\$3,400</b>     | <b>\$243,400</b>   | O:06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| FIT/NHNNH, Inc.  | \$854,031          | \$0.00             | \$854,031          | O: 07/27/18 Item #7<br>A: 11/14/18 Item #14   |
| <b>Grafton County New Hampshire – Department of Corrections and Alternative Sentencing</b> | <b>\$247,000</b>   | <b>\$0.00</b>      | <b>\$247,000</b>   | O:06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| <b>Greater Nashua Council on Alcoholism</b>  | <b>\$624,599</b>   | <b>\$890,300</b>   | <b>\$1,514,899</b> | O: 07/27/18 Item #7                           |
| <b>Headrest</b>  | <b>\$147,999</b>   | <b>\$80,600</b>    | <b>\$228,599</b>   | O:06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| <b>Manchester Alcoholism Rehabilitation Center</b>   | <b>\$1,118,371</b> | <b>\$1,091,800</b> | <b>\$2,210,171</b> | O:06/20/18 Late Item G<br>A: 07/27/18 Item    |

|  |                    |                    |                    |  |
|--|--------------------|--------------------|--------------------|--|
|  |                    |                    |                    | #7   |
| Hope on Haven Hill                                       | \$278,641          | \$218,400          | \$497,041          | O: 07/27/18 Item #7                            |
| North Country Health Consortium                          | \$287,406          | \$114,200          | \$401,606          | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| Phoenix Houses of New England, Inc.                      | \$232,921          | \$584,600          | \$817,521          | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| Seacoast Youth Services                                  | \$73,200           | \$0.00             | \$73,200           | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| Southeastern New Hampshire Alcohol & Drug Abuse Services | \$589,540          | \$379,600          | \$969,140          | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| The Community Council of Nashua, N.H.                    | \$162,000          | \$0.00             | \$162,000          | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| West Central Services, Inc.                              | \$59,490           | \$0.00             | \$59,490           | O: 06/20/18 Late Item G<br>A: 07/27/18 Item #7 |
| <b>Total</b>   | <b>\$4,915,198</b> | <b>\$3,362,900</b> | <b>\$8,278,098</b> |  |

05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, GOVERNOR COMMISSION FUNDS (100% Other Funds)

05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, CLINICAL SERVICES (80% Federal Funds, 20% General Funds FAIN TI010035 CFDA 93.959)

05-95-92-920510-70400000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, STATE OPIOID RESPONSE GRANT (100% Federal Funds, FAIN H79TI081685 CFDA 93.788)

Please see attached financial details.

**EXPLANATION**

This request is sole source because the increase to the price limitation for eight (8) vendor exceeds ten (10) percent of the total contract value.

Substance use disorders develop when the use of alcohol and/or drugs causes clinically and functionally significant impairment, such as health problems, disability, and failure to meet

major responsibilities at work, school, or home. The existence of a substance use disorder is determined using a clinical evaluation based on Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition criteria.

This amendment will allow the above eight (8) vendors listed above in bold to continue and potentially expand access to residential treatment services provided to Medicaid-covered individuals with opioid use disorder (OUD). Funds in this amendment will be used to cover \$100 of room and board payments for Medicaid beneficiaries with OUD in low and high intensity residential treatment services. These funds will support existing residential programs to continue serving the Medicaid population, which has been cited as a challenge by treatment providers as a result of differing rates of reimbursement between Medicaid and Commercial payers. The vendors above will also continue to offer their existing array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, high and low intensity residential services.

This amendment is part of the State's recently approved plan under the State Opioid Response (SOR) grant, which identified access to residential treatment as a funding priority. The Substance Abuse and Mental Health Services Administration (SAMHSA) approved NH's proposal in September, with the expectation that funds are in services for communities within the third month of grant award. The eight (8) vendors above will use these funds to ensure that individuals with OUD receiving high or low intensity residential treatment have continued and/or expanded access to the necessary level of care, which increases their ability to achieve and maintain recovery.

Additionally, the Department will continue to monitor the performance of the Vendors through monthly and quarterly reports, conducting site visits, reviewing client records, and engaging in activities identified in the contract monitoring and quality improvement work referenced above. Contractor financial health is also being monitored monthly.

Should the Governor and Executive Council not authorize this request residential treatment programs may have to limit the availability of beds for individuals with OUD on Medicaid, which would delay access to care for those individuals.

Area served: Statewide.

Source of Funds: 69.35% Federal Funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant, CFDA #93.959, Federal Award Identification Number TI010035-14, Substance Abuse and Mental Health Services Administration State Opioid Response Grant, CFDA #93.788, and 13.50% General Funds and 17.15% Other Funds from the Governor's Commission on Alcohol and Other Drug Abuse Prevention, Intervention and Treatment.

In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Katja S. Fox

Director



Approved by:

Jeffrey A. Meyers

Commissioner

Attachment A  
Financial Details

05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, GOVERNOR COMMISSION FUNDS (100% Other Funds)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health

Vendor Code: 154112-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$48,857      | \$0                | \$48,857                |
| Sub-total         |               |                        | \$48,857      | \$0                | \$48,857                |

Dismas Home of NH

Vendor Code:TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$72,381      | \$0                | \$72,381                |
| Sub-total         |               |                        | \$72,381      | \$0                | \$72,381                |

Easter Seats of NH  
Manchester  
Alcoholism Rehab  
Ctr/Farnum

Vendor Code: 177204-B005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$337,288     | \$0                | \$337,288               |
| Sub-total         |               |                        | \$337,288     | \$0                | \$337,288               |

FIT/NHNNH

Vendor Code: 157730-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$194,759     | \$0                | \$194,759               |
| Sub-total         |               |                        | \$194,759     | \$0                | \$194,759               |

Grafton County

Vendor Code: 177397-B003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$74,492      | \$0                | \$74,492                |
| Sub-total         |               |                        | \$74,492      | \$0                | \$74,492                |

Greater Nashua  
Council on  
Alcoholism

Vendor Code: 166574-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$188,372     | \$0                | \$188,372               |
| Sub-total         |               |                        | \$188,372     | \$0                | \$188,372               |

Headrest, Inc

Vendor Code: 175226-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$44,635      |                    | \$44,635                |
| Sub-total         |               |                        | \$44,635      | \$0                | \$44,635                |

Attachment A  
Financial Details

Hope on Haven Hill Vendor Code: 275119-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$84,035      | \$0                | \$84,035                |
| Sub-total         |               |                        | \$84,035      | \$0                | \$84,035                |

North Country Health Consortium Vendor Code: 158557-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$86,678      |                    | \$86,678                |
| Sub-total         |               |                        | \$86,678      | \$0                | \$86,678                |

Phoenix Houses of New England, Inc. Vendor Code: 177589-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$70,246      |                    | \$70,246                |
| Sub-total         |               |                        | \$70,246      | \$0                | \$70,246                |

Seacoast Youth Services Vendor Code: 203944-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$22,076      | \$0                | \$22,076                |
| Sub-total         |               |                        | \$22,076      | \$0                | \$22,076                |

Southeastern NH Alcohol and Drug Services Vendor Code 155292-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$177,799     | \$0                | \$177,799               |
| Sub-total         |               |                        | \$177,799     | \$0                | \$177,799               |

West Central Services Vendor Code: 177654-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$17,942      | \$0                | \$17,942                |
| Sub-total         |               |                        | \$17,942      | \$0                | \$17,942                |
| Total Gov. Comm   |               |                        | \$1,419,560   | \$0                | \$1,419,560             |

05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, CLINICAL SERVICES (80% Federal Funds, 20% General Funds FAIN TI010035 CFDA 93.959)

Community Council of Nashua-Gr Nashua Comm Mental Health Vendor Code: 154112-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$113,143     | \$0                | \$113,143               |
| Sub-total         |               |                        | \$113,143     | \$0                | \$113,143               |

Attachment A  
Financial Details

Dismas Home of NH Vendor Code: TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$167,619     | \$0                | \$167,619               |
| Sub-total         |               |                        | \$167,619     | \$0                | \$167,619               |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Famum Vendor Code: 177204-B005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$781,083     | \$0                | \$781,083               |
| Sub-total         |               |                        | \$781,083     | \$0                | \$781,083               |

FIT/NHNH Vendor Code: 157730-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$451,016     | \$0                | \$451,016               |
| Sub-total         |               |                        | \$451,016     | \$0                | \$451,016               |

Grafton County Vendor Code: 177397-B003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$172,508     | \$0                | \$172,508               |
| Sub-total         |               |                        | \$172,508     | \$0                | \$172,508               |

Greater Nashua  
Council on  
Alcoholism Vendor Code: 186574-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$436,227     | \$0                | \$436,227               |
| Sub-total         |               |                        | \$436,227     | \$0                | \$436,227               |

Headrest, Inc Vendor Code: 175226-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$103,364     | \$0                | \$103,364               |
| Sub-total         |               |                        | \$103,364     | \$0                | \$103,364               |

Hope on Haven Hill Vendor Code: 275119-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$194,606     | \$0                | \$194,606               |
| Sub-total         |               |                        | \$194,606     | \$0                | \$194,606               |

North Country  
Health Consortium Vendor Code: 158557-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$200,728     | \$0                | \$200,728               |
| Sub-total         |               |                        | \$200,728     | \$0                | \$200,728               |

Attachment A  
Financial Details

Phoenix Houses of  
New England, Inc. Vendor Code: 177589-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$162,675     | \$0                | \$162,675               |
| Sub-total         |               |                        | \$162,675     | \$0                | \$162,675               |

Seacoast Youth  
Services Vendor Code: 203944-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$51,124      | \$0                | \$51,124                |
| Sub-total         |               |                        | \$51,124      | \$0                | \$51,124                |

Southeastern NH  
Alcohol and Drug  
Services Vendor Code 155292-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$411,741     | \$0                | \$411,741               |
| Sub-total         |               |                        | \$411,741     | \$0                | \$411,741               |

West Central  
Services Vendor Code: 177654-B001

| State Fiscal Year  | Class/Account | Title                  | Budget Amount      | Increase/ Decrease | Revised Modified Budget |
|--------------------|---------------|------------------------|--------------------|--------------------|-------------------------|
| 2019               | 102-500734    | Contracts for Prog Svc | \$41,548           | \$0                | \$41,548                |
| Sub-total          |               |                        | \$41,548           | \$0                | \$41,548                |
| Total Clinical Svs |               |                        | <u>\$3,287,382</u> | <u>\$0</u>         | <u>\$3,287,382</u>      |

05-95-82-920510-70400000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, STATE OPIOID RESPONSE GRANT (100% Federal Funds, FAIN H79T081685 CFDA 93.788)

Community Council  
of Nashua-Gr  
Nashua Comm  
Mental Health Vendor Code: 154112-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                | \$0                     |

Dismas Home of NH Vendor Code: TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$3,400            | \$3,400                 |
| Sub-total         |               |                        | \$0           | \$3,400            | \$3,400                 |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Farnum Vendor Code: 177204-B005

| State Fiscal Year | Class/Account | Title | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|-------|---------------|--------------------|-------------------------|
|                   |               |       |               |                    |                         |

Attachment A  
Financial Details

|           |            |                        |     |             |             |
|-----------|------------|------------------------|-----|-------------|-------------|
| 2019      | 102-500734 | Contracts for Prog Svc | \$0 | \$1,091,800 | \$1,091,800 |
| Sub-total |            |                        | \$0 | \$1,091,800 | \$1,091,800 |

FIT/NHNN Vendor Code: 157730-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$208,256     | \$0                | \$208,256               |
| Sub-total         |               |                        | \$208,256     | \$0                | \$208,256               |

Grafton County Vendor Code: 177397-8003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$0                | \$0                     |
| Sub-total         |               |                        | \$0           | \$0                | \$0                     |

Greater Nashua Council on Alcoholism Vendor Code: 168574-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$890,300          | \$890,300               |
| Sub-total         |               |                        | \$0           | \$890,300          | \$890,300               |

Headrest, Inc Vendor Code: 175226-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$80,600           | \$80,600                |
| Sub-total         |               |                        | \$0           | \$80,600           | \$80,600                |

Hope on Haven Hill Vendor Code: 275119-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$218,400          | \$218,400               |
| Sub-total         |               |                        | \$0           | \$218,400          | \$218,400               |

North Country Health Consortium Vendor Code: 158557-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$114,200          | \$114,200               |
| Sub-total         |               |                        | \$0           | \$114,200          | \$114,200               |

Phoenix Houses of New England, Inc. Vendor Code: 177589-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$584,600          | \$584,600               |
| Sub-total         |               |                        | \$0           | \$584,600          | \$584,600               |

Seacoast Youth Services Vendor Code: 203944-8001

| State Fiscal Year | Class/Account | Title | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|-------|---------------|--------------------|-------------------------|
|                   |               |       |               |                    |                         |

Attachment A  
Financial Details

|           |            |                        |     |     |     |
|-----------|------------|------------------------|-----|-----|-----|
| 2019      | 102-500734 | Contracts for Prog Svc | \$0 | \$0 | \$0 |
| Sub-total |            |                        | \$0 | \$0 | \$0 |

Southeastern NH  
Alcohol and Drug  
Services Vendor Code 155292-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$379,600          | \$379,600               |
| Sub-total         |               |                        | \$0           | \$379,600          | \$379,600               |

West Central  
Services Vendor Code: 177654-8001

| State Fiscal Year      | Class/Account | Title                  | Budget Amount      | Increase/ Decrease | Revised Modified Budget |
|------------------------|---------------|------------------------|--------------------|--------------------|-------------------------|
| 2019                   | 102-500734    | Contracts for Prog Svc | \$0                | \$0                | \$0                     |
| Sub-total              |               |                        | \$0                | \$0                | \$0                     |
| <b>Total SOR Grant</b> |               |                        | <b>\$208,256</b>   | <b>\$3,382,900</b> | <b>\$3,571,156</b>      |
| <b>Grand Total All</b> |               |                        | <b>\$4,915,198</b> | <b>\$3,382,900</b> | <b>\$8,278,098</b>      |

| Grand Total by Vendor |  |                 |                          |                    |                      |
|-----------------------|--|-----------------|--------------------------|--------------------|----------------------|
| PO                    | Vendors  | Vendor Code:    | Current Price Limitation | Increase/Decrease  | New Price Limitation |
| 0                     | Community Council of Nashua-Gr Nashua Comm Mental Health | 154112-8001     | \$162,000                | \$0                | \$162,000            |
| 0                     | Dismas Home of NH  | Vendor Code:TBD | \$240,000                | \$3,400            | \$243,400            |
| 0                     | Easter Seals of NH Manchester Alcoholism Rehab Ctr/Famum | 177204-8005     | \$1,118,371              | \$1,091,800        | \$2,210,171          |
| 0                     | FIT/NHNNH  | 157730-8001     | \$854,031                | \$0                | \$854,031            |
| 0                     | Grafton County   | 177397-8003     | \$247,000                | \$0                | \$247,000            |
| 0                     | Greater Nashua Council on Alcoholism                     | 168574-8001     | \$624,599                | \$890,300          | \$1,514,899          |
| 0                     | Headrest, Inc  | 175226-8001     | \$147,999                | \$80,600           | \$228,599            |
| 0                     | Hope on Haven Hill                                       | 275119-8001     | \$278,641                | \$218,400          | \$497,041            |
| 0                     | North Country Health Consortium                          | 158557-8001     | \$287,406                | \$114,200          | \$401,606            |
| 0                     | Phoenix Houses of New England, Inc.                      | 177589-8001     | \$232,921                | \$584,600          | \$817,521            |
| 0                     | Seacoast Youth Services                                  | 203944-8001     | \$73,200                 | \$0                | \$73,200             |
| 0                     | Southeastern NH Alcohol and Drug Services                | 155292-8001     | \$589,540                | \$379,600          | \$969,140            |
| 0                     | West Central Services                                    | 177654-8001     | \$59,490                 | \$0                | \$59,490             |
|                       | <b>Total</b>   |                 | <b>\$4,915,198</b>       | <b>\$3,382,900</b> | <b>\$8,278,098</b>   |



**State of New Hampshire  
Department of Health and Human Services  
Amendment #1 to the Substance Use Disorder Treatment and  
Recovery Support Services Contract**

This 1<sup>st</sup> Amendment to the Substance Use Disorder Treatment and Recovery Support Services contract (hereinafter referred to as "Amendment #1") dated this 30th day of August, 2018, is by and between the State of New Hampshire, Department of Health and Human Services (hereinafter referred to as the "State" or "Department") and Greater Nashua Council on Alcoholism, (hereinafter referred to as "the Contractor"), a nonprofit corporation with a place of business at 615 Amherst Street, Nashua, NH 03063.

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on July 27, 2018 (Item #7), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, the State and the Contractor have agreed to make changes to the scope of work, completion date, price limitation and payment schedules and terms and conditions of the contract; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 18, the State may modify the scope of work and the payment schedule of the contract upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to modify the scope of services and increase the price limitation to support continued delivery of these services; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:  
\$1,514,899.
2. Form P-37, General Provisions, Block 1.9, Contracting Officer for State Agency, to read:  
Nathan D. White, Director.
3. Form P-37, General Provisions, Block 1.10, State Agency Telephone Number, to read:  
603-271-9631.
4. Delete and Replace Exhibit A, Scope of Services with Exhibit A, Amendment #1, Scope of Services.
5. Delete and Replace Exhibit B, Methods and Conditions Precedent to Payment with Exhibit B, Amendment #1, Methods and Conditions Precedent to Payment.
6. Delete Exhibit B-1, Service Fee Table and replace with Exhibit B-1, Amendment #1, Service Fee Table.



**New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services**

This amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire  
Department of Health and Human Services

11/15/18  
Date

[Signature]  
Katja S. Fox  
Director

11/14/2018  
Date

Contractor Name Greater Nashua Council on Alcoholism  
[Signature]  
Name: Peter Kelleher  
Title: President & CEO

Acknowledgement of Contractor's signature:

State of New Hampshire, County of Hillsborough on 11/14/18, before the undersigned officer, personally appeared the person identified directly above, or satisfactorily proven to be the person whose name is signed above, and acknowledged that s/he executed this document in the capacity indicated above.

[Signature]  
Signature of Notary Public or Justice of the Peace

William C. Martin, Justice of the Peace  
Name and Title of Notary or Justice of the Peace

My Commission Expires: WILLIAM C. MARTIN  
Justice of the Peace - New Hampshire  
My Commission Expires November 4, 2020



New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services

The preceding Amendment, having been reviewed by this office; is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

11/16/2018  
Date

  
Name: Nancy J. Smyth  
Title: Sr. Asst. Attorney General

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: \_\_\_\_\_ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name:  
Title:



## Scope of Services

### 1. Provisions Applicable to All Services

- 1.1. The Contractor will submit a detailed description of the language assistance services they will provide to persons with limited English proficiency to ensure meaningful access to their programs and/or services within ten (10) days of the contract effective date.
- 1.2. The Contractor agrees that, to the extent future legislative action by the New Hampshire General Court or federal or state court orders may have an impact on the Services described herein, the State Agency has the right to modify Service priorities and expenditure requirements under this Agreement so as to achieve compliance therewith.
- 1.3. For the purposes of this Contract, the Department has identified the Contractor as a Subrecipient in accordance with the provisions of 2 CFR 200 et seq.
- 1.4. The Contractor will provide Substance Use Disorder Treatment and Recovery Support Services to any eligible client, regardless of where the client lives or works in New Hampshire.

#### 1.5. Standard Compliance

- 1.5.1. The Contractor must meet all information security and privacy requirements as set by the Department.

#### 1.5.2. State Opioid Response (SOR) Grant Standards

- 1.5.2.1. The Contractor must establish formal information sharing and referral agreements with the Regional Hubs for Substance Use Services, compliant with all applicable confidentiality laws, including 42 CFR Part 2 in order to receive payments for services funded with SOR resources.

- 1.5.2.2. The Department must be able to verify that client referrals to the Regional Hub for Substance Use Services have been completed by Contractor prior to accepting invoices for services provided through SOR funded initiatives.

- 1.5.2.3. The Contractor shall only provide Medication Assisted Treatment (MAT) with FDA-approved MAT for Opioid Use Disorder (OUD). FDA-approved MAT for OUD includes:

- 1.5.2.3.1. Methadone.

- 1.5.2.3.2. Buprenorphine products, including:

- 1.5.2.3.2.1. Single-entity buprenorphine products.

PK

11/14/18



Exhibit A, Amendment #1

- 1.5.2.3.2.2. Buprenorphine/naloxone tablets,
- 1.5.2.3.2.3. Buprenorphine/naloxone films.
- 1.5.2.3.2.4. Buprenorphine/naloxone buccal preparations.
- 1.5.2.3.3. Long-acting injectable buprenorphine products.
- 1.5.2.3.4. Buprenorphine implants.
- 1.5.2.3.5. Injectable extended-release naltrexone.
- 1.5.2.4. The Contractor shall not provide medical withdrawal management services to any individual supported by SOR Funds, unless the withdrawal management service is accompanied by the use of injectable extended-release naltrexone, as clinically appropriate.
- 1.5.2.5. The Contractor shall ensure that clients receiving financial aid for recovery housing utilizing SOR funds shall only be in a recovery housing facility that is aligned with the National Alliance for Recovery Residences standards and registered with the State of New Hampshire, Bureau of Drug and Alcohol Services in accordance with current NH Administrative Rules.
- 1.5.2.6. The Contractor must assist clients with enrolling in public or private health insurance, if the client is determined eligible for such coverage.
- 1.5.2.7. The Contractor shall accept clients on MAT and facilitate access to MAT on-site or through referral for all clients supported with SOR Grant funds, as clinically appropriate.
- 1.5.2.8. For clients identified as at risk of or with HIV/AIDS, the Contractor shall coordinate with the NH Ryan White HIV/AIDS program.
- 1.5.2.9. The Contractor shall ensure that all clients are regularly screened for tobacco use, treatment needs and referral to the QuitLine as part of treatment planning.

## 2. Scope of Services

### 2.1. Covered Populations

- 2.1.1. The Contractor will provide services to eligible individuals who:
  - 2.1.1.1. Are age 12 or older or under age 12, with required consent from a parent or legal guardian to receive treatment, and
  - 2.1.1.2. Have income below 400% Federal Poverty Level, and
  - 2.1.1.3. Are residents of New Hampshire or homeless in New Hampshire, and
  - 2.1.1.4. Are determined positive for substance use disorder.

### 2.2. Resiliency and Recovery Oriented Systems of Care



Exhibit A, Amendment #1

- 2.2.1. The Contractor must provide substance use disorder treatment services that support the Resiliency and Recovery Oriented Systems of Care (RROSC) by operationalizing the Continuum of Care Model (<http://www.dhhs.nh.gov/dcbcs/bdas/continuum-of-care.htm>).
- 2.2.2. RROSC supports person-centered and self-directed approaches to care that build on the strengths and resilience of individuals, families and communities to take responsibility for their sustained health, wellness and recovery from alcohol and drug problems. At a minimum, the Contractor must:
  - 2.2.2.1. Inform the Integrated Delivery Network(s) (IDNs) of services available in order to align this work with IDN projects that may be similar or impact the same populations.
  - 2.2.2.2. Inform the Regional Public Health Networks (RPHNs) of services available in order to align this work with other RPHN projects that may be similar or impact the same populations.
  - 2.2.2.3. Coordinate client services with other community service providers involved in the client's care and the client's support network.
  - 2.2.2.4. Coordinate client services with the Department's Hub contractors including, but not limited to:
    - 2.2.2.4.1. Ensuring timely admission of clients to services;
    - 2.2.2.4.2. Referring any client receiving room & board payment to the Hub;
    - 2.2.2.4.3. Referring clients to Hub services when the Contractor cannot admit a client for services within forty-eight (48) hours; and
    - 2.2.2.4.4. Referring clients to Hub services at the time of discharge when a client is in need of Hub services.
  - 2.2.2.5. Be sensitive and relevant to the diversity of the clients being served.
  - 2.2.2.6. Be trauma informed; i.e. designed to acknowledge the impact of violence and trauma on people's lives and the importance of addressing trauma in treatment.
- 2.3. Substance Use Disorder Treatment Services
  - 2.3.1. The Contractor must provide one or more of the following substance use disorder treatment services:

PK



Exhibit A, Amendment #1

- 2.3.1.1. Individual Outpatient Treatment as defined as American Society of Addiction Medicine (ASAM) Criteria, Level 1. Outpatient Treatment services assist an individual to achieve treatment objectives through the exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.
- 2.3.1.2. Group Outpatient Treatment as defined as ASAM Criteria, Level 1. Outpatient Treatment services assist a group of individuals to achieve treatment objectives through the exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.
- 2.3.1.3. Intensive Outpatient Treatment as defined as ASAM Criteria, Level 2.1. Intensive Outpatient Treatment services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities that are provided according to an individualized treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services. Services for adults are provided at least 9 hours a week. Services for adolescents are provided at least 6 hours a week.
- 2.3.1.4. Partial Hospitalization as defined as ASAM Criteria, Level 2.5. Partial Hospitalization services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities to individuals with substance use and moderate to severe co-occurring mental health disorders, including both behavioral health and medication management (as appropriate) services to address both disorders. Partial Hospitalization is provided to clients for at least 20 hours per week according to an individualized treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services.
- 2.3.1.5. Transitional Living Services provide residential substance use disorder treatment services according to an individualized treatment plan designed to support individuals as they transition back into the community. Transitional Living



Exhibit A, Amendment #1

- Services are not defined by ASAM. Transitional Living services must include at least 3 hours of clinical services per week of which at least 1 hour must be delivered by a Licensed Counselor or unlicensed Counselor working under the supervision of a Licensed Supervisor and the remaining hours must be delivered by a Certified Recovery Support Worker (CRSW) working under a Licensed Supervisor or a Licensed Counselor. The maximum length of stay in this service is six (6) months. Adult residents typically work in the community and may pay a portion of their room and board.
- 2.3.1.6. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1 for adults. Low-Intensity Residential Treatment services provide residential substance use disorder treatment services designed to support individuals that need this residential service. The goal of low-intensity residential treatment is to prepare clients to become self-sufficient in the community. Adult residents typically work in the community and may pay a portion of their room and board.
- 2.3.1.7. High-Intensity Residential Treatment for Adults as defined as ASAM Criteria, Level 3.5. This service provides residential substance use disorder treatment designed to assist individuals who require a more intensive level of service in a structured setting.
- 2.3.1.8. High Intensity Residential Treatment for Pregnant and Parenting Women as defined as ASAM Criteria, Level 3.5. This service provides residential substance use disorder treatment to pregnant women and their children when appropriately designed to assist individuals who require a more intensive level of service in a structured setting.
- 2.3.1.9. Ambulatory Withdrawal Management services as defined as ASAM Criteria, Level 1-WM as an outpatient service. Withdrawal Management services provide a combination of clinical and/or medical services utilized to stabilize the client while they are undergoing withdrawal.
- 2.3.1.10. Residential Withdrawal Management services as defined as ASAM Criteria, Level 3.7-WM a residential service. Withdrawal Management services provide a combination of clinical and/or medical services utilized to stabilize the client while they are undergoing withdrawal.



Exhibit A, Amendment #1

2.3.2. The Contractor may provide Integrated Medication Assisted Treatment only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.10 to a client.

2.3.2.1. Integrated Medication Assisted Treatment services provide for medication prescription and monitoring for treatment of opiate and other substance use disorders. The Contractor shall provide non-medical treatment services to the client in conjunction with the medical services provided either directly by the Contractor or by an outside medical provider. The Contractor shall be responsible for coordination of care and meeting all requirements for the service provided. The Contractor shall deliver Integrated Medication Assisted Treatment services in accordance with guidance provided by the Department, "Guidance Document on Best Practices: Key Components for Delivery Community-Based Medication Assisted Treatment Services for Opioid Use Disorders in New Hampshire."

2.4. Recovery Support Services

2.4.1. Upon approval of the Department, the Contractor shall provide recovery support services that will remove barriers to a client's participation in treatment or recovery, or reduce or remove threats to an individual maintaining participation in treatment and/or recovery.

2.4.2. The Contractor shall provide recovery support services only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.10 to a client, as follows:

2.4.2.1. Intensive Case Management

2.4.2.1.1. The Contractor may provide individual or group Intensive Case Management in accordance with SAMHSA TIP 27: Comprehensive Case Management for Substance Abuse Treatment (<https://store.samhsa.gov/product/TIP-27-Comprehensive-Case-Management-for-Substance-Abuse-Treatment/SMA15-4215>) and which exceed the minimum case management expectations for the level of care.

2.4.2.2. Transportation for Pregnant Women and Parenting Men and Women:

2.4.2.2.1. The Contractor may provide transportation services to pregnant and parenting men and



Exhibit A, Amendment #1

women to and from services as required by the client's treatment plan.

2.4.2.2.2. The Contractor may use Contractor's own vehicle, and/or purchase public transportation passes and/or pay for cab fare. The Contractor shall:

2.4.2.2.2.1. Comply with all applicable Federal and State Department of Transportation and Department of Safety regulations.

2.4.2.2.2.2. Ensure that all vehicles are registered pursuant to New Hampshire Administrative Rule Saf-C 500 and inspected in accordance with New Hampshire Administrative Rule Saf-C 3200, and are in good working order

2.4.2.2.2.3. Ensure all drivers are licensed in accordance with New Hampshire Administrative Rules, Saf-C 1000, drivers licensing, and Saf-C 1800 Commercial drivers licensing, as applicable.

2.4.2.3. Child Care for Pregnant Women and Parenting Men and Women:

2.4.2.3.1. The Contractor may provide child care to children of parenting clients while the individual is in treatment and case management services.

2.4.2.3.2. The Contractor may directly provide child care and/or pay for childcare provided by a licensed childcare provider.

2.4.2.3.3. The Contractor shall comply with all applicable Federal and State childcare regulations such as but not limited to New Hampshire Administrative Rule He-C 4002 Child Care Licensing.

2.5. Enrolling Clients for Services

2.5.1. The Contractor will determine eligibility for services in accordance with Section 2.1 above and with Sections 2.5.2 through 2.5.4 below:



Exhibit A, Amendment #1

- 2.5.2. The Contractor must complete intake screenings as follows:
- 2.5.2.1. Have direct contact (face to face communication by meeting in person, or electronically or by telephone conversation) with an individual (defined as anyone or a provider) within two (2) business days from the date that individual contacts the Contractor for Substance Use Disorder Treatment and Recovery Support Services. All attempts at contact must be documented in the client record or a call log.
  - 2.5.2.2. Complete an initial Intake Screening within two (2) business days from the date of the first direct contact with the individual, using the eligibility module in Web Information Technology System (WITS) to determine probability of being eligible for services under this contract and for probability of having a substance use disorder. All attempts at contact must be documented in the client record or a call log.
  - 2.5.2.3. Assess clients' income prior to admission using the WITS fee determination model and
    - 2.5.2.3.1. Assure that clients' income information is updated as needed over the course of treatment by asking clients about any changes in income no less frequently than every 4 weeks. All attempts at contact must be documented in the client record or a call log.
- 2.5.3. The Contractor shall complete an ASAM Level of Care Assessment for all services in Sections 2.3.1.1 through 2.3.1.10 (except for Section 2.3.1.5 Transitional Living) and 2.3.2, within two (2) days of the initial Intake Screening in Section 2.5.2 above using the ASI Lite module, in Web Information Technology System (WITS) or other method approved by the Department when the individual is determined probable of being eligible for services.
- 2.5.3.1. The Contractor shall make available to the Department, upon request, the data from the ASAM Level of Care Assessment in Section 2.5.3 in a format approved by the Department.
- 2.5.4. The Contractor shall, for all services provided, complete a clinical evaluation utilizing Continuum or an alternative method approved by the Department that include DSM. 5 diagnostic information and a recommendation for a level of care based on the ASAM Criteria, published in October, 2013. The Contractor must complete a clinical evaluation, for each client:

*PK*

11/14/18



Exhibit A, Amendment #1

- 2.5.4.1. Prior to admission as a part of interim services or within 3 business days following admission.
- 2.5.4.2. During treatment only when determined by a Licensed Counselor.
- 2.5.5. The Contractor must use the clinical evaluations completed by a Licensed Counselor from a referring agency.
- 2.5.6. The Contractor will either complete clinical evaluations in Section 2.5.4 above before admission or Level of Care Assessments in Section 2.5.3 above before admission along with a clinical evaluation in Section 2.5.4 above after admission.
- 2.5.7. The Contractor shall provide eligible clients the substance use disorder treatment services in Section 2.3 determined by the client's clinical evaluation in Section 2.5.4 unless:
  - 2.5.7.1. The client chooses to receive a service with a lower ASAM Level of Care; or
  - 2.5.7.2. The service with the needed ASAM level of care is unavailable at the time the level of care is determined in Section 2.5.4, in which case the client may choose:
    - 2.5.7.2.1. A service with a lower ASAM Level of Care;
    - 2.5.7.2.2. A service with the next available higher ASAM Level of Care;
    - 2.5.7.2.3. Be placed on the waitlist until their service with the assessed ASAM level of care becomes available as in Section 2.5.4; or
    - 2.5.7.2.4. Be referred to another agency in the client's service area that provides the service with the needed ASAM Level of Care.
- 2.5.8. The Contractor shall enroll eligible clients for services in order of the priority described below:
  - 2.5.8.1. Pregnant women and women with dependent children, even if the children are not in their custody, as long as parental rights have not been terminated, including the provision of interim services within the required 48 hour time frame. If the Contractor is unable to admit a pregnant woman for the needed level of care within 24 hours, the Contractor shall:
    - 2.5.8.1.1. Contact the Regional Hub in the client's area to connect the client with substance use disorder treatment services.

PK

11/14/18



Exhibit A, Amendment #1

- 2.5.8.1.2. Assist the pregnant woman with identifying alternative providers and with accessing services with these providers. This assistance must include actively reaching out to identify providers on the behalf of the client.
- 2.5.8.1.3. Provide interim services until the appropriate level of care becomes available at either the Contractor agency or an alternative provider. Interim services shall include:
  - 2.5.8.1.3.1. At least one 60 minute individual or group outpatient session per week;
  - 2.5.8.1.3.2. Recovery support services as needed by the client;
  - 2.5.8.1.3.3. Daily calls to the client to assess and respond to any emergent needs.
- 2.5.8.2. Individuals who have been administered naloxone to reverse the effects of an opioid overdose either in the 14 days prior to screening or in the period between screening and admission to the program.
- 2.5.8.3. Individuals with a history of injection drug use including the provision of interim services within 14 days.
- 2.5.8.4. Individuals with substance use and co-occurring mental health disorders.
- 2.5.8.5. Individuals with Opioid Use Disorders.
- 2.5.8.6. Veterans with substance use disorders
- 2.5.8.7. Individuals with substance use disorders who are involved with the criminal justice and/or child protection system.
- 2.5.8.8. Individuals who require priority admission at the request of the Department.
- 2.5.9. The Contractor must obtain consent in accordance with 42 CFR Part 2 for treatment from the client prior to receiving services for individuals whose age is 12 years and older.
- 2.5.10. The Contractor must obtain consent in accordance with 42 CFR Part 2 for treatment from the parent or legal guardian when the client is under the age of twelve (12) prior to receiving services.

PK  
Date 11/14/18



Exhibit A, Amendment #1

- 2.5.11. The Contractor must include in the consent forms language for client consent to share information with other social service agencies involved in the client's care, including but not limited to:
- 2.5.11.1. The Department's Division of Children, Youth and Families (DCYF)
  - 2.5.11.2. Probation and parole
  - 2.5.11.3. Regional Hub(s)
- 2.5.12. The Contractor shall not prohibit clients from receiving services under this contract when a client does not consent to information sharing in Section 2.5.11 above except that clients who refuse to consent to information sharing with the Regional Hub(s) shall not receive services utilizing State Opioid Response (SOR) funding.
- 2.5.13. The Contractor shall notify the clients whose consent to information sharing in Section 2.5.11 above that they have the ability to rescind the consent at any time without any impact on services provided under this contract except that clients who rescind consent to information sharing with the Regional Hub(s) shall not receive any additional services utilizing State Opioid Response (SOR) funding.
- 2.5.14. The Contractor shall not deny services to an adolescent due to:
- 2.5.14.1. The parent's inability and/or unwillingness to pay the fee;
  - 2.5.14.2. The adolescent's decision to receive confidential services pursuant to RSA 318-B:12-a.
- 2.5.15. The Contractor must provide services to eligible clients who:
- 2.5.15.1. Receive Medication Assisted Treatment services from other providers such as a client's primary care provider;
  - 2.5.15.2. Have co-occurring mental health disorders; and/or
  - 2.5.15.3. Are on medications and are taking those medications as prescribed regardless of the class of medication.
- 2.5.16. The Contractor must provide substance use disorder treatment services separately for adolescent and adults, unless otherwise approved by the Department. The Contractor agrees that adolescents and adults do not share the same residency space, however, the communal space such as kitchens, group rooms, and recreation may be shared but at separate times.

2.6. Waitlists



Exhibit A, Amendment #1

- 2.6.1. The Contractor will maintain a waitlist for all clients and all substance use disorder treatment services including the eligible clients being served under this contract and clients being served under another payer source.
- 2.6.2. The Contractor will track the wait time for the clients to receive services, from the date of initial contact in Section 2.5.2.1 above to the date clients first received substance use disorder treatment services in Sections 2.3 and 2.4 above, other than Evaluation in Section 2.5.4
- 2.6.3. The Contractor will report to the Department monthly:
- 2.6.3.1. The average wait time for all clients, by the type of service and payer source for all the services.
- 2.6.3.2. The average wait time for priority clients in Section 2.5.8 above by the type of service and payer source for the services.
- 2.7. Assistance with Enrolling in Insurance Programs
- 2.7.1. The Contractor must assist clients and/or their parents or legal guardians, who are unable to secure financial resources necessary for initial entry into the program, with obtaining other potential sources for payment, either directly or through a closed-loop referral to a community provider. Other potential sources for payment include, but are not limited to:
- 2.7.1.1. Enrollment in public or private insurance, including but not limited to New Hampshire Medicaid programs within fourteen (14) days after intake.
- 2.7.1.2. Assistance with securing financial resources or the clients' refusal of such assistance must be clearly documented in the client record.
- 2.8. Service Delivery Activities and Requirements
- 2.8.1. The Contractor shall assess all clients for risk of self-harm at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and at discharge.
- 2.8.2. The Contractor shall assess all clients for withdrawal risk based on ASAM (2013) standards at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and stabilize all clients based on ASAM (2013) guidance and shall:
- 2.8.2.1. Provide stabilization services when a client's level of risk indicates a service with an ASAM Level of Care that can be provided under this Contract; If a client's risk level indicates a service with an ASAM Level of Care that can be provided under this contract, then the Contractor shall integrate



Exhibit A, Amendment #1

withdrawal management into the client's treatment plan and provide on-going assessment of withdrawal risk to ensure that withdrawal is managed safely.

2.8.2.2. Refer clients to a facility where the services can be provided when a client's risk indicates a service with an ASAM Level of Care that is higher than can be provided under this Contract; Coordinate with the withdrawal management services provider to admit the client to an appropriate service once the client's withdrawal risk has reached a level that can be provided under this contract.

2.8.3. The Contractor must complete individualized treatment plans for all clients based on clinical evaluation data within three (3) days or three (3) sessions, whichever is longer of the clinical evaluation (in Section 2.5.4 above), that address problems in all ASAM (2013) domains which justified the client's admittance to a given level of care, that are in accordance the requirements in Exhibit A-1 and that:

2.8.3.1. Include in all individualized treatment plan goals, objectives, and interventions written in terms that are:

- 2.8.3.1.1. specific, (clearly defining what will be done)
- 2.8.3.1.2. measurable (including clear criteria for progress and completion)
- 2.8.3.1.3. attainable (within the individual's ability to achieve)
- 2.8.3.1.4. realistic (the resources are available to the individual), and
- 2.8.3.1.5. timely (this is something that needs to be done and there is a stated time frame for completion that is reasonable).

2.8.3.2. Include the client's involvement in identifying, developing, and prioritizing goals, objectives, and interventions.

2.8.3.3. Are update based on any changes in any American Society of Addiction Medicine Criteria (ASAM) domain and no less frequently than every 4 sessions or every 4 weeks, whichever is less frequent. Treatment plan updates must include:

- 2.8.3.3.1. Documentation of the degree to which the client is meeting treatment plan goals and objectives;
- 2.8.3.3.2. Modification of existing goals or addition of new goals based on changes in the clients



Exhibit A, Amendment #1

- functioning relative to ASAM domains and treatment goals and objectives.
- 2.8.3.3.3. The counselor's assessment of whether or not the client needs to move to a different level of care based on changes in functioning in any ASAM domain and documentation of the reasons for this assessment.
  - 2.8.3.3.4. The signature of the client and the counselor agreeing to the updated treatment plan, or if applicable, documentation of the client's refusal to sign the treatment plan.
- 2.8.3.4. Track the client's progress relative to the specific goals, objectives, and interventions in the client's treatment plan by completing encounter notes in WITS.
- 2.8.4. The Contractor shall refer clients to and coordinate a client's care with other providers.
- 2.8.4.1. The Contractor shall obtain in advance if appropriate, consents from the client, including 42 CFR Part 2 consent, if applicable, and in compliance with state, federal laws and state and federal rules, including but not limited to:
    - 2.8.4.1.1. Primary care provider and if the client does not have a primary care provider, the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.2. Behavioral health care provider when serving clients with co-occurring substance use and mental health disorders, and if the client does not have a mental health care provider, then the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.3. Medication assisted treatment provider.

DK

11/14/18



Exhibit A, Amendment #1

- 2.8.4.1.4. Peer recovery support provider, and if the client does not have a peer recovery support provider, the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
- 2.8.4.1.5. Coordinate with local recovery community organizations (where available) to bring peer recovery support providers into the treatment setting, to meet with clients to describe available services and to engage clients in peer recovery support services as applicable.
- 2.8.4.1.6. Coordinate with case management services offered by the client's managed care organization or third party insurance, if applicable. If appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
- 2.8.4.1.7. Coordinate with other social service agencies engaged with the client, including but not limited to the Department's Division of Children, Youth and Families (DCYF), probation/parole, and the Regional Hub(s) as applicable and allowable with consent provided pursuant to 42 CFR Part 2.
- 2.8.4.2. The Contractor must clearly document in the client's file if the client refuses any of the referrals or care coordination in Section 2.8.4 above.
- 2.8.5. The Contractor must complete continuing care, transfer, and discharge plans for all Services in Section 2.3, except for Transitional Living (See Section 2.3.1.5), that address all ASAM (2013) domains, that are in accordance with the requirements in Exhibit A-1 and that:
- 2.8.5.1. Include the process of transfer/discharge planning at the time of the client's intake to the program.



Exhibit A, Amendment #1

2.8.5.2. Include at least one (1) of the three (3) criteria for continuing services when addressing continuing care as follows:

2.8.5.2.1. Continuing Service Criteria A: The patient is making progress, but has not yet achieved the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his or her treatment goals; or

2.8.5.2.2. Continuing Service Criteria B: The patient is not yet making progress, but has the capacity to resolve his or her problems. He/she is actively working toward the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his/her treatment goals; and/or

2.8.5.2.3. Continuing Service Criteria C: New problems have been identified that are appropriately treated at the present level of care. The new problem or priority requires services, the frequency and intensity of which can only safely be delivered by continued stay in the current level of care. The level of care which the patient is receiving treatment is therefore the least intensive level at which the patient's problems can be addressed effectively

2.8.5.3. Include at least one (1) of the four (4) criteria for transfer/discharge, when addressing transfer/discharge that include:

2.8.5.3.1. Transfer/Discharge Criteria A: The Patient has achieved the goals articulated in the individualized treatment plan, thus resolving the problem(s) that justified admission to the present level of care. Continuing the chronic disease management of the patient's condition at a less intensive level of care is indicated; or

2.8.5.3.2. Transfer/Discharge Criteria B: The patient has been unable to resolve the problem(s) that justified the admission to the present level of



Exhibit A, Amendment #1

care, despite amendments to the treatment plan. The patient is determined to have achieved the maximum possible benefit from engagement in services at the current level of care. Treatment at another level of care (more or less intensive) in the same type of services, or discharge from treatment, is therefore indicated; or

2.8.5.3.3. Transfer/Discharge Criteria C: The patient has demonstrated a lack of capacity due to diagnostic or co-occurring conditions that limit his or her ability to resolve his or her problem(s). Treatment at a qualitatively different level of care or type of service, or discharge from treatment, is therefore indicated; or

2.8.5.3.4. Transfer/Discharge Criteria D: The patient has experienced an intensification of his or her problem(s), or has developed a new problem(s), and can be treated effectively at a more intensive level of care.

2.8.5.4. Include clear documentation that explains why continued services/transfer/ or discharge is necessary for Recovery Support Services and Transitional Living.

2.8.6. The Contractor shall deliver all services in this Agreement using evidence based practices as demonstrated by meeting one of the following criteria:

2.8.6.1. The service shall be included as an evidence-based mental health and substance abuse intervention on the SAMHSA Evidence-Based Practices Resource Center <https://www.samhsa.gov/ebp-resource-center>

2.8.6.2. The services shall be published in a peer-reviewed journal and found to have positive effects; or

2.8.6.3. The substance use disorder treatment service provider shall be able to document the services' effectiveness based on the following:

2.8.6.3.1. The service is based on a theoretical perspective that has validated research; or



Exhibit A, Amendment #1

2.8.6.3.2. 2. The service is supported by a documented body of knowledge generated from similar or related services that indicate effectiveness.

2.8.7. The Contractor shall deliver services in this Contract in accordance with:

2.8.7.1. The ASAM Criteria (2013). The ASAM Criteria (2013) can be purchased online through the ASAM website at: <http://www.asamcriteria.org/>

2.8.7.2. The Substance Abuse Mental Health Services Administration (SAMHSA) Treatment Improvement Protocols (TIPs) available at <http://store.samhsa.gov/list/series?name=TIP-Series-Treatment-Improvement-Protocols-TIPS->

2.8.7.3. The SAMHSA Technical Assistance Publications (TAPs) available at <http://store.samhsa.gov/list/series?name=Technical-Assistance-Publications-TAPs-&pageNumber=1>

2.8.7.4. The Requirements in Exhibit A-1.

2.9. Client Education

2.9.1. The Contractor shall offer to all eligible clients receiving services under this contract, individual or group education on prevention, treatment, and nature of:

2.9.1.1. Hepatitis C Virus (HCV)

2.9.1.2. Human Immunodeficiency Virus (HIV)

2.9.1.3. Sexually Transmitted Diseases (STD)

2.9.1.4. Tobacco Treatment Tools that include:

2.9.1.4.1. Assessing clients for motivation in stopping the use of tobacco products;

2.9.1.4.2. Offering resources such as but not limited to the Department's Tobacco Prevention & Control Program (TPCP) and the certified tobacco cessation counselors available through the QuitLine; and

2.10. Tobacco Free Environment

2.10.1. The Contractor must ensure a tobacco-free environment by having policies and procedures that at a minimum:

PK



Exhibit A, Amendment #1

- 2.10.1.1. Include the smoking of any tobacco product, the use of oral tobacco products or "spit" tobacco, and the use of electronic devices;
- 2.10.1.2. Apply to employees, clients and employee or client visitors;
- 2.10.1.3. Prohibit the use of tobacco products within the Contractor's facilities at any time.
- 2.10.1.4. Prohibit the use of tobacco in any Contractor owned vehicle.
- 2.10.1.5. Include whether or not use of tobacco products is prohibited outside of the facility on the grounds.
- 2.10.1.6. Include the following if use of tobacco products is allowed outside of the facility on the grounds:
  - 2.10.1.6.1. A designated smoking area(s) which is located at least twenty (20) feet from the main entrance.
  - 2.10.1.6.2. All materials used for smoking in this area, including cigarette butts and matches, will be extinguished and disposed of in appropriate containers.
  - 2.10.1.6.3. Ensure periodic cleanup of the designated smoking area.
  - 2.10.1.6.4. If the designated smoking area is not properly maintained, it can be eliminated at the discretion of the Contractor.
- 2.10.1.7. Prohibit tobacco use in any company vehicle.
- 2.10.1.8. Prohibit tobacco use in personal vehicles when transporting people on authorized business.
- 2.10.2. The Contractor must post the tobacco free environment policy in the Contractor's facilities and vehicles and included in employee, client, and visitor orientation.
- 2.10.3. The Contractor shall not use tobacco use, in and of itself, as grounds for discharging clients from services being provided under this contract.

**3. Staffing**

- 3.1. The Contractor shall meet the minimum staffing requirements to provide the scope of work in this RFA as follows:
  - 3.1.1. At least one licensed supervisor, defined as:
    - 3.1.1.1. Masters Licensed Alcohol and Drug Counselor (MLADC); or

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit A, Amendment #1

- 3.1.1.2. Licensed Alcohol and Drug Counselor (LADC) who also holds the Licensed Clinical Supervisor (LCS) credential; or
- 3.1.1.3. Licensed mental health provider
- 3.1.2. Sufficient staffing levels that are appropriate for the services provided and the number of clients served. Including, but not limited to:
  - 3.1.2.1. Licensed counselors defined as MLADCs, LADCs, and individuals licensed by the Board of Mental Health Practice or the Board of psychology. Licensed counselors may deliver any clinical or recovery support services within their scope of practice.
  - 3.1.2.2. Unlicensed counselors defined as individuals who have completed the required coursework for licensure by the Board of Alcohol and Other Drug Use Providers, Board of Mental Health Practice or Board of Psychology and are working to accumulate the work experience required for licensure. Unlicensed counselors may deliver any clinical or recovery support services within their scope of knowledge provided that they are under the direct supervision of a licensed supervisor.
  - 3.1.2.3. Certified Recovery Support workers (CRSWs) who may deliver intensive case management and other recovery support services within their scope of practice provided that they are under the direct supervision of a licensed supervisor.
  - 3.1.2.4. Uncertified recovery support workers defined as individuals who are working to accumulate the work experience required for certification as a CRSW who may deliver intensive case management and other recovery support services within their scope of knowledge provided that they are under the direct supervision of a licensed supervisor.
- 3.1.3. No licensed supervisor shall supervise more than twelve staff unless the Department has approved an alternative supervision plan (See Exhibit A-1 Section 8.1.2).
- 3.1.4. Provide ongoing clinical supervision that occurs at regular intervals in accordance with the Operational Requirements in Exhibit A-1, and evidence based practices, at a minimum:
  - 3.1.4.1. Weekly discussion of cases with suggestions for resources or therapeutic approaches, co-therapy, and periodic assessment of progress;

PK

11/14/18



Exhibit A, Amendment #1

- 3.1.4.2. Group supervision to help optimize the learning experience, when enough candidates are under supervision;
- 3.2. The Contractor shall provide training to staff on:
- 3.2.1. Knowledge, skills, values, and ethics with specific application to the practice issues faced by the supervisee;
  - 3.2.2. The 12 core functions;
  - 3.2.3. The Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice, available at <http://store.samhsa.gov/product/TAP-21-Addiction-Counseling-Competencies/SMA15-4171>; and
  - 3.2.4. The standards of practice and ethical conduct, with particular emphasis given to the counselor's role and appropriate responsibilities, professional boundaries, and power dynamics and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2.
- 3.3. The Contractor shall notify the Department, in writing of changes in key personnel and provide, within five (5) working days to the Department, updated resumes that clearly indicate the staff member is employed by the Contractor. Key personnel are those staff for whom at least 10% of their work time is spent providing substance use disorder treatment and/or recovery support services.
- 3.4. The Contractor shall notify the Department in writing within one month of hire when a new administrator or coordinator or any staff person essential to carrying out this scope of services is hired to work in the program. The Contractor shall provide a copy of the resume of the employee, which clearly indicates the staff member is employed by the Contractor, with the notification.
- 3.5. The Contractor shall notify the Department in writing within 14 calendar days, when there is not sufficient staffing to perform all required services for more than one month.
- 3.6. The Contractor shall have policies and procedures related to student interns to address minimum coursework, experience and core competencies for those interns having direct contact with individuals served by this contract. Additionally, The Contractor must have student interns complete an approved ethics course and an approved course on the 12 core functions and the Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 prior to beginning their internship.



Exhibit A, Amendment #1

- 3.7. The Contractor shall have unlicensed staff complete an approved ethics course and an approved course on the 12 core functions and the Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 within 6 months of hire.
- 3.8. The Contractor shall ensure staff receives continuous education in the ever changing field of substance use disorders, and state and federal laws, and rules relating to confidentiality
- 3.9. The Contractor shall provide in-service training to all staff involved in client care within 15 days of the contract effective date or the staff person's start date, if after the contract effective date, on the following:
  - 3.9.1. The contract requirements.
  - 3.9.2. All other relevant policies and procedures provided by the Department.
- 3.10. The Contractor shall provide in-service training or ensure attendance at an approved training by the Department to clinical staff on hepatitis C (HCV), human immunodeficiency virus (HIV), tuberculosis (TB) and sexually transmitted diseases (STDs) annually. The Contractor shall provide the Department with a list of trained staff.

#### 4. Facilities License

- 4.1. The Contractor shall be licensed for all residential services provided with the Department's Health Facilities Administration.
- 4.2. The Contractor shall comply with the additional licensing requirements for medically monitored, residential withdrawal management services by the Department's Bureau of Health Facilities Administration to meet higher facilities licensure standards.
- 4.3. The Contractor is responsible for ensuring that the facilities where services are provided meet all the applicable laws, rules, policies, and standards.

#### 5. Web Information Technology

- 5.1. The Contractor shall use the Web Information Technology System (WITS) to record all client activity and client contact within (3) days following the activity or contact as directed by the Department.
- 5.2. The Contractor shall, before providing services, obtain written informed consent from the client stating that the client understands that:
  - 5.2.1. The WITS system is administered by the State of New Hampshire;
  - 5.2.2. State employees have access to all information that is entered into the WITS system;



Exhibit A, Amendment #1

- 5.2.3. Any information entered into the WITS system becomes the property of the State of New Hampshire.
- 5.3. The Contractor shall have any client whose information is entered into the WITS system complete a WITS consent to the Department.
- 5.3.1. Any client refusing to sign the informed consent in 5.2 and/or consent in 5.3:
- 5.3.1.1. Shall not be entered into the WITS system; and
  - 5.3.1.2. Shall not receive services under this contract.
    - 5.3.1.2.1. Any client who cannot receive services under this contract pursuant to Section 5.3.1.2 shall be assisted in finding alternative payers for the required services.
- 5.4. The Contractor agrees to the Information Security Requirements Exhibit K.
- 5.5. The WITS system shall only be used for clients who are in a program that is funded by or under the oversight of the Department.

## 6. Reporting

- 6.1. The Contractor shall report on the following:
- 6.1.1. National Outcome Measures (NOMs) data in WITS for:
    - 6.1.1.1. 100% of all clients at admission
    - 6.1.1.2. 100% of all clients who are discharged because they have completed treatment or transferred to another program
    - 6.1.1.3. 50% of all clients who are discharged for reasons other than those specified above in Section 6.1.1.2.
    - 6.1.1.4. The above NOMs in Section 6.1.1.1 through 6.1.1.3 are minimum requirements and the Contractor shall attempt to achieve greater reporting results when possible.
  - 6.1.2. Monthly and quarterly web based contract compliance reports no later than the 10th day of the month following the reporting month or quarter;
  - 6.1.3. All critical incidents to the bureau in writing as soon as possible and no more than 24 hours following the incident. The Contractor agrees that:
    - 6.1.3.1. "Critical incident" means any actual or alleged event or situation that creates a significant risk of substantial or serious harm to physical or mental health, safety, or well-being, including but not limited to:
      - 6.1.3.1.1. Abuse;

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit A, Amendment #1

- 6.1.3.1.2. Neglect;
  - 6.1.3.1.3. Exploitation;
  - 6.1.3.1.4. Rights violation;
  - 6.1.3.1.5. Missing person;
  - 6.1.3.1.6. Medical emergency;
  - 6.1.3.1.7. Restraint; or.
  - 6.1.3.1.8. Medical error.
- 6.1.4. All contact with law enforcement to the bureau in writing, as soon as possible and no more than 24 hours following the incident;
- 6.1.5. All Media contacts to the bureau, in writing as soon as possible and no more than 24 hours following the incident;
- 6.1.6. Sentinel events to the Department as follows:
- 6.1.6.1. Sentinel events shall be reported when they involve any individual who is receiving services under this contract;
  - 6.1.6.2. Upon discovering the event, the Contractor shall provide immediate verbal notification of the event to the bureau, which shall include:
    - 6.1.6.2.1. The reporting individual's name, phone number, and agency/organization;
    - 6.1.6.2.2. Name and date of birth (DOB) of the individual(s) involved in the event;
    - 6.1.6.2.3. Location, date, and time of the event;
    - 6.1.6.2.4. Description of the event, including what, when, where, how the event happened, and other relevant information, as well as the identification of any other individuals involved;
    - 6.1.6.2.5. Whether the police were involved due to a crime or suspected crime; and
    - 6.1.6.2.6. The identification of any media that had reported the event;
  - 6.1.6.3. Within 72 hours of the sentinel event, the Contractor shall submit a completed "Sentinel Event Reporting Form" (February 2017), available at <https://www.dhhs.nh.gov/dcbcs/documents/reporting-form.pdf> to the bureau



Exhibit A, Amendment #1

- 6.1.6.4. Additional information on the event that is discovered after filing the form in Section 6.1.6.3. above shall be reported to the Department, in writing, as it becomes available or upon request of the Department; and
- 6.1.6.5. Submit additional information regarding Sections 6.1.6.1 through 6.1.6.4 above if required by the department; and
- 6.1.6.6. Report the event in Sections 6.1.6.1 through 6.1.6.4 above, as applicable, to other agencies as required by law.

## 7. Quality Improvement

- 7.1. The Contractor shall participate in all quality improvement activities to ensure the standard of care for clients, as requested by the Department, such as, but not limited to:
  - 7.1.1. Participation in electronic and in-person client record reviews
  - 7.1.2. Participation in site visits
  - 7.1.3. Participation in training and technical assistance activities as directed by the Department.
- 7.2. The Contractor shall monitor and manage the utilization levels of care and service array to ensure services are offered through the term of the contract to:
  - 7.2.1. Maintain a consistent service capacity for Substance Use Disorder Treatment and Recovery Support Services statewide by:
    - 7.2.1.1. Monitoring the capacity such as staffing and other resources to consistently and evenly deliver these services; and
    - 7.2.1.2. Monitoring no less than monthly the percentage of the contract funding expended relative to the percentage of the contract period that has elapsed. If there is a difference of more than 10% between expended funding and elapsed time on the contract the Contractor shall notify the Department within 5 days and submit a plan for correcting the discrepancy within 10 days of notifying the Department.

## 8. Maintenance of Fiscal Integrity

- 8.1. In order to enable DHHS to evaluate the Contractor's fiscal integrity, the Contractor agrees to submit to DHHS monthly, the Balance Sheet, Profit and Loss Statement, and Cash Flow Statement for the Contractor. The Profit and Loss Statement shall include a budget column allowing for budget to actual analysis. Statements shall be submitted within thirty (30) calendar days after each month end. The Contractor will be evaluated on the following:
  - 8.1.1. Days of Cash on Hand:



Exhibit A, Amendment #1

- 
- 8.1.1.1. Definition: The days of operating expenses that can be covered by the unrestricted cash on hand.
  - 8.1.1.2. Formula: Cash, cash equivalents and short term investments divided by total operating expenditures, less depreciation/amortization and in-kind plus principal payments on debt divided by days in the reporting period. The short-term investments as used above must mature within three (3) months and should not include common stock.
  - 8.1.1.3. Performance Standard: The Contractor shall have enough cash and cash equivalents to cover expenditures for a minimum of thirty (30) calendar days with no variance allowed.
  - 8.1.2. Current Ratio:
    - 8.1.2.1. Definition: A measure of the Contractor's total current assets available to cover the cost of current liabilities.
    - 8.1.2.2. Formula: Total current assets divided by total current liabilities.
    - 8.1.2.3. Performance Standard: The Contractor shall maintain a minimum current ratio of 1.5:1 with 10% variance allowed.
  - 8.1.3. Debt Service Coverage Ratio:
    - 8.1.3.1. Rationale: This ratio illustrates the Contractor's ability to cover the cost of its current portion of its long-term debt.
    - 8.1.3.2. Definition: The ratio of Net Income to the year to date debt service.
    - 8.1.3.3. Formula: Net Income plus Depreciation/Amortization Expense plus Interest Expense divided by year to date debt service (principal and interest) over the next twelve (12) months.
    - 8.1.3.4. Source of Data: The Contractor's Monthly Financial Statements identifying current portion of long-term debt payments (principal and interest).
    - 8.1.3.5. Performance Standard: The Contractor shall maintain a minimum standard of 1.2:1 with no variance allowed.
  - 8.1.4. Net Assets to Total Assets:
    - 8.1.4.1. Rationale: This ratio is an indication of the Contractor's ability to cover its liabilities.

PK

11/14/18



Exhibit A, Amendment #1

- 8.1.4.2. Definition: The ratio of the Contractor's net assets to total assets.
  - 8.1.4.3. Formula: Net assets (total assets less total liabilities) divided by total assets.
  - 8.1.4.4. Source of Data: The Contractor's Monthly Financial Statements.
  - 8.1.4.5. Performance Standard: The Contractor shall maintain a minimum ratio of .30:1, with a 20% variance allowed.
- 8.2. In the event that the Contractor does not meet either:
- 8.2.1. The standard regarding Days of Cash on Hand and the standard regarding Current Ratio for two (2) consecutive months; or
  - 8.2.2. Three (3) or more of any of the Maintenance of Fiscal Integrity standards for three (3) consecutive months, then
  - 8.2.3. The Department may require that the Contractor meet with Department staff to explain the reasons that the Contractor has not met the standards.
  - 8.2.4. The Department may require the Contractor to submit a comprehensive corrective action plan within thirty (30) calendar days of notification that 8.2.1 and/or 8.2.2 have not been met.
    - 8.2.4.1. The Contractor shall update the corrective action plan at least every thirty (30) calendar days until compliance is achieved.
    - 8.2.4.2. The Contractor shall provide additional information to assure continued access to services as requested by the Department. The Contractor shall provide requested information in a timeframe agreed upon by both parties.
- 8.3. The Contractor shall inform the Department by phone and by email within twenty-four (24) hours of when any key Contractor staff learn of any actual or likely litigation, investigation, complaint, claim, or transaction that may reasonably be considered to have a material financial impact on and/or materially impact or impair the ability of the Contractor to perform under this Agreement with the Department.
- 8.4. The monthly Balance Sheet, Profit & Loss Statement, Cash Flow Statement, and all other financial reports shall be based on the accrual method of accounting, and include the Contractor's total revenues and expenditures whether or not generated by or resulting from funds provided pursuant to this Agreement. These reports are due within thirty (30) calendar days after the end of each month.

## 9. Performance Measures

The following performance measures are required for client services rendered from SOR funding only.

DK

11/14/18



Exhibit A, Amendment #1

- 9.1. The Contractor must ensure that 100% of clients covered by enhanced room and board payments for residential levels of care 3.1 and/or 3.5 under this contract that enter care directly through the Contractor who consent to information sharing with the Regional Hub for SUD Services receive a Hub referral for ongoing care coordination.
- 9.2. The Contractor must ensure that 100% of clients referred to them, by the Regional Hub for SUD Services for residential levels of care 3.1 and/or 3.5 who will be covered by room and board payments under this contract have proper consents in place for transfer of information for the purposes of data collection between the Hub and the Contractor.

The following performance measures are required for client services rendered from all sources of funds.

- 9.3. The Contractor's contract performance shall be measured as in Section 9.4 below to evaluate that services are mitigating negative impacts of substance misuse, including but not limited to the opioid epidemic and associated overdoses.
- 9.4. For the first year of the contract only, the data, as collected in WITS, will be used to assist the Department in determining the benchmark for each measure below. The Contractor agrees to report data in WITS used in the following measures:
- 9.4.1. Initiation: % of clients accessing services within 14 days of screening;
  - 9.4.2. Engagement: % of clients receiving 3 or more eligible services within 34 days;
  - 9.4.3. Retention: % of clients receiving 6 or more eligible services within 60 days;
  - 9.4.4. Clinically appropriate services: % of clients receiving ASAM level of care within 30 days;
  - 9.4.5. Treatment completion: % of clients completing treatment; and National Outcome Measures (NOMS) The % of clients out of all clients discharged meeting at least 3 out of 5 NOMS outcome criteria:
    - 9.4.5.1. Reduction in /no change in the frequency of substance use at discharge compared to date of first service
    - 9.4.5.2. Increase in/no change in number of individuals employed or in school at date of last service compared to first service
    - 9.4.5.3. Reduction in/no change in number of individuals arrested in past 30 days from date of first service to date of last service
    - 9.4.5.4. Increase in/no change in number of individuals that have stable housing at last service compared to first service
    - 9.4.5.5. Increase in/no change in number of individuals participating in community support services at last service compared to first service

PK

11/14/18



---

## 10. Contract Compliance Audits

- 10.1. In the event that the Contractor undergoes an audit by the Department, the Contractor agrees to provide a corrective action plan to the Department within thirty (30) days from the date of the final findings, which addresses any and all findings.
- 10.2. The corrective action plan shall include:
  - 10.2.1. The action(s) that will be taken to correct each deficiency;
  - 10.2.2. The action(s) that will be taken to prevent the reoccurrence of each deficiency;
  - 10.2.3. The specific steps and time line for implementing the actions above;
  - 10.2.4. The plan for monitoring to ensure that the actions above are effective; and
  - 10.2.5. How and when the vendor will report to the Department on progress on implementation and effectiveness.

PK

11/14/18



### Method and Conditions Precedent to Payment

1. The State shall pay the Contractor an amount not to exceed the Price Limitation, Block 1.8, of the General Provisions, for the services provided by the Contractor pursuant to Exhibit A, Scope of Services.
2. This Agreement is funded by:
  - 2.1. New Hampshire General Funds;
  - 2.2. Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment, and Recovery Funds;
  - 2.3. Federal Funds from the United States Department of Health and Human Services, the Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant (CFDA #93.959);
  - 2.4. Federal Funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, State Opioid Response Grant (CFDA #93.788) and;
  - 2.5. The Contractor agrees to provide the services in Exhibit A, Scope of Services in compliance with the federal funding requirements.
3. Non Reimbursement for Services
  - 3.1. The State will not reimburse the Contractor for services provided through this contract when a client has or may have an alternative payer for services described the Exhibit A, Scope of Work, such as but not limited to:
    - 3.1.1. Services covered by any New Hampshire Medicaid programs for clients who are eligible for New Hampshire Medicaid
    - 3.1.2. Services covered by Medicare for clients who are eligible for Medicare
    - 3.1.3. Services covered by the client's private insurer(s) at a rate greater than the Contract Rate in Exhibit B-1, Amendment #1 Service Fee Table set by the Department.
  - 3.2. Notwithstanding Section 3.1 above, the Contractor may seek reimbursement from the State for services provided under this contract when a client needs a service that is not covered by the payers listed in Section 3.1.
  - 3.3. Notwithstanding Section 3.1 above, the Contractor must seek reimbursement from the State for services provided under this contract when a client needs a service that is covered by the payers listed in Section 3.1, but payment of the deductible or copay would constitute a financial hardship for the client.
4. The Contractor shall bill and seek reimbursement for actual services delivered by fee for services in Exhibit B-1, Amendment #1 Service Fee Table, unless otherwise stated.



Exhibit B, Amendment #1

- 4.1. The Contractor agrees the fees for services are all-inclusive contract rates to deliver the services (except for Clinical Evaluation which is an activity that is billed for separately) and are the maximum allowable charge in calculating the amount to charge the Department for services delivered as part of this Agreement. (See Section 5 below).
5. Calculating the Amount to Charge the Department Applicable to All Services in Exhibit B-1, Amendment #1 Service Fee Table, except for Childcare (See Section 11 below).
- 5.1. The Contractor shall:
- 5.1.1. Directly bill and receive payment for services and/or transportation provided under this contract from public and private insurance plans, the clients, and the Department
  - 5.1.2. Assure a billing and payment system that enables expedited processing to the greatest degree possible in order to not delay a client's admittance into the program and to immediately refund any overpayments.
  - 5.1.3. Maintain an accurate accounting and records for all services billed, payments received and overpayments (if any) refunded.
- 5.2. The Contractor shall determine and charge accordingly for services provided to an eligible client under this contract, as follows:
- 5.2.1. First: Charge the client's private insurance up to the Contract Rate, in Exhibit B-1, Amendment #1 when the insurers' rates meet or are lower than the Contract Rate in Exhibit B-1, Amendment #1. Except when the client's deductible or copay creates a financial hardship as defined in section 3.3.
  - 5.2.2. Second: Charge the client according to Exhibit B, Amendment #1 Section 12, Sliding Fee Scale, when the Contractor determines or anticipates that the private insurer will not remit payment for the full amount of the Contract Rate in Exhibit B-1, Amendment #1.
  - 5.2.3. Third: If, any portion of the Contract Rate in Exhibit B-1, Amendment #1 remains unpaid, after the Contractor charges the client's insurer (if applicable) and the client, the Contractor shall charge the Department the balance (the Contract Rate in Exhibit B-1, Amendment #1, Service Fee Table less the amount paid by private insurer and the amount paid by the client).
- 5.3. The Contractor agrees the amount charged to the client shall not exceed the Contract Rate in Exhibit B-1, Amendment #1 Service Fee Table multiplied by the corresponding percentage stated in Exhibit B, Amendment #1, Section 12 Sliding Fee Scale for the client's applicable income level.

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit B, Amendment #1

- 5.4. The Contractor will assist clients who are unable to secure financial resources necessary for initial entry into the program by developing payment plans.
  - 5.5. The Contractor shall not deny, delay or discontinue services for enrolled clients who do not pay their fees in Section 5.2.2 above, until after working with the client as in Section 5.4 above, and only when the client fails to pay their fees within thirty (30) days after being informed in writing and counseled regarding financial responsibility and possible sanctions including discharge from treatment.
  - 5.6. The Contractor will provide to clients, upon request, copies of their financial accounts.
  - 5.7. The Contractor shall not charge the combination of the public or private insurer, the client and the Department an amount greater than the Contract Rate in Exhibit B-1 Amendment #1, except for:
    - 5.7.1. Transitional Living (See Section 7 below) and
    - 5.7.2. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1. (See Section 7 below).
  - 5.8. In the event of an overpayment (wherein the combination of all payments received by the Contractor for a given service (except in Exhibit B, Amendment #1, Section 5.7.1 and 5.7.2) exceeds the Contract Rate stated in Exhibit B-1, Amendment #1, Service Fee Table, the Contractor shall refund the parties in the reverse order, unless the overpayment was due to insurer, client or Departmental error.
  - 5.9. In instances of payer error, the Contractor shall refund the party who erred, and adjust the charges to the other parties, according to a correct application of the Sliding Fee Schedule.
  - 5.10. In the event of overpayment as a result of billing the Department under this contract when a third party payer would have covered the service, the Contractor must repay the state in an amount and within a timeframe agreed upon between the Contractor and the Department upon identifying the error.
6. Additional Billing Information for: Room and Board for Medicaid clients with Opioid Use Disorder (OUD) in residential levels of care 3.1 and/or 3.5.
- 6.1. The Contractor shall invoice the Department for Room and Board payments up to \$100/day for Medicaid clients with OUD in residential levels of care 3.1 and/or 3.5.
  - 6.2. The Contractor shall maintain documentation of the following:
    - 6.2.1. Medicaid ID of the Client;
    - 6.2.2. WITS ID of the Client (if applicable)
    - 6.2.3. Period for which room and board payments cover;



Exhibit B, Amendment #1

- 6.2.4. Level of Care for which the client received services for the date range identified in 6.2.2
- 6.2.5. Amount being billed to the Department for the service
- 6.3. The Contractor will submit an invoice by the twentieth (20th) day of each month, which identifies and requests reimbursement for authorized expenses incurred for room and board in the prior month. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement. Invoices must be submitted in a Department approved manner.
- 6.4. The Contractor shall ensure that clients receiving services rendered from SOR funds have a documented history of/or current diagnoses of Opioid Use Disorder.
- 6.5. The Contractor shall coordinate ongoing client care for all clients with documented history of/or current diagnoses of Opioid Use Disorder, receiving services rendered from SOR funds, with regional HUB (s) for Substance Use Disorder services in accordance with 42 CFR Part 2.
7. Additional Billing Information for: Integrated Medication Assisted Treatment (MAT)
- 7.1. The Contractor shall invoice the Department for Integrated Medication Assisted Treatment Services for Medication and Physician Time as in Section 5 above and as follows:
- 7.2. Medication:
- 7.2.1. The Contractor shall seek reimbursement for the Medication Assisted Treatment medication based on the Contractor's usual and customary charges according to Revised Statutes Annotated (RSA) 126-A:3 III. (b), except for Section 6.2.2. below.
- 7.2.2. The Contractor will be reimbursed for Medication Assisted Treatment with Methadone or Buprenorphine in a certified Opiate Treatment Program (OTP) per New Hampshire Administrative Rule He-A 304 as follows:
- 7.2.2.1. The Contractor shall seek reimbursement for Methadone or Buprenorphine based on the Medicaid rate, up to 7 days per week. The code for Methadone in an OTP is H0020, and the code for buprenorphine in an OTP is H0033.
- 7.2.3. The Contractor shall seek reimbursement for up to 3 doses per client per day.
- 7.2.4. The Contractor shall maintain documentation of the following:
- 7.2.4.1. WITS Client ID #;



Exhibit B, Amendment #1

- 7.2.4.2. Period for which prescription is intended;
  - 7.2.4.3. Name and dosage of the medication;
  - 7.2.4.4. Associated Medicaid Code;
  - 7.2.4.5. Charge for the medication.
  - 7.2.4.6. Client cost share for the service; and
  - 7.2.4.7. Amount being billed to the Department for the service.
- 7.3. Physician Time:
- 7.3.1. Physician Time is the time spent by a physician or other medical professional to provide Medication Assisted Treatment Services, including but not limited to assessing the client's appropriateness for a medication, prescribing and/or administering a medication, and monitoring the client's response to a medication.
  - 7.3.2. The Contractor shall seek reimbursement according to Exhibit B-1, Amendment #1 Service Fee Table.
  - 7.3.3. The Contractor shall maintain documentation of the following:
    - 7.3.3.1. WITS Client ID #;
    - 7.3.3.2. Date of Service;
    - 7.3.3.3. Description of service;
    - 7.3.3.4. Associated Medicaid Code;
    - 7.3.3.5. Charge for the service;
    - 7.3.3.6. Client cost share for the service; and
    - 7.3.3.7. Amount being billed to the Department for the service.
- 7.4. The Contractor will submit an invoice by the twentieth (20<sup>th</sup>) day of each month, which identifies and requests reimbursement for authorized expenses incurred for medication assisted treatment in the prior month. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement. Invoices must be submitted utilizing the WITS system.
- 7.5. The Contractor shall ensure that clients receiving services rendered from SOR funds have a documented history of/or current diagnoses of Opioid Use Disorder.
- 7.6. The Contractor shall coordinate ongoing client care for all clients with documented history of/or current diagnoses of Opioid Use Disorder, receiving services rendered from SOR funds, with regional HUB (s) for Substance Use Disorder services in accordance with 42 CFR Part 2.



Exhibit B, Amendment #1

8. Charging the Client for Room and Board for Transitional Living Services and for Low-Intensity Residential Treatment

- 8.1. The Contractor may charge the client fees for room and board, in addition to:
  - 8.1.1. The client's portion of the Contract Rate in Exhibit B-1, Amendment #1, using the sliding fee scale
  - 8.1.2. The charges to the Department
- 8.2. The Contractor may charge the client for Room and Board, inclusive of lodging and meals offered by the program according to the Table A below:

| Table A   |   |
|---|---|
| If the percentage of Client's Income of the Federal Poverty Level (FPL) is: | Then the Contractor may charge the client up to the following amount for room and board per week: |
| 0% - 138%   | \$0   |
| 139% - 149%   | \$8   |
| 150% - 199%   | \$12  |
| 200% - 249%   | \$25  |
| 250% - 299%   | \$40  |
| 300% - 349%   | \$57  |
| 350% - 399%   | \$77  |

- 8.3. The Contractor shall hold 50% of the amount charged to the client that will be returned to the client at the time of discharge.
  - 8.4. The Contractor shall maintain records to account for the client's contribution to room and board.
9. Charging for Clinical Services under Transitional Living
- 9.1. The Contractor shall charge for clinical services separately from this contract to the client's other third party payers such as Medicaid, NHHPP, Medicare, and private insurance. The Contractor shall not charge the client according to the sliding fee scale.
  - 9.2. Notwithstanding Section 8.1 above, the Contractor may charge in accordance with Sections 5.2.2 and 5.2.3 above for clinical services under this contract only when the client does not have any other payer source other than this contract.
10. Additional Billing Information: Intensive Case Management Services:
- 10.1. The Contractor shall charge in accordance with Section 5 above for intensive case management under this contract only for clients who have been admitted

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit B, Amendment #1

- to programs in accordance to Exhibit A, Scope of Services and after billing other public and private insurance.
- 10.2. The Department will not pay for intensive case management provided to a client prior to admission.
- 10.3. The Contractor will bill for intensive case management only when the service is authorized by the Department.
11. Additional Billing Information: Transportation
- 11.1. The Contractor will seek reimbursement in accordance with Section 5 above and upon prior approval of the Department for Transportation provided in Exhibit A Scope of Services Section 2.4.2.2 as follows:
- 11.1.1. At Department's standard per mile rate plus an hourly rate in accordance with Exhibit B-1, Amendment #1 Service Fee Table for Contractor's staff driving time, when using the Contractor's own vehicle for transporting clients to and from services required by the client's treatment plan. If the Contractor's staff works less than a full hour, then the hourly rate will be prorated at fifteen (15) minute intervals for actual work completed; or.
- 11.1.2. At the actual cost to purchase transportation passes or to pay for cab fare, in order for the client to receive transportation to and from services required by the client's treatment plan.
- 11.2. The Contractor shall keep and maintain records and receipts to support the cost of transportation and provide said records and receipts to the Department upon request.
- 11.3. The Contractor will invoice the Department according to Department instructions.
12. Charging for Child Care
- 12.1. The Contractor shall seek reimbursement upon prior approval of the Department for Childcare provided in Exhibit A Scope of Services, Section 2.4.2.3 as follows:
- 12.1.1. At the hourly rate in Exhibit B-1, Amendment #1 Service Fee Table for when the Contractor's staff provides child care while the client is receiving treatment or recovery support services, or
- 12.1.2. At the actual cost to purchase childcare from a licensed child care provider.
- 12.2. The Contractor shall keep and maintain records and receipts to support the cost of childcare and provide these to the Department upon request.
- 12.3. The Contractor will invoice the Department according to Department instructions.



Exhibit B, Amendment #1

13. Sliding Fee Scale

13.1. The Contractor shall apply the sliding fee scale in accordance with Exhibit B, Amendment #1, Section 5 above.

13.2. The Contractor shall adhere to the sliding fee scale as follows:

| Percentage of Client's Income of the Federal Poverty Level (FPL) | Percentage of Contract Rate in Exhibit B-1 to Charge the Client |
|--|---|
| 0%-138%  | 0%  |
| 139% - 149%  | 8%  |
| 150% - 199%  | 12%   |
| 200% - 249%  | 25%   |
| 250% - 299%  | 40%   |
| 300% - 349%  | 57%   |
| 350% - 399%  | 77%   |

13.3. The Contractor shall not deny a minor child (under the age of 18) services because of the parent's unwillingness to pay the fee or the minor child's decision to receive confidential services pursuant to RSA 318-B:12-a.

14. Submitting Charges for Payment

14.1. The Contractor shall submit billing through the Web Information Technology System (WITS) for services listed in Exhibit B-1, Amendment #1 Service Fee Table. The Contractor shall:

- 14.1.1. Enter encounter note(s) into WITS no later than three (3) days after the date the service was provided to the client
- 14.1.2. Review the encounter notes no later than twenty (20) days following the last day of the billing month, and notify the Department that encounter notes are ready for review.
- 14.1.3. Correct errors, if any, in the encounter notes as identified by the Department no later than seven (7) days after being notified of the errors and notify the Department the notes have been corrected and are ready for review.
- 14.1.4. Batch and transmit the encounter notes upon Department approval for the billing month.
- 14.1.5. Submit separate batches for each billing month.

14.2. The Contractor agrees that billing submitted for review after sixty (60) days of the last day of the billing month may be subject to non-payment.

14.3. To the extent possible, the Contractor shall bill for services provided under this contract through WITS. For any services that are unable to be billed through

PK

11/14/18



Exhibit B, Amendment #1

WITS, the contractor shall work with the Department to develop an alternative process for submitting invoices.

15. Funds in this contract may not be used to replace funding for a program already funded from another source.
16. The Contractor will keep detailed records of their activities related to Department funded programs and services.
17. Notwithstanding anything to the contrary herein, the Contractor agrees that funding under this agreement may be withheld, in whole or in part, in the event of non-compliance with any Federal or State law, rule or regulation applicable to the services provided, or if the said services or products have not been satisfactorily completed in accordance with the terms and conditions of this agreement.
18. Contractor will have forty-five (45) days from the end of the contract period to submit to the Department final invoices for payment. Any adjustments made to a prior invoice will need to be accompanied by supporting documentation.
19. Limitations and restrictions of federal Substance Abuse Prevention and Treatment (SAPT) Block Grant funds:
  - 19.1. The Contractor agrees to use the SAPT funds as the payment of last resort.
  - 19.2. The Contractor agrees to the following funding restrictions on SAPT Block Grant expenditures to:
    - 19.2.1. Make cash payments to intended recipients of substance abuse services.
    - 19.2.2. Expend more than the amount of Block Grant funds expended in Federal Fiscal Year 1991 for treatment services provided in penal or correctional institutions of the State.
    - 19.2.3. Use any federal funds provided under this contract for the purpose of conducting testing for the etiologic agent for Human Immunodeficiency Virus (HIV) unless such testing is accompanied by appropriate pre and post-test counseling.
    - 19.2.4. Use any federal funds provided under this contract for the purpose of conducting any form of needle exchange, free needle programs or the distribution of bleach for the cleaning of needles for intravenous drug abusers.
  - 19.3. The Contractor agrees to the Charitable Choice federal statutory provisions as follows:

Federal Charitable Choice statutory provisions ensure that religious organizations are able to equally compete for Federal substance abuse funding administered by SAMHSA, without impairing the religious character of such organizations and without diminishing the

PK

11/14/18

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit B, Amendment #1

religious freedom of SAMHSA beneficiaries (see 42 USC 300x-65 and 42 CFR Part 54 and Part 54a, 45 CFR Part 96, Charitable Choice Provisions and Regulations). Charitable Choice statutory provisions of the Public Health Service Act enacted by Congress in 2000 are applicable to the SAPT Block Grant program. No funds provided directly from SAMHSA or the relevant State or local government to organizations participating in applicable programs may be expended for inherently religious activities, such as worship, religious instruction, or proselytization. If an organization conducts such activities, it must offer them separately, in time or location, from the programs or services for which it receives funds directly from SAMHSA or the relevant State or local government under any applicable program, and participation must be voluntary for the program beneficiaries.

PK

11/14/18



Exhibit B-1, Amendment #1

**Service Fee Table**

1. The Contract Rates in the Table A are the maximum allowable charge used in the Methods for Charging for Services under this Contract in Exhibit B.

Table A

|       | Service  | Contract Rate:<br>Maximum Allowable<br>Charge | Unit  |
|-------|--|---|---|
| 1.1.  | Clinical Evaluation  | \$275.00                                      | Per evaluation  |
| 1.2.  | Individual Outpatient  | \$22.00                                       | 15 min  |
| 1.3.  | Group Outpatient   | \$6.60  | 15 min  |
| 1.4.  | Intensive Outpatient   | \$104.00                                      | Per day: only on those days when the client attends individual and/or group counseling associated with the program.     |
| 1.5.  | Partial Hospitalization  | \$223.00                                      | Per day: and only on those days when the client attends individual and/or group counseling associated with the program. |
| 1.6.  | Transitional Living for room and board only  | \$75.00                                       | Per day   |
| 1.7.  | Low-Intensity Residential for Adults only for clinical services and room and board                                   | \$119.00                                      | Per day   |
| 1.8.  | Low-Intensity Residential for Medicaid clients with OUD-Enhanced Room and Board                                      | \$100.00                                      | Per day   |
| 1.9.  | High-Intensity Residential Adult, (excluding Pregnant and Parenting Women), for clinical services and room and board | \$154.00                                      | Per day   |
| 1.10. | High-Intensity Residential for Medicaid clients with OUD-Enhanced Room and Board                                     | \$100.00                                      | Per day   |

New Hampshire Department of Health and Human Services  
 Substance Use Disorder Treatment and Recovery Support Services



Exhibit B-1, Amendment #1

|       | Service  | Contract Rate:<br>Maximum Allowable<br>Charge                               | Unit  |
|-------|--|---|---|
| 1.11. | High-Intensity Residential for all other Pregnant and Parenting Women: Room and Board  | \$75.00   | Per Day   |
| 1.12. | High-Intensity Residential only for Pregnant and Parenting Women: Clinical services only   | \$180.00  | Per Day   |
| 1.13. | Integrated Medication Assisted Treatment - Physician Time  | Rate Per Medicaid Physician Billing Codes: 99201 - 99205 and 99211 - 99215. | Unit Per Medicaid Physician Billing Codes: 99201 - 99205 and 99211 - 99215. |
| 1.14. | Integrated Medication Assisted Treatment - Medication  | See Exhibit B, Section 6.2  | See Exhibit B, Section 6.2  |
| 1.15. | Ambulatory Withdrawal Management without Extended On-Site Monitoring (ASAM Level 1-WM)   | \$104.00  | Per day   |
| 1.16. | Medically Monitored Inpatient Withdrawal Management (ASAM Level 3.7 WM)  | \$215.00  | Per day   |
| 1.17. | Individual Intensive Case Management   | \$16.50   | 15 min  |
| 1.18. | Group Intensive Case Management  | \$5.50  | 15 min  |
| 1.19. | Staff Time for Child Care Provided by the Contractor, only for children of Parenting Clients                                     | Actual staff time up to \$20.00   | Hour  |
| 1.20. | Child Care Provided by a Child Care Provider (other than the Contractor), only for children of Parenting Clients                 | Actual cost to purchase Child Care  | According to the Child Care Provider  |
| 1.21. | Staff Time for Transportation Provided by the Contractor, only for Pregnant and Parenting Women and Men                          | Actual staff time up to \$5.00  | Per 15 minutes  |
| 1.22. | Mileage Reimbursement for use of the Contractor's Vehicle when providing Transportation for Pregnant and Parenting Women and Men | Department's standard per mile reimbursement rate                           | Per Mile  |
| 1.23. | Transportation provided by a Transportation Provider (other  | Actual cost to purchase   | According to the Transportation Provider                                    |

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit B-1, Amendment #1

---

|  |   |                |  |
|--|---|----------------|--|
|  | than the Contractor) only to Pregnant and Parenting Women and Men | Transportation |  |
|--|---|----------------|--|



Jeffrey A. Meyer  
Commissioner

Kath S. Fox  
Director

7 *mac*

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION FOR BEHAVIORAL HEALTH  
BUREAU OF DRUG AND ALCOHOL SERVICES

105 PLEASANT STREET, CONCORD, NH 03301  
603-271-6110 1-800-852-3345 Ext. 6738  
Fax: 603-271-6105 TDD Access: 1-800-735-2964  
www.dbhs.nh.gov

July 10, 2018

His Excellency, Governor Christopher T. Sununu  
and the Honorable Council  
State House  
Concord, New Hampshire 03301

**REQUESTED ACTION**

**Action #1)** Authorize the Department of Health and Human Services, Bureau of Drug and Alcohol Services, to enter into retroactive Agreements with three (3) of the thirteen (13) Vendors listed below in bold, to provide substance use disorder treatment and recovery support services statewide, by increasing the combined price limitation by \$1,549,015, from \$3,157,927, to an amount not to exceed \$4,706,942 effective retroactive to July 1, 2018, upon approval of the Governor and Executive Council through June 30, 2019. 55.87% Federal, 13.97% General, and 30.16% Other Funds.

**Action #2)** Authorize the Department of Health and Human Services, Bureau of Drug and Alcohol Services, to amend contracts with ten (10) of the thirteen (13) vendors not listed in bold, to modify the provision of substance use disorder treatment and recovery support services with no change to the price limitation or completion date, effective upon the date of Governor and Executive Council approval. These ten (10) contracts were approved by the Governor and Executive Council on June 20, 2018 (Late Item G).

**Summary of contracted amounts by Vendor:**

| Vendor  | Current Amount     | Increase/ Decrease | Revised Budget     |
|---|--------------------|--------------------|--------------------|
| Dismas Home of New Hampshire, Inc.  | \$240,000          | \$0                | \$240,000          |
| FTI/NHNNH, Inc.   | \$0                | \$645,775          | \$645,775          |
| Grafton County New Hampshire - Department of Corrections and Alternative Sentencing | \$247,000          | \$0                | \$247,000          |
| Greater Nashua Council on Alcoholism  | \$0                | \$624,599          | \$624,599          |
| Headrest  | \$147,999          | \$0                | \$147,999          |
| Manchester Alcoholism Rehabilitation Center   | \$1,118,371        | \$0                | \$1,118,371        |
| Hope on Haven Hill  | \$0                | \$278,641          | \$278,641          |
| North Country Health Consortium   | \$287,406          | \$0                | \$287,406          |
| Phoenix Houses of New England, Inc.   | \$232,921          | \$0                | \$232,921          |
| Seacoast Youth Services   | \$73,200           | \$0                | \$73,200           |
| Southeastern New Hampshire Alcohol & Drug Abuse Services                            | \$589,540          | \$0                | \$589,540          |
| The Community Council of Nashua, N.H.   | \$162,000          | \$0                | \$162,000          |
| West Central Services, Inc.   | \$59,490           | \$0                | \$59,490           |
| <b>Total SFY19</b>  | <b>\$3,157,927</b> | <b>\$1,549,015</b> | <b>\$4,706,942</b> |

Funds to support this request are available in State Fiscal Year 2019 in the following accounts, with the authority to adjust encumbrances between State Fiscal Years through the Budget Office without approval of the Governor and Executive Council, if needed and justified.

**05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS  
DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS,  
GOVERNOR COMMISSION FUNDS (100% Other Funds)**

**05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS  
DEPT OF, HHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS,  
CLINICAL SERVICES (80% Federal Funds, 20% General Funds FAIN TI010035 CFDA 93.959)**

Please see attached financial details.

### EXPLANATION

#### **Action #1)**

Requested Action #1 is retroactive because the Department and FIT/NHNN, Inc. were continuing to work on the scope of work and therefore, the contract was not completed in time to place the item on the agenda for the June 20, 2018 Governor and Executive Council meeting. The contract with Greater Nashua Council on Alcoholism and Hope on Haven Hill are being submitted after the release of audit reports to allow for Council review prior to entering into an Agreement, and to add contract monitoring language to address the audit findings. If these actions were not taken retroactively, the result would have been a gap in critical substance use disorder treatment and recovery support services in the State's two largest cities.

The Department requests approval of three (3) agreements. Ten (10) agreements were previously approved by Governor and Executive Council on June 20, 2018 Late Item G. These agreements will allow the Vendors listed to provide an array of Substance Use Disorder Treatment and Recovery Support Services statewide to children and adults with substance use disorders, who have income below 400% of the Federal Poverty level and are residents of New Hampshire or are homeless in New Hampshire. Substance use disorders occur when the use of alcohol and/or drugs causes clinically and functionally significant impairment, such as health problems, disability, and failure to meet major responsibilities at work, school, or home. The existence of a substance use disorder is determined using a clinical evaluation based on Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition criteria.

These Agreements are part of the Department's overall strategy to respond to the opioid epidemic that continues to negatively impact New Hampshire's individuals, families, and communities as well as to respond to other types of substance use disorders. Under the current iteration of these contracts, fifteen (15) vendors are delivering an array of treatment services, including individual and group outpatient, intensive outpatient, partial hospitalization, transitional living, high and low intensity residential, and ambulatory and residential withdrawal management services as well as ancillary recovery support services. While the array of services offered by each vendor varies slightly, together they enrolled 2994 individuals in service groups covered by the contract between May 1, 2017 and April 30, 2018. In 2016 there were 485 drug overdose deaths in New Hampshire with the death toll for 2017 at 428 as of April 20, 2018; however, the 2017 statistics are expected to increase slightly as cases are still pending analysis. This reduction in deaths indicates that the overall strategy including prevention, intervention, treatment, and recovery support services may be having a positive impact.

The Department published a Request for Applications for Substance Use Disorder Treatment and Recovery Support Services (RFA-2019-BDAS-01-SUBST) on the Department of Health and Human Services website April 20, 2018 through May 10, 2018. The Department received sixteen (16) applications. These proposals were reviewed and scored by a team of individuals with program specific knowledge. The Department selected fourteen applications (two (2) submitted by Grafton County were combined into one contract) to provide these services (See attached Summary Score Sheet).

Some of the Vendors' applications scored lower than anticipated; however, this was largely due to the Vendors providing a limited array of services and not to their experience and/or capacity to provide those services. In addition the Bureau of Drug and Alcohol Services is working with the Bureau of Improvement and Integrity to improve the contract monitoring and quality improvement process as well as taking steps to reposition staff to assist with this.

The Contract includes language to assist pregnant and parenting women by providing Interim services if they are on a waitlist; to ensure clients contribute to the cost of services by assessing client income at intake and on a monthly basis; and to ensure care coordination for the clients by assisting them with accessing services or working with a client's existing provider for physical health, behavioral health, medication assisted treatment and peer recovery support services.

The Department will monitor the performance of the Vendors through monthly and quarterly reports, conducting site visits, reviewing client records, and engaging in activities identified in the contract monitoring and quality improvement work referenced above. In addition, the Department is collecting baseline data on access, engagement, clinical appropriateness, retention, completion, and outcomes that will be used to create performance improvement goals in future contracts. Finally, contractor financial health is also being monitored monthly.

All thirteen (13) contracts include language that reserves the right to renew each contract for up to two (2) additional years, subject to the continued availability of funds, satisfactory performance of contracted services and Governor and Executive Council approval.

Should the Governor and Executive Council determine to not authorize this Requested Action #1, the vendors would not have sufficient resources to promote and provide the array of services necessary to provide individuals with substance use disorders the necessary tools to achieve, enhance and sustain recovery.

#### Action #2)

Requested Action #2 seeks approval to amend ten (10) of the thirteen (13) agreements for the provision of substance use disorder treatment and recovery support services by modifying the scope to reduce the burden on the vendors in meeting contract requirements.

The changes to the contracts include removal of the requirement to continue providing services after the contract price limitation is reached, allowing for assistance to clients enrolling in insurance through the use of referrals to trained community providers, and an easing of supervision requirements that is not expected to negatively impact client care. Corrective action for compliance audits was also included. The changes were also made to the three (3) contracts being put forth in Action #1. These changes are being made as a part of the Department's response to provider's concerns over reimbursement rates with the goal of reducing the gap between the cost of providing services and the rate paid by the Department by reducing the administrative burden associated with service delivery without compromising client care.

These contracts were originally competitively bid.

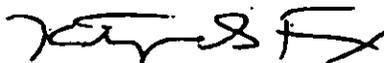
Should the Governor and Executive Council determine to not authorize this Request Action #2, the gap between the cost of care and reimbursement rates will remain the same, which vendors have indicated may result in having to limit services provided under this contract. In addition, there would not be a requirement of a corrective actions plan should there be an audit which does not allow for a system to assist with improvement in services provided.

Area served: Statewide.

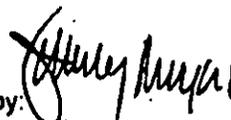
Source of Funds: 55.87% Federal Funds from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant, CFDA #93.959, Federal Award Identification Number T1010035-14, and 13.97% General Funds and 30.16% Other Funds from the Governor's Commission on Alcohol and Other Drug Abuse Prevention, Intervention and Treatment.

In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Katja S. Fox  
Director



Approved by:

Jeffrey A. Meyers  
Commissioner



New Hampshire Department of Health and Human Services  
Office of Business Operations  
Contracts & Procurement Unit  
Summary Scoring Sheet

Substance Use Disorder Treatment And  
Recovery Support Services  
RFA Name

RFA-2019-BDAS-01-SUBST  
RFA Number

Reviewer Names

1. Julie Powers, Clinical & Recovery  
Svcs Adm'n II, BDAS
2. Julie Lane, Program Specialist II,  
BHS
3. Shawn Olshey, Prog Specialist IV,  
Chief Btwt Health
4. Paul Kieman, Clinical Svcs  
Specs, Drug & Alcohol Svcs
5. Abby Shobday, Sr Policy Analyst,  
Substnc Use Srv, Observer only

| Bidder Name   | Maximum Points | Actual Points | Region             |
|---|----------------|---------------|--------------------|
| 1. County of Grafton New Hampshire - Grafton County Department of Corrections | 440            | 270           | North Country      |
| 2. Dismas Home of New Hampshire, Inc.   | 440            | 262           | Greater Manchester |
| 3. Manchester Alcoholism Rehabilitation Center                                | 440            | 338           | Greater Manchester |
| 4. Manchester Alcoholism Rehabilitation Center                                | 440            | 328           | Capital            |
| 5. FITTINGH, Inc.   | 440            | 360           | Greater Manchester |
| 6. Grafton County New Hampshire - Grafton County Alternative Sentencing       | 440            | 290           | North Country      |
| 7. The Community Council of Nashua, N. H.                                     | 440            | 280           | Greater Nashua     |
| 8. Halo Educational Systems   | 440            | see below*    | Upper Valley       |
| 9. Headrest   | 440            | 283           | Upper Valley       |
| 10. Hope on Haven Hill Inc.   | 440            | 304           | Strafford County   |
| 11. Greater Nashua Council on Alcoholism                                      | 440            | 294           | Greater Nashua     |
| 12. North Country Health Consortium   | 440            | 329           | North Country      |
| 13. North Country Health Consortium   | 440            | 298           | Carroll County     |
| 14. Phoenix Houses of New England, Inc.                                       | 440            | 281           | Monadnock          |
| 15. Seacoast Youth Services   | 440            | 215           | Seacoast           |
| 16. Seacoast Youth Services   | 440            | 215           | Strafford County   |
| 17. Southeastern New Hampshire Alcohol & Drug Abuse Services                  | 440            | 320           | Seacoast           |
| 18. Southeastern Alcohol & Drug Abuse Services                                | 440            | 370           | Strafford          |
| 19. West Central Services, Inc.   | 440            | 231           | Greater Sudbury    |
| 20. White Horse Addiction Center, Inc.  | 440            | 138**         | Carroll County     |

\*Halo Educational Systems: Application was disqualified as non-responsive.  
\*\*White Horse Addiction Center, Inc.: Vendor was not selected.

Attachment A  
Financial Details

03-85-02-020510-33820000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT. OF, HHS: DIV FOR BEHAVIORIAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS. GOVERNOR COMMISSION FUNDS (100% Other Funds)

Community Council  
of Nashua-G/  
Nashua Comm  
Mental Health

Vendor Code: 154112-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-600734    | Contracts for Prog Svc | \$48,857      | \$0                | \$48,857                |
| Sub-total         |               |                        | \$48,857      | \$0                | \$48,857                |

Dixmas Home of NH

Vendor Code: TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$72,381      | \$0                | \$72,381                |
| Sub-total         |               |                        | \$72,381      | \$0                | \$72,381                |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Farnum

Vendor Code: 177204-8005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$337,288     | \$0                | \$337,288               |
| Sub-total         |               |                        | \$337,288     | \$0                | \$337,288               |

FIT/ANNH

Vendor Code: 157730-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$194,759          | \$194,759               |
| Sub-total         |               |                        | \$0           | \$194,759          | \$194,759               |

Grafton County

Vendor Code: 177397-8003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-600734    | Contracts for Prog Svc | \$74,492      | \$0                | \$74,492                |
| Sub-total         |               |                        | \$74,492      | \$0                | \$74,492                |

Greater Nashua  
Council on  
Alcoholism

Vendor Code: 168574-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$188,372          | \$188,372               |
| Sub-total         |               |                        | \$0           | \$188,372          | \$188,372               |

Headrest, Inc

Vendor Code: 176226-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$44,635      |                    | \$44,635                |
| Sub-total         |               |                        | \$44,635      | \$0                | \$44,635                |

Attachment A  
Financial Details

Hope on Haven Hill Vendor Code: 275119-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$84,035           | \$84,035                |
| Sub-total         |               |                        | \$0           | \$84,035           | \$84,035                |

North Country Health Consortium Vendor Code: 158557-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$86,678      |                    | \$86,678                |
| Sub-total         |               |                        | \$86,678      | \$0                | \$86,678                |

Phoenix Houses of New England, Inc. Vendor Code: 177569-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$70,246      |                    | \$70,246                |
| Sub-total         |               |                        | \$70,246      | \$0                | \$70,246                |

Seacoast Youth Services Vendor Code: 203944-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$22,076      | \$0                | \$22,076                |
| Sub-total         |               |                        | \$22,076      | \$0                | \$22,076                |

Southeastern NH Alcohol and Drug Services Vendor Code 155292-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$177,799     | \$0                | \$177,799               |
| Sub-total         |               |                        | \$177,799     | \$0                | \$177,799               |

West Central Services Vendor Code: 177654-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$17,942      | \$0                | \$17,942                |
| Sub-total         |               |                        | \$17,942      | \$0                | \$17,942                |
| Total Gov. Comm   |               |                        | \$257,394     | \$497,169          | \$1,418,660             |

03-05-02-820510-33840000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, NHS: DIV FOR BEHAVIORAL HEALTH, BUREAU OF DRUG & ALCOHOL SVCS, CLINICAL SERVICES (80% Federal Funds, 20% General Funds FAJN T1010035 CFDA 93.959)

Community Council of Nashua-Gr Nashua Comm Mental Health Vendor Code: 154112-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$113,143     | \$0                | \$113,143               |
| Sub-total         |               |                        | \$113,143     | \$0                | \$113,143               |

Attachment A  
Financial Details

Dixmas Home of NH Vendor Code: TBD

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$167,619     | \$0                | \$167,619               |
| Sub-total         |               |                        | \$167,619     | \$0                | \$167,619               |

Easter Seals of NH  
Manchester  
Alcoholism Rehab  
Ctr/Furnum Vendor Code: 177204-B005

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$781,083     | \$0                | \$781,083               |
| Sub-total         |               |                        | \$781,083     | \$0                | \$781,083               |

FIT/NH/NH Vendor Code: 167730-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$451,016          | \$451,016               |
| Sub-total         |               |                        | \$0           | \$451,016          | \$451,016               |

Grafton County Vendor Code: 177397-B003

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$172,508     | \$0                | \$172,508               |
| Sub-total         |               |                        | \$172,508     | \$0                | \$172,508               |

Greater Nashua  
Council on  
Alcoholism Vendor Code: 166574-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$436,227          | \$436,227               |
| Sub-total         |               |                        | \$0           | \$436,227          | \$436,227               |

Headrest, Inc Vendor Code: 175226-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$103,384     | \$0                | \$103,384               |
| Sub-total         |               |                        | \$103,384     | \$0                | \$103,384               |

Hope on Hope Hill Vendor Code: 275119-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$0           | \$194,606          | \$194,606               |
| Sub-total         |               |                        | \$0           | \$194,606          | \$194,606               |

North County  
Health Consortium Vendor Code: 156557-B001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$200,728     | \$0                | \$200,728               |
| Sub-total         |               |                        | \$200,728     | \$0                | \$200,728               |

Attachment A  
Financial Details

Phoenix Houses of  
New England, Inc. Vendor Code: 171589-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$162,675     | \$0                | \$162,675               |
| Sub-total         |               |                        | \$162,675     | \$0                | \$162,675               |

Seacoast Youth  
Services Vendor Code: 203944-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$51,124      | \$0                | \$51,124                |
| Sub-total         |               |                        | \$51,124      | \$0                | \$51,124                |

Southeastern NH  
Alcohol and Drug  
Services Vendor Code 155292-8001

| State Fiscal Year | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|-------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019              | 102-500734    | Contracts for Prog Svc | \$411,741     | \$0                | \$411,741               |
| Sub-total         |               |                        | \$411,741     | \$0                | \$411,741               |

West Central  
Services Vendor Code: 177654-8001

| State Fiscal Year  | Class/Account | Title                  | Budget Amount | Increase/ Decrease | Revised Modified Budget |
|--------------------|---------------|------------------------|---------------|--------------------|-------------------------|
| 2019               | 102-500734    | Contracts for Prog Svc | \$41,548      | \$0                | \$41,548                |
| Sub-total          |               |                        | \$41,548      | \$0                | \$41,548                |
| Total Clinical Svc |               |                        | \$2,209,533   | \$1,081,843        | \$3,291,376             |
| Grand Total All    |               |                        | \$3,157,927   | \$1,649,015        | \$4,706,942             |

Subject: Substance Use Disorder Treatment and Recovery Support Services (RFA-2019-BDAS-01-SUBST-04)

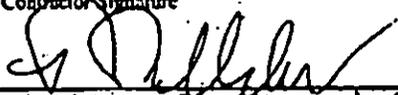
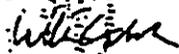
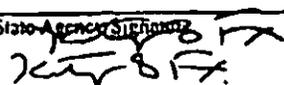
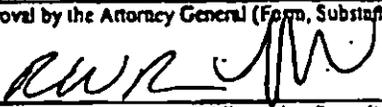
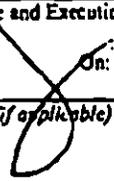
**Notice:** This agreement and all of its attachments shall become public upon submission to Governor and Executive Council for approval. Any information that is private, confidential or proprietary must be clearly identified to the agency and agreed to in writing prior to signing the contract.

**AGREEMENT**

The State of New Hampshire and the Contractor hereby mutually agree as follows:

**GENERAL PROVISIONS**

**1. IDENTIFICATION.**

|  |   |   |  |
|--|---|---|--|
| <b>1.1 State Agency Name</b><br>NH Department of Health and Human Services   |   | <b>1.2 State Agency Address</b><br>129 Pilsanti Street<br>Concord, NH 03301-3857      |  |
| <b>1.3 Contractor Name</b><br>Greater Nashua Council on Alcoholism   |   | <b>1.4 Contractor Address</b><br>615 Amherst Street<br>Nashua NH 03063                |  |
| <b>1.5 Contractor Phone Number</b><br>603-882-3616 x1103   | <b>1.6 Account Number</b><br>05-95-92-920510-3382-102-500734; 05-95-92-920510-3384-102-500734 | <b>1.7 Completion Date</b><br>June 30, 2019   | <b>1.8 Price Limitation</b><br>\$624,599 |
| <b>1.9 Contracting Officer for State Agency</b><br>E. Maria Reinemann, Esq.<br>Director of Contracts and Procurement   |   | <b>1.10 State Agency Telephone Number</b><br>603-271-9330                             |  |
| <b>1.11 Contractor Signature</b><br>  |   | <b>1.12 Name and Title of Contractor Signatory</b><br>Peter Kelleher, President & CEO |  |
| <b>1.13 Acknowledgement:</b> State of <u>New Hampshire</u> , County of <u>Hillsborough</u><br>On <u>6/4/2018</u> , before the undersigned officer, personally appeared the person identified in block 1.12, or satisfactorily proven to be the person whose name is signed in block 1.11, and acknowledged that s/he executed this document in the capacity indicated in block 1.12. |   |   |  |
| <b>1.13.1 Signature of Notary Public or Justice of the Peace</b><br><br>[Seal] <b>WILLIAM G. MARTIN</b>   |   |   |  |
| <b>1.13.2 Name and Title of Notary Public or Justice of the Peace</b><br>William G. Martin, Notary Public, State of New Hampshire<br>My Commission Expires November 4, 2020  |   |   |  |
| <b>1.14 State Agency Signatory</b><br><br>Date: <u>6/7/18</u>   |   | <b>1.15 Name and Title of State Agency Signatory</b><br>Katya S. Fox, Director        |  |
| <b>1.16 Approval by the N.H. Department of Administration, Division of Personnel (if applicable)</b><br>By: _____ Director, On: _____  |   |   |  |
| <b>1.17 Approval by the Attorney General (Form, Substance and Execution) (if applicable)</b><br>By:  On: <u>6/8/18</u> <u>7/10/18</u>   |   |   |  |
| <b>1.18 Approval by the Governor and Executive Council (if applicable)</b><br>  |   |   |  |

**2. EMPLOYMENT OF CONTRACTOR/SERVICES TO BE PERFORMED.** The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT A which is incorporated herein by reference ("Services").

**3. EFFECTIVE DATE/COMPLETION OF SERVICES.**

3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, if applicable, this Agreement, and all obligations of the parties hereunder, shall become effective on the date the Governor and Executive Council approve this Agreement as indicated in block 1.18, unless no such approval is required, in which case the Agreement shall become effective on the date the Agreement is signed by the State Agency as shown in block 1.14 ("Effective Date").

3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

**4. CONDITIONAL NATURE OF AGREEMENT.**

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds, and in no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to terminate this Agreement immediately upon giving the Contractor notice of such termination. The State shall not be required to transfer funds from any other account to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

**5. CONTRACT PRICE/PRICE LIMITATION/ PAYMENT.**

5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT B which is incorporated herein by reference.

5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.

5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law.

5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

**6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.**

6.1 In connection with the performance of the Services, the Contractor shall comply with all statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal opportunity laws. This may include the requirement to utilize auxiliary aids and services to ensure that persons with communication disabilities, including vision, hearing and speech, can communicate with, receive information from, and convey information to the Contractor. In addition, the Contractor shall comply with all applicable copyright laws.

6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination.

6.3 If this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all the provisions of Executive Order No. 11246 ("Equal Employment Opportunity"), as supplemented by the regulations of the United States Department of Labor (41 C.F.R. Part 60), and with any rules, regulations and guidelines as the State of New Hampshire or the United States issue to implement these regulations. The Contractor further agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

**7. PERSONNEL.**

7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.

7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this

Contractor Initials

Date

 6/4/19

Agreement. This provision shall survive termination of this Agreement.

7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

#### 8. EVENT OF DEFAULT/REMEDIES.

8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):

8.1.1 failure to perform the Services satisfactorily or on schedule;

8.1.2 failure to submit any report required hereunder; and/or

8.1.3 failure to perform any other covenant, term or condition of this Agreement.

8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions:

8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely remedied, terminate this Agreement, effective two (2) days after giving the Contractor notice of termination;

8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;

8.2.3 set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or

8.2.4 treat the Agreement as breached and pursue any of its remedies at law or in equity, or both.

#### 9. DATA/ACCESS/CONFIDENTIALITY/PRESERVATION.

9.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.

9.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.

9.3 Confidentiality of data shall be governed by N.H. RSA chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

10. **TERMINATION.** In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination Report shall be identical to those of any Final Report described in the attached EXHIBIT A.

11. **CONTRACTOR'S RELATION TO THE STATE.** In the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

12. **ASSIGNMENT/DELEGATION/SUBCONTRACTS.** The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written notice and consent of the State. None of the Services shall be subcontracted by the Contractor without the prior written notice and consent of the State.

13. **INDEMNIFICATION.** The Contractor shall defend, indemnify and hold harmless the State, its officers and employees, from and against any and all losses suffered by the State, its officers and employees, and any and all claims, liabilities or penalties asserted against the State, its officers and employees, by or on behalf of any person, on account of, based or resulting from, arising out of (or which may be claimed to arise out of) the acts or omissions of the Contractor. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

#### 14. INSURANCE.

14.1 The Contractor shall, at its sole expense, obtain and maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:

14.1.1 comprehensive general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$1,000,000 per occurrence and \$2,000,000 aggregate; and

14.1.2 special cause of loss coverage form covering all property subject to subparagraph 9.2 herein, in an amount not less than 80% of the whole replacement value of the property.

14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.

14.J The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than thirty (30) days prior to the expiration date of each of the insurance policies. The certificate(s) of insurance and any renewals thereof shall be attached and are incorporated herein by reference. Each certificate(s) of insurance shall contain a clause requiring the insurer to provide the Contracting Officer identified in block 1.9, or his or her successor, no less than thirty (30) days prior written notice of cancellation or modification of the policy.

**15. WORKERS' COMPENSATION.**

15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("Workers' Compensation").

15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.

16. **WAIVER OF BREACH.** No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.

17. **NOTICE.** Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.

18. **AMENDMENT.** This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties hereto and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire unless no

such approval is required under the circumstances pursuant to State law, rule or policy.

**19. CONSTRUCTION OF AGREEMENT AND TERMS.**

This Agreement shall be construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual intent, and no rule of construction shall be applied against or in favor of any party.

20. **THIRD PARTIES.** The parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

21. **HEADINGS.** The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.

22. **SPECIAL PROVISIONS.** Additional provisions set forth in the attached EXHIBIT C are incorporated herein by reference.

23. **SEVERABILITY.** In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.

24. **ENTIRE AGREEMENT.** This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire Agreement and understanding between the parties, and supercedes all prior Agreements and understandings relating hereto.

Contractor Initials

Date 6/4/18



Exhibit A

**Scope of Services**

**1. Provisions Applicable to All Services**

- 1.1. The Contractor will submit a detailed description of the language assistance services they will provide to persons with limited English proficiency to ensure meaningful access to their programs and/or services within ten (10) days of the contract effective date.
- 1.2. The Contractor agrees that, to the extent future legislative action by the New Hampshire General Court or federal or state court orders may have an impact on the Services described herein, the State Agency has the right to modify Service priorities and expenditure requirements under this Agreement so as to achieve compliance therewith.
- 1.3. For the purposes of this Contract, the Department has identified the Contractor as a Subrecipient in accordance with the provisions of 2 CFR 200 et seq.
- 1.4. The Contractor will provide Substance Use Disorder Treatment and Recovery Support Services to any eligible client, regardless of where the client lives or works in New Hampshire.

**2. Scope of Services**

**2.1. Covered Populations**

- 2.1.1. The Contractor will provide services to eligible individuals who:
  - 2.1.1.1. Are age 12 or older or under age 12, with required consent from a parent or legal guardian to receive treatment, and
  - 2.1.1.2. Have income below 400% Federal Poverty Level, and
  - 2.1.1.3. Are residents of New Hampshire or homeless in New Hampshire, and
  - 2.1.1.4. Are determined positive for substance use disorder.

**2.2. Resiliency and Recovery Oriented Systems of Care**

- 2.2.1. The Contractor must provide substance use disorder treatment services that support the Resiliency and Recovery Oriented Systems of Care (RROSC) by operationalizing the Continuum of Care Model (<http://www.dhhs.nh.gov/dcbcs/bdas/continuum-of-care.htm>).
- 2.2.2. RROSC supports person-centered and self-directed approaches to care that build on the strengths and resilience of individuals, families and communities to take responsibility for their sustained health, wellness and recovery from alcohol and drug problems. At a minimum, the Contractor must:



Exhibit A

- 2.2.2.1. Inform the Integrated Delivery Network(s) (IDNs) of services available in order to align this work with IDN projects that may be similar or impact the same populations.
  - 2.2.2.2. Inform the Regional Public Health Networks (RPHN) of services available in order to align this work with other RPHN projects that may be similar or impact the same populations.
  - 2.2.2.3. Coordinate client services with other community service providers involved in the client's care and the client's support network
  - 2.2.2.4. Coordinate client services with the Department's Regional Access Point contractor (RAP) that provides services including, but not limited to:
    - 2.2.2.4.1. Ensuring timely admission of clients to services
    - 2.2.2.4.2. Referring clients to RAP services when the Contractor cannot admit a client for services within forty-eight (48) hours
    - 2.2.2.4.3. Referring clients to RAP services at the time of discharge when a client is in need of RAP services, and
  - 2.2.2.5. Be sensitive and relevant to the diversity of the clients being served.
  - 2.2.2.6. Be trauma informed; i.e. designed to acknowledge the impact of violence and trauma on people's lives and the importance of addressing trauma in treatment.
- 2.3. Substance Use Disorder Treatment Services
- 2.3.1. The Contractor must provide one or more of the following substance use disorder treatment services:
    - 2.3.1.1. Individual Outpatient Treatment as defined as American Society of Addiction Medicine (ASAM) Criteria, Level 1. Outpatient Treatment services assist an individual to achieve treatment objectives through the exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.
    - 2.3.1.2. Group Outpatient Treatment as defined as ASAM Criteria, Level 1. Outpatient Treatment services assist a group of individuals to achieve treatment objectives through the



Exhibit A

- exploration of substance use disorders and their ramifications, including an examination of attitudes and feelings, and consideration of alternative solutions and decision making with regard to alcohol and other drug related problems.
- 2.3.1.3. Intensive Outpatient Treatment as defined as ASAM Criteria, Level 2.1. Intensive Outpatient Treatment services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities that are provided according to an individualized treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services. Services for adults are provided at least 9 hours a week. Services for adolescents are provided at least 6 hours a week.
- 2.3.1.4. Partial Hospitalization as defined as ASAM Criteria, Level 2.5. Partial Hospitalization services provide intensive and structured individual and group alcohol and/or other drug treatment services and activities to individuals with substance use and moderate to severe co-occurring mental health disorders, including both behavioral health and medication management (as appropriate) services to address both disorders. Partial Hospitalization is provided to clients for at least 20 hours per week according to an individualized treatment plan that includes a range of outpatient treatment services and other ancillary alcohol and/or other drug services.
- 2.3.1.5. Transitional Living Services provide residential substance use disorder treatment services according to an individualized treatment plan designed to support individuals as they transition back into the community. Transitional Living Services are not defined by ASAM. Transitional Living services must include at least 3 hours of clinical services per week of which at least 1 hour must be delivered by a Licensed Counselor or unlicensed Counselor working under the supervision of a Licensed Supervisor and the remaining hours must be delivered by a Certified Recovery Support Worker (CRSW) working under a Licensed Supervisor or a Licensed Counselor. The maximum length of stay in this service is six (6) months. Adult residents typically work in the community and may pay a portion of their room and board.



Exhibit A

- 2.3.1.6. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1 for adults. Low-Intensity Residential Treatment services provide residential substance use disorder treatment services designed to support individuals that need this residential service. The goal of low-intensity residential treatment is to prepare clients to become self-sufficient in the community. Adult residents typically work in the community and may pay a portion of their room and board.
- 2.3.1.7. High-Intensity Residential Treatment for Adults as defined as ASAM Criteria, Level 3.5. This service provides residential substance use disorder treatment designed to assist individuals who require a more intensive level of service in a structured setting.
- 2.3.1.8. High Intensity Residential Treatment for Pregnant and Parenting Women as defined as ASAM Criteria, Level 3.5. This service provides residential substance use disorder treatment to pregnant women and their children when appropriately designed to assist individuals who require a more intensive level of service in a structured setting.
- 2.3.1.9. Ambulatory Withdrawal Management services as defined as ASAM Criteria, Level 1-WM as an outpatient service. Withdrawal Management services provide a combination of clinical and/or medical services utilized to stabilize the client while they are undergoing withdrawal.
- 2.3.1.10. Residential Withdrawal Management services as defined as ASAM Criteria, Level 3.7-WM a residential service. Withdrawal Management services provide a combination of clinical and/or medical services utilized to stabilize the client while they are undergoing withdrawal.
- 2.3.2. The Contractor may provide Integrated Medication Assisted Treatment only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.10 to a client.
- 2.3.2.1. Integrated Medication Assisted Treatment services provide for medication prescription and monitoring for treatment of opiate and other substance use disorders. The Contractor shall provide non-medical treatment services to the client in conjunction with the medical services provided either directly by the Contractor or by an outside medical provider. The Contractor shall be responsible for coordination of care and meeting all requirements for the service provided. The



Exhibit A

Contractor shall deliver Integrated Medication Assisted Treatment services in accordance with guidance provided by the Department, "Guidance Document on Best Practices: Key Components for Delivery Community-Based Medication Assisted Treatment Services for Opioid Use Disorders in New Hampshire."

2.4. Recovery Support Services

2.4.1. Upon approval of the Department, the Contractor shall provide recovery support services that will remove barriers to a client's participation in treatment or recovery, or reduce or remove threats to an individual maintaining participation in treatment and/or recovery.

2.4.2. The Contractor shall provide recovery support services only in coordination with providing at least one of the services in Section 2.3.1.1 through 2.3.1.10 to a client, as follows:

2.4.2.1. Intensive Case Management

2.4.2.1.1. The Contractor may provide individual or group Intensive Case Management in accordance with SAMHSA TIP 27: Comprehensive Case Management for Substance Abuse Treatment (<https://store.samhsa.gov/product/TIP-27-Comprehensive-Case-Management-for-Substance-Abuse-Treatment/SMA15-4215>) and which exceed the minimum case management requirements for the ASAM level of care.

2.4.2.1.2. The Contractor will provide Intensive Case Management by a:

2.4.2.1.2.1. Certified Recovery Support Worker (CRSW) under the supervision of a Licensed Counselor or

2.4.2.1.2.2. A Certified Recovery Support Worker (CRSW) under the supervision of a Licensed Supervisor or

2.4.2.1.2.3. Licensed Counselor

2.4.2.2. Transportation for Pregnant and Parenting Women:



Exhibit A

- 
- 2.4.2.2.1. The Contractor may provide transportation services to pregnant and parenting women to and from services as required by the client's treatment plan.
  - 2.4.2.2.2. The Contractor may use Contractor's own vehicle, and/or purchase public transportation passes and/or pay for cab fare. The Contractor shall:
    - 2.4.2.2.2.1. Comply with all applicable Federal and State Department of Transportation and Department of Safety regulations.
    - 2.4.2.2.2.2. Ensure that all vehicles are registered pursuant to New Hampshire Administrative Rule Saf-C 600 and inspected in accordance with New Hampshire Administrative Rule Saf-C 3200, and are in good working order.
    - 2.4.2.2.2.3. Ensure all drivers are licensed in accordance with New Hampshire Administrative Rules, Saf-C 1000, drivers licensing, and Saf-C 1800 Commercial drivers licensing, as applicable.
  - 2.4.2.3. Child Care for Pregnant and Parenting Women:
    - 2.4.2.3.1. The Contractor may provide child care to children of pregnant and parenting women while the individual is in treatment and case management services.
    - 2.4.2.3.2. The Contractor may directly provide child care and/or pay for childcare provided by a licensed childcare provider.
    - 2.4.2.3.3. The Contractor shall comply with all applicable Federal and State childcare regulations such as but not limited to New Hampshire Administrative Rule He-C 4002 Child Care Licensing.



Exhibit A

2.5. Enrolling Clients for Services

2.5.1. The Contractor will determine eligibility for services in accordance with Section 2.1 above and with Sections 2.5.2 through 2.5.4 below:

2.5.2. The Contractor must complete Intake screenings as follows:

2.5.2.1. Have direct contact (face to face communication by meeting in person, or electronically, or by telephone conversation) with an individual (defined as anyone or a provider) within two (2) business days from the date that individual contacts the Contractor for Substance Use Disorder Treatment and Recovery Support Services.

2.5.2.2. Complete an Initial Intake Screening within two (2) business days from the date of the first direct contact with the individual, using the eligibility module in Web Information Technology System (WITS) to determine probability of being eligible for services under this contract and for probability of having a substance use disorder.

2.5.2.3. Assess clients' income prior to admission using the WITS fee determination model and

2.5.2.3.1. Assure that clients' income information is updated as needed over the course of treatment by asking clients about any changes in income no less frequently than every 4 weeks.

2.5.3. The Contractor shall complete an ASAM Level of Care Assessment for all services in Sections 2.3.1.1 through 2.3.1.10 (except for Section 2.3.1.5 Transitional Living) and 2.3.2, within two (2) days of the initial Intake Screening in Section 2.5.2 above using the ASI Lite module, in Web Information Technology System (WITS) or other method approved by the Department when the individual is determined probable of being eligible for services.

2.5.3.1. The Contractor shall make available to the Department, upon request, the data from the ASAM Level of Care Assessment in Section 2.5.3 in a format approved by the Department.

2.5.4. The Contractor shall, for all services provided, include a method to obtain clinical evaluations that include DSM 5 diagnostic information and a recommendation for a level of care based on the ASAM Criteria, published in October, 2013. The Contractor must complete a clinical evaluation, for each client:

*PK*

*7/5/18*



Exhibit A

- 2.5.4.1. Prior to admission as a part of interim services or within 3 business days following admission.
- 2.5.4.2. During treatment only when determined by a Licensed Counselor.
- 2.5.5. The Contractor must use the clinical evaluations completed by a Licensed Counselor from a referring agency.
- 2.5.6. The Contractor will either complete clinical evaluations in Section 2.5.4 above before admission or Level of Care Assessments in Section 2.5.3 above before admission along with a clinical evaluation in Section 2.5.4 above after admission.
- 2.5.7. The Contractor shall provide eligible clients the substance use disorder treatment services in Section 2.3 determined by the client's clinical evaluation in Section 2.5.4 unless:
  - 2.5.7.1. The client chooses to receive a service with a lower ASAM Level of Care; or
  - 2.5.7.2. The service with the needed ASAM level of care is unavailable at the time the level of care is determined in Section 2.5.4, in which case the client may choose:
    - 2.5.7.2.1. A service with a lower ASAM Level of Care;
    - 2.5.7.2.2. A service with the next available higher ASAM Level of Care;
    - 2.5.7.2.3. Be placed on the waitlist until their service with the assessed ASAM level of care becomes available as in Section 2.5.4; or
    - 2.5.7.2.4. Be referred to another agency in the client's service area that provides the service with the needed ASAM Level of Care.
- 2.5.8. The Contractor shall enroll eligible clients for services in order of the priority described below:
  - 2.5.8.1. Pregnant women and women with dependent children, even if the children are not in their custody, as long as parental rights have not been terminated, including the provision of interim services within the required 48 hour time frame. If the Contractor is unable to admit a pregnant woman for the needed level of care within 24 hours, the Contractor shall:
    - 2.5.8.1.1. Contact the Regional Access Point service provider in the client's area to connect the client with substance use disorder treatment services.



Exhibit A

- 2.5.8.1.2. Assist the pregnant woman with identifying alternative providers and with accessing services with these providers. This assistance must include actively reaching out to identify providers on the behalf of the client.
- 2.5.8.1.3. Provide Interim services until the appropriate level of care becomes available at either the Contractor agency or an alternative provider. Interim services shall include:
  - 2.5.8.1.3.1. At least one 60 minute individual or group outpatient session per week;
  - 2.5.8.1.3.2. Recovery support services as needed by the client;
  - 2.5.8.1.3.3. Daily calls to the client to assess and respond to any emergent needs.
- 2.5.8.2. Individuals who have been administered naloxone to reverse the effects of an opioid overdose either in the 14 days prior to screening or in the period between screening and admission to the program.
- 2.5.8.3. Individuals with a history of injection drug use including the provision of Interim services within 14 days.
- 2.5.8.4. Individuals with substance use and co-occurring mental health disorders.
- 2.5.8.5. Individuals with Opioid Use Disorders.
- 2.5.8.6. Veterans with substance use disorders
- 2.5.8.7. Individuals with substance use disorders who are involved with the criminal justice and/or child protection system.
- 2.5.8.8. Individuals who require priority admission at the request of the Department.
- 2.5.9. The Contractor must obtain consent in accordance with 42 CFR Part 2 for treatment from the client prior to receiving services for individuals whose age is 12 years and older.
- 2.5.10. The Contractor must obtain consent in accordance with 42 CFR Part 2 for treatment from the parent or legal guardian when the client is under the age of twelve (12) prior to receiving services.

*PK*

*7/5/18*



Exhibit A

- 
- 2.5.11. The Contractor must include in the consent forms language for client consent to share information with other social service agencies involved in the client's care, including but not limited to:
- 2.5.11.1. The Department's Division of Children, Youth and Families (DCYF)
  - 2.5.11.2. Probation and parole
- 2.5.12. The Contractor shall not prohibit clients from receiving services under this contract when a client does not consent to information sharing in Section 2.5.11 above.
- 2.5.13. The Contractor shall notify the clients whose consent to information sharing in Section 2.5.11 above that they have the ability to rescind the consent at any time without any impact on services provided under this contract.
- 2.5.14. The Contractor shall not deny services to an adolescent due to:
- 2.5.14.1. The parent's inability and/or unwillingness to pay the fee;
  - 2.5.14.2. The adolescent's decision to receive confidential services pursuant to RSA 318-B:12-a.
- 2.5.15. The Contractor must provide services to eligible clients who:
- 2.5.15.1. Receive Medication Assisted Treatment services from other providers such as a client's primary care provider;
  - 2.5.15.2. Have co-occurring mental health disorders; and/or
  - 2.5.15.3. Are on medications and are taking those medications as prescribed regardless of the class of medication.
- 2.5.16. The Contractor must provide substance use disorder treatment services separately for adolescent and adults, unless otherwise approved by the Department. The Contractor agrees that adolescents and adults do not share the same residency space, however, the communal space such as kitchens, group rooms, and recreation may be shared but at separate times.
- 2.6. Waitlists
- 2.6.1. The Contractor will maintain a waitlist for all clients and all substance use disorder treatment services including the eligible clients being served under this contract and clients being served under another payer source.
  - 2.6.2. The Contractor will track the wait time for the clients to receive services, from the date of initial contact in Section 2.5.2.1 above to the date clients



Exhibit A

first received substance use disorder treatment services in Sections 2.3 and 2.4 above, other than Evaluation in Section 2.5.4

2.6.3. The Contractor will report to the Department monthly:

2.6.3.1. The average wait time for all clients, by the type of service and payer source for all the services.

2.6.3.2. The average wait time for priority clients in Section 2.5.8 above by the type of service and payer source for the services.

2.7. Assistance with Enrolling in Insurance Programs

2.7.1. The Contractor must assist clients and/or their parents or legal guardians, who are unable to secure financial resources necessary for initial entry into the program, with obtaining other potential sources for payment, either directly or through a closed-loop referral to a community provider. Other potential sources for payment include, but are not limited to:

2.7.1.1. Enrollment in public or private insurance, including but not limited to New Hampshire Medicaid programs within fourteen (14) days after intake.

2.8. Service Delivery Activities and Requirements

2.8.1. The Contractor shall assess all clients for risk of self-harm at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and at discharge.

2.8.2. The Contractor shall assess all clients for withdrawal risk based on ASAM (2013) standards at all phases of treatment, such as at initial contact, during screening, intake, admission, on-going treatment services and stabilize all clients based on ASAM (2013) guidance and shall:

2.8.2.1. Provide stabilization services when a client's level of risk indicates a service with an ASAM Level of Care that can be provided under this Contract; if a client's risk level indicates a service with an ASAM Level of Care that can be provided under this contract, then the Contractor shall integrate withdrawal management into the client's treatment plan and provide on-going assessment of withdrawal risk to ensure that withdrawal is managed safely.

2.8.2.2. Refer clients to a facility where the services can be provided when a client's risk indicates a service with an ASAM Level of Care that is higher than can be provided under this Contract; Coordinate with the withdrawal management services provider to admit the client to an appropriate service once the



Exhibit A

client's withdrawal risk has reached a level that can be provided under this contract. and

2.8.3. The Contractor must complete individualized treatment plans for all clients based on clinical evaluation data within three (3) days of the clinical evaluation (in Section 2.5.4 above), that address problems in all ASAM (2013) domains which justified the client's admittance to a given level of care, that are in accordance the requirements in Exhibit A-1 and that:

2.8.3.1. Include in all individualized treatment plan goals, objectives, and interventions written in terms that are:

2.8.3.1.1. specific, (clearly defining what will be done)

2.8.3.1.2. measurable (including clear criteria for progress and completion)

2.8.3.1.3. attainable (within the individual's ability to achieve)

2.8.3.1.4. realistic (the resources are available to the individual), and

2.8.3.1.5. timely (this is something that needs to be done and there is a stated time frame for completion that is reasonable).

2.8.3.2. Include the client's involvement in identifying, developing, and prioritizing goals, objectives, and interventions.

2.8.3.3. Are update based on any changes in any American Society of Addiction Medicine Criteria (ASAM) domain and no less frequently than every 4 sessions or every 4 weeks, whichever is less frequent. Treatment plan updates much include:

2.8.3.3.1. Documentation of the degree to which the client is meeting treatment plan goals and objectives;

2.8.3.3.2. Modification of existing goals or addition of new goals based on changes in the clients functioning relative to ASAM domains and treatment goals and objectives.

2.8.3.3.3. The counselor's assessment of whether or not the client needs to move to a different level of care based on changes in functioning in any ASAM domain and documentation of the reasons for this assessment.

2.8.3.3.4. The signature of the client and the counselor agreeing to the updated treatment plan, or if



Exhibit A

applicable, documentation of the client's refusal to sign the treatment plan.

- 2.8.3.4. Track the client's progress relative to the specific goals, objectives, and interventions in the client's treatment plan by completing encounter notes in WITS.
- 2.8.4. The Contractor shall refer clients to and coordinate a client's care with other providers.
  - 2.8.4.1. The Contractor shall obtain in advance if appropriate, consents from the client, including 42 CFR Part 2 consent, if applicable, and in compliance with state, federal laws and state and federal rules, including but not limited to:
    - 2.8.4.1.1. Primary care provider and if the client does not have a primary care provider, the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.2. Behavioral health care provider when serving clients with co-occurring substance use and mental health disorders, and if the client does not have a mental health care provider, then the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
    - 2.8.4.1.3. Medication assisted treatment provider.
    - 2.8.4.1.4. Peer recovery support provider, and if the client does not have a peer recovery support provider, the Contractor will make an appropriate referral to one and coordinate care with that provider if appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.



Exhibit A

- 2.8.4.1.5. Coordinate with local recovery community organizations (where available) to bring peer recovery support providers into the treatment setting, to meet with clients to describe available services and to engage clients in peer recovery support services as applicable.
- 2.8.4.1.6. Coordinate with case management services offered by the client's managed care organization or third party insurance, if applicable. If appropriate consents from the client, including 42 CFR Part 2 consent, if applicable, are obtained in advance in compliance with state, federal laws and state and federal rules.
- 2.8.4.1.7. Coordinate with other social service agencies engaged with the client, including but not limited to the Department's Division of Children, Youth and Families (DCYF), probation/parole, as applicable and allowable with consent provided pursuant to 42 CFR Part 2.
- 2.8.4.2. The Contractor must clearly document in the client's file if the client refuses any of the referrals or care coordination in Section 2.8.4 above.
- 2.8.5. The Contractor must complete continuing care, transfer, and discharge plans for all Services in Section 2.3, except for Transitional Living (See Section 2.3.1.5), that address all ASAM (2013) domains, that are in accordance with the requirements in Exhibit A-1 and that:
  - 2.8.5.1. Include the process of transfer/discharge planning at the time of the client's intake to the program.
  - 2.8.5.2. Include at least one (1) of the three (3) criteria for continuing services when addressing continuing care as follows:
    - 2.8.5.2.1. Continuing Service Criteria A: The patient is making progress, but has not yet achieved the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his or her treatment goals; or
    - 2.8.5.2.2. Continuing Service Criteria B: The patient is not yet making progress, but has the capacity to



Exhibit A

resolve his or her problems. He/she is actively working toward the goals articulated in the individualized treatment plan. Continued treatment at the present level of care is assessed as necessary to permit the patient to continue to work toward his/her treatment goals; and/or

2.8.5.2.3. Continuing Service Criteria C: New problems have been identified that are appropriately treated at the present level of care. The new problem or priority requires services, the frequency and intensity of which can only safely be delivered by continued stay in the current level of care. The level of care which the patient is receiving treatment is therefore the least intensive level at which the patient's problems can be addressed effectively

2.8.5.3. Include at least one (1) of the four (4) criteria for transfer/discharge, when addressing transfer/discharge that include:

2.8.5.3.1. Transfer/Discharge Criteria A: The Patient has achieved the goals articulated in the individualized treatment plan, thus resolving the problem(s) that justified admission to the present level of care. Continuing the chronic disease management of the patient's condition at a less intensive level of care is indicated; or

2.8.5.3.2. Transfer/Discharge Criteria B: The patient has been unable to resolve the problem(s) that justified the admission to the present level of care, despite amendments to the treatment plan. The patient is determined to have achieved the maximum possible benefit from engagement in services at the current level of care. Treatment at another level of care (more or less intensive) in the same type of services, or discharge from treatment, is therefore indicated; or

2.8.5.3.3. Transfer/Discharge Criteria C: The patient has demonstrated a lack of capacity due to diagnostic or co-occurring conditions that limit

New Hampshire Department of Health and Human Services  
Substance Use Disorder Treatment and Recovery Support Services



Exhibit A

his or her ability to resolve his or her problem(s). Treatment at a qualitatively different level of care or type of service, or discharge from treatment, is therefore indicated; or

2.8.5.3.4. Transfer/Discharge Criteria D: The patient has experienced an intensification of his or her problem(s), or has developed a new problem(s), and can be treated effectively at a more intensive level of care.

2.8.5.4. Include clear documentation that explains why continued services/transfer/ or discharge is necessary for Recovery Support Services and Transitional Living.

2.8.6. The Contractor shall deliver all services in this Agreement using evidence based practices as demonstrated by meeting one of the following criteria:

2.8.6.1. The service shall be included as an evidence-based mental health and substance abuse intervention on the SAMHSA Evidence-Based Practices Resource Center <https://www.samhsa.gov/ebp-resource-center>

2.8.6.2. The services shall be published in a peer-reviewed journal and found to have positive effects; or

2.8.6.3. The substance use disorder treatment service provider shall be able to document the services' effectiveness based on the following:

2.8.6.3.1. The service is based on a theoretical perspective that has validated research; or

2.8.6.3.2. 2. The service is supported by a documented body of knowledge generated from similar or related services that indicate effectiveness.

2.8.7. The Contractor shall deliver services in this Contract in accordance with:

2.8.7.1. The ASAM Criteria (2013). The ASAM Criteria (2013) can be purchased online through the ASAM website at: <http://www.asamcriteria.org/>

2.8.7.2. The Substance Abuse Mental Health Services Administration (SAMHSA) Treatment Improvement Protocols (TIPs) available at <http://store.samhsa.gov/list/series?name=TIP-Series-Treatment-Improvement-Protocols-TIPS>.



Exhibit A

- 
- 2.8.7.3. The SAMHSA Technical Assistance Publications (TAPs) available at <http://store.samhsa.gov/lst/series?name=Technical-Assistance-Publications-TAPs-&pageNumber=1>
  - 2.8.7.4. The Requirements in Exhibit A-1.
- 2.9. Client Education
- 2.9.1. The Contractor shall offer to all eligible clients receiving services under this contract, individual or group education on prevention, treatment, and nature of:
    - 2.9.1.1. Hepatitis C Virus (HCV)
    - 2.9.1.2. Human Immunodeficiency Virus (HIV)
    - 2.9.1.3. Sexually Transmitted Diseases (STD)
    - 2.9.1.4. Tobacco Education Tools that include:
      - 2.9.1.4.1. Assess clients for motivation in stopping the use of tobacco products;
      - 2.9.1.4.2. Offer resources such as but not limited to the Department's Tobacco Prevention & Control Program (TPCP) and the certified tobacco cessation counselors available through the QuitLine; and
      - 2.9.1.4.3. Shall not use tobacco use, in and of itself, as grounds for discharging clients from services being provided under this contract.
- 2.10. Tobacco Free Environment
- 2.10.1. The Contractor must ensure a tobacco-free environment by having policies and procedures that at a minimum:
    - 2.10.1.1. Includes the smoking of any tobacco product, the use of oral tobacco products or "spit" tobacco, and the use of electronic devices;
    - 2.10.1.2. Apply to employees, clients and employee or client visitors;
    - 2.10.1.3. Prohibit the use of tobacco products within the Contractor's facilities at any time.
    - 2.10.1.4. Prohibit the use of tobacco in any Contractor owned vehicle.
    - 2.10.1.5. Include whether or not use of tobacco products is prohibited outside of the facility on the grounds.



Exhibit A

- 2.10.1.6. Include the following if use of tobacco products is allowed outside of the facility on the grounds:
  - 2.10.1.6.1. A designated smoking area(s) which is located at least twenty (20) feet from the main entrance.
  - 2.10.1.6.2. All materials used for smoking in this area, including cigarette butts and matches, will be extinguished and disposed of in appropriate containers.
  - 2.10.1.6.3. Ensure periodic cleanup of the designated smoking area.
  - 2.10.1.6.4. If the designated smoking area is not properly maintained, it can be eliminated at the discretion of the Contractor.
- 2.10.1.7. Prohibit tobacco use in any company vehicle.
- 2.10.1.8. Prohibit tobacco use in personal vehicles when transporting people on authorized business.
- 2.10.2. The Contractor must post the tobacco free environment policy in the Contractor's facilities and vehicles and included in employee, client, and visitor orientation.

**3. Staffing**

- 3.1. The Contractor shall meet the minimum staffing requirements to provide the scope of work in this RFA as follows:
  - 3.1.1. At least one:
    - 3.1.1.1. Masters Licensed Alcohol and Drug Counselor (MLADC); or
    - 3.1.1.2. Licensed Alcohol and Drug Counselor (LADC) who also holds the Licensed Clinical Supervisor (LCS) credential;
  - 3.1.2. Sufficient staffing levels that are appropriate for the services provided and the number of clients served.
  - 3.1.3. All unlicensed staff providing treatment, education and/or recovery support services shall be under the direct supervision of a licensed supervisor.
  - 3.1.4. No licensed supervisor shall supervise more than twelve unlicensed staff unless the Department has approved an alternative supervision plan (See Exhibit A-1 Section 8.1.2).
  - 3.1.5. At least one Certified Recovery Support Worker (CRSW) for every 50 clients or portion thereof.

PK  
2/5/18



Exhibit A

- 3.1.6. Provide ongoing clinical supervision that occurs at regular intervals in accordance with the Operational Requirements in Exhibit A-1, and evidence based practices, at a minimum:
  - 3.1.6.1. Weekly discussion of cases with suggestions for resources or therapeutic approaches, co-therapy, and periodic assessment of progress;
  - 3.1.6.2. Group supervision to help optimize the learning experience, when enough candidates are under supervision;
- 3.2. The Contractor shall provide training to staff on:
  - 3.2.1. Knowledge, skills, values, and ethics with specific application to the practice issues faced by the supervisee;
  - 3.2.2. The 12 core functions as described in Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice, available at <http://store.samhsa.gov/product/TAP-21-Addiction-Counseling-Competencies/SMA15-4171> and
  - 3.2.3. The standards of practice and ethical conduct, with particular emphasis given to the counselor's role and appropriate responsibilities, professional boundaries, and power dynamics and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2.
- 3.3. The Contractor shall notify the Department, in writing of changes in key personnel and provide, within five (5) working days to the Department, updated resumes that clearly indicate the staff member is employed by the Contractor. Key personnel are those staff for whom at least 10% of their work time is spent providing substance use disorder treatment and/or recovery support services.
- 3.4. The Contractor shall notify the Department in writing within one month of hire when a new administrator or coordinator or any staff person essential to carrying out this scope of services is hired to work in the program. The Contractor shall provide a copy of the resume of the employee, which clearly indicates the staff member is employed by the Contractor, with the notification.
- 3.5. The Contractor shall notify the Department in writing within 14 calendar days, when there is not sufficient staffing to perform all required services for more than one month.
- 3.6. The Contractor shall have policies and procedures related to student interns to address minimum coursework, experience and core competencies for those interns having direct contact with individuals served by this contract. Additionally, The Contractor must have student interns complete an approved ethics course and an approved course on the 12 core functions as described in Addiction Counseling



Exhibit A

Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and appropriate information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 prior to beginning their internship.

- 3.7. The Contractor shall have unlicensed staff complete an approved ethics course and an approved course on the 12 core functions as described in Addiction Counseling Competencies: The Knowledge, Skills, and Attitudes of Professional Practice in Section 3.2.2, and information security and confidentiality practices for handling protected health information (PHI) and substance use disorder treatment records as safeguarded by 42 CFR Part 2 within 6 months of hire.
- 3.8. The Contractor shall ensure staff receives continuous education in the ever changing field of substance use disorders, and state and federal laws, and rules relating to confidentiality
- 3.9. The Contractor shall provide in-service training to all staff involved in client care within 15 days of the contract effective date or the staff person's start date, if after the contract effective date, on the following:
  - 3.9.1. The contract requirements.
  - 3.9.2. All other relevant policies and procedures provided by the Department.
- 3.10. The Contractor shall provide in-service training or ensure attendance at an approved training by the Department to clinical staff on hepatitis C (HCV), human immunodeficiency virus (HIV), tuberculosis (TB) and sexually transmitted diseases (STDs) annually. The Contractor shall provide the Department with a list of trained staff.

#### 4. Facilities License

- 4.1. The Contractor shall be licensed for all residential services provided with the Department's Health Facilities Administration.
- 4.2. The Contractor shall comply with the additional licensing requirements for medically monitored, residential withdrawal management services by the Department's Bureau of Health Facilities Administration to meet higher facilities licensure standards.
- 4.3. The Contractor is responsible for ensuring that the facilities where services are provided meet all the applicable laws, rules, policies, and standards.

#### 5. Web Information Technology

- 5.1. The Contractor shall use the Web Information Technology System (WITS) to record all client activity and client contact within (3) days following the activity or contact as directed by the Department.



Exhibit A

5.2. The Contractor shall, before providing services, obtain written informed consent from the client stating that the client understands that:

5.2.1. The WITS system is administered by the State of New Hampshire;

5.2.2. State employees have access to all information that is entered into the WITS system;

5.2.3. Any information entered into the WITS system becomes the property of the State of New Hampshire.

5.3. The Contractor shall have any client whose information is entered into the WITS system complete a WITS consent to the Department.

5.3.1. Any client refusing to sign the informed consent in 5.2 and/or consent in 5.3:

5.3.1.1. Shall not be entered into the WITS system; and

5.3.1.2. Shall not receive services under this contract.

5.3.1.2.1. Any client who cannot receive services under this contract pursuant to Section 5.3.1.2 shall be assisted in finding alternative payers for the required services.

5.4. The Contractor agrees to the Information Security Requirements Exhibit K.

## 6. Reporting

6.1. The Contractor shall report on the following:

6.1.1. National Outcome Measures (NOMs) data in WITS for:

6.1.1.1. 100% of all clients at admission

6.1.1.2. 100% of all clients who are discharged because they have completed treatment or transferred to another program

6.1.1.3. 50% of all clients who are discharged for reasons other than those specified above in Section 6.1.1.2.

6.1.1.4. The above NOMs in Section 6.1.1.1 through 6.1.1.3 are minimum requirements and the Contractor shall attempt to achieve greater reporting results when possible.

6.1.2. Monthly and quarterly web based contract compliance reports no later than the 10th day of the month following the reporting month or quarter;

6.1.3. All critical incidents to the bureau in writing as soon as possible and no more than 24 hours following the incident. The Contractor agrees that:

6.1.3.1. "Critical incident" means any actual or alleged event or situation that creates a significant risk of substantial or



Exhibit A

serious harm to physical or mental health, safety, or well-being, including but not limited to:

- 6.1.3.1.1. Abuse;
- 6.1.3.1.2. Neglect;
- 6.1.3.1.3. Exploitation;
- 6.1.3.1.4. Rights violation;
- 6.1.3.1.5. Missing person;
- 6.1.3.1.6. Medical emergency;
- 6.1.3.1.7. Restraint; or
- 6.1.3.1.8. Medical error.

6.1.4. All contact with law enforcement to the bureau in writing as soon as possible and no more than 24 hours following the incident;

6.1.5. All Media contacts to the bureau in writing as soon as possible and no more than 24 hours following the incident;

6.1.6. Sentinel events to the Department as follows:

6.1.6.1. Sentinel events shall be reported when they involve any individual who is receiving services under this contract;

6.1.6.2. Upon discovering the event, the Contractor shall provide immediate verbal notification of the event to the bureau, which shall include:

6.1.6.2.1. The reporting individual's name, phone number, and agency/organization;

6.1.6.2.2. Name and date of birth (DOB) of the individual(s) involved in the event;

6.1.6.2.3. Location, date, and time of the event;

6.1.6.2.4. Description of the event, including what, when, where, how the event happened, and other relevant information, as well as the identification of any other individuals involved;

6.1.6.2.5. Whether the police were involved due to a crime or suspected crime; and

6.1.6.2.6. The identification of any media that had reported the event;

6.1.6.3. Within 72 hours of the sentinel event, the Contractor shall submit a completed "Sentinel Event Reporting Form"

*PK*

*7/5/18*



Exhibit A

(February 2017), available at  
<https://www.dhhs.nh.gov/dcbcs/documents/reporting-form.pdf>  
to the bureau

- 6.1.6.4. Additional information on the event that is discovered after filing the form in Section 6.1.6.3. above shall be reported to the Department, in writing, as it becomes available or upon request of the Department; and
- 6.1.6.5. Submit additional information regarding Sections 6.1.6.1 through 6.1.6.4 above if required by the department; and
- 6.1.6.6. Report the event in Sections 6.1.6.1 through 6.1.6.4 above, as applicable, to other agencies as required by law.

## 7. Quality Improvement

- 7.1. The Contractor shall participate in all quality improvement activities to ensure the standard of care for clients, as requested by the Department, such as, but not limited to:
  - 7.1.1. Participation in electronic and in-person client record reviews
  - 7.1.2. Participation in site visits
  - 7.1.3. Participation in training and technical assistance activities as directed by the Department.
- 7.2. The Contractor shall monitor and manage the utilization levels of care and service array to ensure services are offered through the term of the contract to:
  - 7.2.1. Maintain a consistent service capacity for Substance Use Disorder Treatment and Recovery Support Services statewide by:
    - 7.2.1.1. Monitor the capacity such as staffing and other resources to consistently and evenly deliver these services; and
    - 7.2.1.2. Monitor no less than monthly the percentage of the contract funding expended relative to the percentage of the contract period that has elapsed. If there is a difference of more than 10% between expended funding and elapsed time on the contract the Contractor shall notify the Department within 5 days and submit a plan for correcting the discrepancy within 10 days of notifying the Department.

## 8. Maintenance of Fiscal Integrity

- 8.1. In order to enable DHHS to evaluate the Contractor's fiscal integrity, the Contractor agrees to submit to DHHS monthly, the Balance Sheet, Profit and Loss Statement, and Cash Flow Statement for the Contractor. The Profit and Loss Statement shall



Exhibit A

Include a budget column allowing for budget to actual analysis. Statements shall be submitted within thirty (30) calendar days after each month end. The Contractor will be evaluated on the following:

8.1.1. Days of Cash on Hand:

8.1.1.1. Definition: The days of operating expenses that can be covered by the unrestricted cash on hand.

8.1.1.2. Formula: Cash, cash equivalents and short term investments divided by total operating expenditures, less depreciation/amortization and in-kind plus principal payments on debt divided by days in the reporting period. The short-term investments as used above must mature within three (3) months and should not include common stock.

8.1.1.3. Performance Standard: The Contractor shall have enough cash and cash equivalents to cover expenditures for a minimum of thirty (30) calendar days with no variance allowed.

8.1.2. Current Ratio:

8.1.2.1. Definition: A measure of the Contractor's total current assets available to cover the cost of current liabilities.

8.1.2.2. Formula: Total current assets divided by total current liabilities.

8.1.2.3. Performance Standard: The Contractor shall maintain a minimum current ratio of 1.5:1 with 10% variance allowed.

8.1.3. Debt Service Coverage Ratio:

8.1.3.1. Rationale: This ratio illustrates the Contractor's ability to cover the cost of its current portion of its long-term debt.

8.1.3.2. Definition: The ratio of Net Income to the year to date debt service.

8.1.3.3. Formula: Net Income plus Depreciation/Amortization Expense plus Interest Expense divided by year to date debt service (principal and interest) over the next twelve (12) months.

8.1.3.4. Source of Data: The Contractor's Monthly Financial Statements identifying current portion of long-term debt payments (principal and interest).

8.1.3.5. Performance Standard: The Contractor shall maintain a minimum standard of 1.2:1 with no variance allowed.



Exhibit A

- 8.1.4. Net Assets to Total Assets:
- 8.1.4.1. Rationale: This ratio is an indication of the Contractor's ability to cover its liabilities.
  - 8.1.4.2. Definition: The ratio of the Contractor's net assets to total assets.
  - 8.1.4.3. Formula: Net assets (total assets less total liabilities) divided by total assets.
  - 8.1.4.4. Source of Data: The Contractor's Monthly Financial Statements.
  - 8.1.4.5. Performance Standard: The Contractor shall maintain a minimum ratio of .30:1, with a 20% variance allowed.
- 8.2. In the event that the Contractor does not meet either:
- 8.2.1. The standard regarding Days of Cash on Hand and the standard regarding Current Ratio for two (2) consecutive months; or
  - 8.2.2. Three (3) or more of any of the Maintenance of Fiscal Integrity standards for three (3) consecutive months, then
  - 8.2.3. The Department may require that the Contractor meet with Department staff to explain the reasons that the Contractor has not met the standards.
  - 8.2.4. The Department may require the Contractor to submit a comprehensive corrective action plan within thirty (30) calendar days of notification that 8.2.1 and/or 8.2.2 have not been met.
    - 8.2.4.1. The Contractor shall update the corrective action plan at least every thirty (30) calendar days until compliance is achieved.
    - 8.2.4.2. The Contractor shall provide additional information to assure continued access to services as requested by the Department. The Contractor shall provide requested information in a timeframe agreed upon by both parties.
- 8.3. The Contractor shall inform the Department by phone and by email within twenty-four (24) hours of when any key Contractor staff learn of any actual or likely litigation, investigation, complaint, claim, or transaction that may reasonably be considered to have a material financial impact on and/or materially impact or impair the ability of the Contractor to perform under this Agreement with the Department.
- 8.4. The monthly Balance Sheet, Profit & Loss Statement, Cash Flow Statement, and all other financial reports shall be based on the accrual method of accounting and include the Contractor's total revenues and expenditures whether or not generated by or resulting from funds provided pursuant to this Agreement. These reports are due within thirty (30) calendar days after the end of each month.



Exhibit A

**9. Performance Measures**

- 9.1. The Contractor's contract performance shall be measured as in Section 9.2 below to evaluate that services are mitigating negative impacts of substance misuse, including but not limited to the opioid epidemic and associated overdoses.
- 9.2. For the first year of the contract only, the data, as collected in WITS, will be used to assist the Department in determining the benchmark for each measure below. The Contractor agrees to report data in WITS used in the following measures:
- 9.2.1. Access to Services: % of clients accepting services who receive any service, other than evaluation, within 10 days of screening.
  - 9.2.2. Engagement: % of clients receiving any services, other than evaluation, on at least 2 separate days within 14 days of screening
  - 9.2.3. Clinically Appropriate Services: % clients receiving ASAM Criteria identified SUD services (as identified by initial or subsequent ASAM LoC Criteria determination) within 30 days of screening.
  - 9.2.4. Client Retention: % of currently enrolled clients receiving any type of SUD services, other than evaluation, on at least 4 separate days within 45 days of initial screening.
  - 9.2.5. Treatment Completion: Total # of discharged (dis-enrolled) clients completing treatment
  - 9.2.6. National Outcome Measures (NOMS) The % of clients out of all clients discharged meeting at least 3 out of 5 NOMS outcome criteria:
    - 9.2.6.1. Reduction in /no change in the frequency of substance use at discharge compared to date of first service
    - 9.2.6.2. Increase in/no change in number of individuals employed or in school at date of last service compared to first service
    - 9.2.6.3. Reduction in/no change in number of individuals arrested in past 30 days from date of first service to date of last service
    - 9.2.6.4. Increase in/no change in number of individuals that have stable housing at last service compared to first service
    - 9.2.6.5. Increase in/no change in number of individuals participating in community support services at last service compared to first service



Exhibit A

---

**10. Contract Compliance Audits**

- 10.1. In the event that the Contractor undergoes an audit by the Department, the Contractor agrees to provide a corrective action plan to the Department within thirty (30) days from the date of the final findings which addresses any and all findings.
- 10.2. The corrective action plan shall include:
  - 10.2.1. The action(s) that will be taken to correct each deficiency;
  - 10.2.2. The action(s) that will be taken to prevent the reoccurrence of each deficiency;
  - 10.2.3. The specific steps and time line for implementing the actions above;
  - 10.2.4. The plan for monitoring to ensure that the actions above are effective; and
  - 10.2.5. How and when the vendor will report to the Department on progress on implementation and effectiveness.

*PK*

*7/5/18*



### Exhibit A-1 Operational Requirements

The Contractor shall comply with the following requirements:

1. Requirements for Organizational or Program Changes.
  - 1.1. The Contractor shall provide the department with written notice at least 30 days prior to changes in any of the following:
    - 1.1.1. Ownership;
    - 1.1.2. Physical location;
    - 1.1.3. Name.
  - 1.2. When there is a new administrator, the following shall apply:
    - 1.2.1. The Contractor shall provide the department with immediate notice when an administrator position becomes vacant;
    - 1.2.2. The Contractor shall notify the department in writing as soon as possible prior to a change in administrator, and immediately upon the lack of an administrator, and provide the department with the following:
      - 1.2.2.1. The written disclosure of the new administrator required in Section 1.2 above;
      - 1.2.2.2. A resume identifying the name and qualifications of the new administrator; and
      - 1.2.2.3. Copies of applicable licenses for the new administrator;
    - 1.2.3. When there is a change in the name, the Contractor shall submit to the department a copy of the certificate of amendment from the New Hampshire Secretary of State, if applicable, and the effective date of the name change.
    - 1.2.4. When a Contractor discontinues a contracted program, it shall submit to the department:
      - 1.2.4.1. A plan to transfer, discharge or refer all clients being served in the contracted program; and
      - 1.2.4.2. A plan for the security and transfer of the client's records being served in the contracted program as required by Sections 12.8 - 12.10 below and with the consent of the client.
2. Inspections.
  - 2.1. For the purpose of determining compliance with the contract, the Contractor shall admit and allow any department representative at any time to inspect the following:
    - 2.1.1. The facility premises;
    - 2.1.2. All programs and services provided under the contract; and
    - 2.1.3. Any records required by the contract.
  - 2.2. A notice of deficiencies shall be issued when, as a result of any inspection, the department determines that the Contractor is in violation of any of the contract requirements.
  - 2.3. If the notice identifies deficiencies to be corrected, the Contractor shall submit a plan of correction in accordance within 21 working days of receiving the inspection findings.
3. Administrative Remedies.
  - 3.1. The department shall impose administrative remedies for violations of contract requirements, including:
    - 3.1.1. Requiring a Contractor to submit a plan of correction (POC);
    - 3.1.2. Imposing a directed POC upon a Contractor;
    - 3.1.3. Suspension of a contract; or
    - 3.1.4. Revocation of a contract.



**Exhibit A-1 Operational Requirements**

- 3.2. When administrative remedies are imposed, the department shall provide a written notice, as applicable, which:
  - 3.2.1. Identifies each deficiency;
  - 3.2.2. Identifies the specific remedy(s) that has been proposed; and
  - 3.2.3. Provides the Contractor with information regarding the right to a hearing in accordance with RSA 541-A and He-C 200.
- 3.3. A POC shall be developed and enforced in the following manner:
  - 3.3.1. Upon receipt of a notice of deficiencies, the Contractor shall submit a written POC within 21 days of the date on the notice describing:
    - 3.3.1.1. How the Contractor intends to correct each deficiency;
    - 3.3.1.2. What measures will be put in place, or what system changes will be made to ensure that the deficiency does not recur; and
    - 3.3.1.3. The date by which each deficiency shall be corrected which shall be no later than 90 days from the date of submission of the POC;
  - 3.3.2. The department shall review and accept each POC that:
    - 3.3.2.1. Achieves compliance with contract requirements;
    - 3.3.2.2. Addresses all deficiencies and deficient practices as cited in the inspection report;
    - 3.3.2.3. Prevents a new violation of contract requirements as a result of implementation of the POC; and
    - 3.3.2.4. Specifies the date upon which the deficiencies will be corrected;
- 3.4. If the POC is acceptable, the department shall provide written notification of acceptance of the POC;
- 3.5. If the POC is not acceptable, the department shall notify the Contractor in writing of the reason for rejecting the POC;
- 3.6. The Contractor shall develop and submit a revised POC within 21 days of the date of the written notification in 3.5 above;
- 3.7. The revised POC shall comply with 3.3.1 above and be reviewed in accordance with 3.3.2 above;
- 3.8. If the revised POC is not acceptable to the department, or is not submitted within 21 days of the date of the written notification in 3.5 above, the Contractor shall be subject to a directed POC in accordance with 3.12 below.
- 3.9. The department shall verify the implementation of any POC that has been submitted and accepted by:
  - 3.9.1. Reviewing materials submitted by the Contractor;
  - 3.9.2. Conducting a follow-up inspection; or
  - 3.9.3. Reviewing compliance during the next scheduled inspection;
- 3.10. Verification of the implementation of any POC shall only occur after the date of completion specified by the Contractor in the plan; and
- 3.11. If the POC or revised POC has not been implemented by the completion date, the Contractor shall be issued a directed POC in accordance with 3.12 below.
- 3.12. The department shall develop and impose a directed POC that specifies corrective actions for the Contractor to implement when:
  - 3.12.1. As a result of an inspection, deficiencies were identified that require immediate corrective action to protect the health and safety of the clients or personnel;
  - 3.12.2. A revised POC is not submitted within 21 days of the written notification from the department; or



**Exhibit A-1 Operational Requirements**

- 3.12.3. A revised POC submitted has not been accepted.
4. Duties and Responsibilities of All Contractors.
- 4.1. The Contractor shall comply with all federal, state, and local laws, rules, codes, ordinances, licenses, permits, and approvals, and rules promulgated thereunder, as applicable.
- 4.2. The Contractor shall monitor, assess, and improve, as necessary, the quality of care and service provided to clients on an ongoing basis.
- 4.3. The Contractor shall provide for the necessary qualified personnel, facilities, equipment, and supplies for the safety, maintenance and operation of the Contractor.
- 4.4. The Contractor shall develop and implement written policies and procedures governing its operation and all services provided.
- 4.5. All policies and procedures shall be reviewed, revised, and trained on per Contractor policy.
- 4.6. The Contractor shall:
- 4.6.1. Employ an administrator responsible for the day-to-day operation of the Contractor;
- 4.6.2. Maintain a current job description and minimum qualifications for the administrator, including the administrator's authority and duties; and
- 4.6.3. Establish, in writing, a chain of command that sets forth the line of authority for the operation of the Contractor the staff position(s) to be delegated the authority and responsibility to act in the administrator's behalf when the administrator is absent.
- 4.7. The Contractor shall post the following documents in a public area:
- 4.7.1. A copy of the Contractor's policies and procedures relative to the implementation of client rights and responsibilities, including client confidentiality per 42 CFR Part 2; and
- 4.7.2. The Contractor's plan for fire safety, evacuation and emergencies identifying the location of, and access to all fire exits.
- 4.8. The Contractor or any employee shall not falsify any documentation or provide false or misleading information to the department.
- 4.9. The Contractor shall comply with all conditions of warnings and administrative remedies issued by the department, and all court orders.
- 4.10. The Contractor shall admit and allow any department representative to inspect the certified premises and all programs and services that are being provided at any time for the purpose of determining compliance with the contract.
- 4.11. The Contractor shall:
- 4.11.1. Report all critical incidents and sentinel events to the department in accordance with Exhibit A, Section 20.2.3;
- 4.11.2. Submit additional information if required by the department; and
- 4.11.3. Report the event to other agencies as required by law.
- 4.12. The Contractor shall implement policies and procedures for reporting:
- 4.12.1. Suspected child abuse, neglect or exploitation, in accordance with RSA 169-C:29-30; and
- 4.12.2. Suspected abuse, neglect or exploitation of adults, in accordance with RSA 149-F:49.



**Exhibit A-1 Operational Requirements**

- 4.13. The Contractor shall report all positive tuberculosis test results for personnel to the office of disease control in accordance with RSA 141-C:7, He-P 301.02 and He-P 301.03.
- 4.14. For residential programs, if the Contractor accepts a client who is known to have a disease reportable under He-P 301 or an infectious disease, which is any disease caused by the growth of microorganisms in the body which might or might not be contagious; the Contractor shall follow the required procedures for the care of the clients, as specified by the United States Centers for Disease Control and Prevention 2007 Guideline for Isolation Precautions, Preventing Transmission of Infectious Agents in Healthcare Settings, June 2007.
- 4.15. Contractors shall implement state and federal regulations on client confidentiality, including provisions outlined in 42 CFR 2.13, RSA 172:8-a, and RSA 318-B:12;
- 4.16. A Contractor shall, upon request, provide a client or the client's guardian or agent, if any, with a copy of his or her client record within the confines for 42 CFR Part 2.
- 4.17. The Contractor shall develop policies and procedures regarding the release of information contained in client records, in accordance with 42 CFR Part 2, the Health Insurance Portability and Accountability Act (HIPAA), and RSA 318-B:10.
- 4.18. All records required by the contract shall be legible, current, accurate and available to the department during an inspection or investigation conducted in accordance with this contract.
- 4.19. Any Contractor that maintains electronic records shall develop written policies and procedures designed to protect the privacy of clients and personnel that, at a minimum, include:
  - 4.19.1. Procedures for backing up files to prevent loss of data;
  - ~~4.19.2. Safeguards for maintaining the confidentiality of information pertaining to clients and staff; and~~
  - 4.19.3. Systems to prevent tampering with information pertaining to clients and staff.
- 4.20. The Contractor's service site(s) shall:
  - 4.20.1. Be accessible to a person with a disability using ADA accessibility and barrier free guidelines per 42 U.S.C. 12131 et seq;
  - 4.20.2. Have a reception area separate from living and treatment areas;
  - 4.20.3. Have private space for personal consultation, charting, treatment and social activities, as applicable;
  - 4.20.4. Have secure storage of active and closed confidential client records; and
  - 4.20.5. Have separate and secure storage of toxic substances.
- 4.21. The Contractor shall establish and monitor a code of ethics for the Contractor and its staff, as well as a mechanism for reporting unethical conduct.
- 4.22. The Contractor shall maintain specific policies on the following:
  - 4.22.1. Client rights, grievance and appeals policies and procedures;
  - 4.22.2. Progressive discipline, leading to administrative discharge;
  - 4.22.3. Reporting and appealing staff grievances;
  - 4.22.4. Policies on client alcohol and other drug use while in treatment;
  - 4.22.5. Policies on client and employee smoking that are in compliance with Exhibit A, Section 2.11;
  - 4.22.6. Drug-free workplace policy and procedures, including a requirement for the filing of written reports of actions taken in the event of staff misuse of alcohol or other drugs;



**Exhibit A-1 Operational Requirements**

- 4.22.7. Policies and procedures for holding a client's possessions;
- 4.22.8. Secure storage of staff medications;
- 4.22.9. A client medication policy;
- 4.22.10. Urine specimen collection, as applicable, that:
  - 4.22.10.1. Ensure that collection is conducted in a manner that preserves client privacy as much as possible; and
  - 4.22.10.2. Minimize falsification;
- 4.22.11. Safety and emergency procedures on the following:
  - 4.22.11.1. Medical emergencies;
  - 4.22.11.2. Infection control and universal precautions, including the use of protective clothing and devices;
  - 4.22.11.3. Reporting employee injuries;
  - 4.22.11.4. Fire monitoring, warning, evacuation, and safety drill policy and procedures;
  - 4.22.11.5. Emergency closings;
  - 4.22.11.6. Posting of the above safety and emergency procedures.
- 4.22.12. Procedures for protection of client records that govern use of records, storage, removal, conditions for release of information, and compliance with 42CFR, Part 2 and the Health Insurance Portability and Accountability Act (HIPAA); and
- 4.22.13. Procedures related to quality assurance and quality improvement.
- 5. Collection of Fees.
  - 5.1. The Contractor shall maintain procedures regarding collections from client fees, private or public insurance, and other payers responsible for the client's finances; and
  - 5.2. At the time of screening and admission the Contractor shall provide the client, and the client's guardian, agent, or personal representative, with a listing of all known applicable charges and identify what care and services are included in the charge.
- 6. Client Screening and Denial of Services.
  - 6.1. Contractors shall maintain a record of all client screenings, including:
    - 6.1.1. The client name and/or unique client identifier;
    - 6.1.2. The client referral source;
    - 6.1.3. The date of initial contact from the client or referring agency;
    - 6.1.4. The date of screening;
    - 6.1.5. The result of the screening, including the reason for denial of services if applicable;
    - 6.1.6. For any client who is placed on a waitlist, record of referrals to and coordination with regional access point and interim services or reason that such a referral was not made;
    - 6.1.7. Record of all client contacts between screening and removal from the waitlist; and
    - 6.1.8. Date client was removed from the waitlist and the reason for removal
  - 6.2. For any client who is denied services, the Contractor is responsible for:
    - 6.2.1. Informing the client of the reason for denial;
    - 6.2.2. Assisting the client in identifying and accessing appropriate available treatment;
  - 6.3. The Contractor shall not deny services to a client solely because the client:
    - 6.3.1. Previously left treatment against the advice of staff;
    - 6.3.2. Relapsed from an earlier treatment;



**Exhibit A-1 Operational Requirements**

- 6.3.3. Is on any class of medications, including but not limited to opiates or benzodiazepines; or
- 6.3.4. Has been diagnosed with a mental health disorder.
- 6.4. The Contractor shall report on 6.1 and 6.2 above at the request of the department.
- 7. Personnel Requirements.
  - 7.1. The Contractor shall develop a current job description for all staff, including contracted staff, volunteers, and student interns, which shall include:
    - 7.1.1. Job title;
    - 7.1.2. Physical requirements of the position;
    - 7.1.3. Education and experience requirements of the position;
    - 7.1.4. Duties of the position;
    - 7.1.5. Positions supervised; and
    - 7.1.6. Title of immediate supervisor.
  - 7.2. The Contractor shall develop and implement policies regarding criminal background checks of prospective employees, which shall, at a minimum, include:
    - 7.2.1. Requiring a prospective employee to sign a release to allow the Contractor to obtain his or her criminal record;
    - 7.2.2. Requiring the administrator or his or her designee to obtain and review a criminal records check from the New Hampshire department of safety for each prospective employee;
    - 7.2.3. Criminal background standards regarding the following, beyond which shall be reason to not hire a prospective employee in order to ensure the health, safety, or well-being of clients:
      - 7.2.3.1. Felony convictions in this or any other state;
      - 7.2.3.2. Convictions for sexual assault, other violent crime, assault, fraud, abuse, neglect or exploitation; and
      - 7.2.3.3. Findings by the department or any administrative agency in this or any other state for assault, fraud, abuse, neglect or exploitation or any person; and
    - 7.2.4. Waiver of 7.2.3 above for good cause shown.
  - 7.3. All staff, including contracted staff, shall:
    - 7.3.1. Meet the educational, experiential, and physical qualifications of the position as listed in their job description;
    - 7.3.2. Not exceed the criminal background standards established by 7.2.3 above, unless waived for good cause shown, in accordance with policy established in 7.2.4 above;
    - 7.3.3. Be licensed, registered or certified as required by state statute and as applicable;
    - 7.3.4. Receive an orientation within the first 3 days of work or prior to direct contact with clients, which includes:
      - 7.3.4.1. The Contractor's code of ethics, including ethical conduct and the reporting of unprofessional conduct;
      - 7.3.4.2. The Contractor's policies on client rights and responsibilities and complaint procedures;
      - 7.3.4.3. Confidentiality requirements as required by Sections 4.15 and 4.19.2 above and Section 17 below;
      - 7.3.4.4. Grievance procedures for both clients and staff as required in Section 4.22.1 and 4.22.3 above and Section 18 below.

*[Handwritten initials]*  
*[Handwritten date: 6/11/18]*



**Exhibit A-1 Operational Requirements**

- 7.3.4.5. The duties and responsibilities and the policies, procedures, and guidelines of the position they were hired for;
- 7.3.4.6. Topics covered by both the administrative and personnel manuals;
- 7.3.4.7. The Contractor's infection prevention program;
- 7.3.4.8. The Contractor's fire, evacuation, and other emergency plans which outline the responsibilities of personnel in an emergency; and
- 7.3.4.9. Mandatory reporting requirements for abuse or neglect such as those found in RSA 161-F and RSA 169-C:29; and
- 7.3.5. Sign and date documentation that they have taken part in an orientation as described in 7.3.4 above;
- 7.3.6. Complete a mandatory annual in-service education, which includes a review of all elements described in 7.3.4 above.
- 7.4. Prior to having contact with clients, employees and contracted employees shall:
  - 7.4.1. Submit to the Contractor proof of a physical examination or a health screening conducted not more than 12 months prior to employment which shall include at a minimum the following:
    - 7.4.1.1. The name of the examinee;
    - 7.4.1.2. The date of the examination;
    - 7.4.1.3. Whether or not the examinee has a contagious illness or any other illness that would affect the examinee's ability to perform their job duties;
    - 7.4.1.4. Results of a 2-step tuberculosis (TB) test, Mantoux method or other method approved by the Centers for Disease Control (CDC); and
    - 7.4.1.5. The dated signature of the licensed health practitioner;
  - 7.4.2. Be allowed to work while waiting for the results of the second step of the TB test when the results of the first step are negative for TB; and
  - 7.4.3. Comply with the requirements of the Centers for Disease Control Guidelines for Preventing the Transmission of Tuberculosis in Health Facilities Settings, 2005, if the person has either a positive TB test, or has had direct contact or potential for occupational exposure to Mycobacterium tuberculosis through shared air space with persons with infectious tuberculosis.
- 7.5. Employees, contracted employees, volunteers and independent Contractors who have direct contact with clients who have a history of TB or a positive skin test shall have a symptomatology screen of a TB test.
- 7.6. The Contractor shall maintain and store in a secure and confidential manner, a current personnel file for each employee, student, volunteer, and contracted staff. A personnel file shall include, at a minimum, the following:
  - 7.6.1. A completed application for employment or a resume, including:
  - 7.6.2. Identification data; and
  - 7.6.3. The education and work experience of the employee;
  - 7.6.4. A copy of the current job description or agreement, signed by the individual, that identifies the:
    - 7.6.4.1. Position title;
    - 7.6.4.2. Qualifications and experience; and
    - 7.6.4.3. Duties required by the position;
  - 7.6.5. Written verification that the person meets the Contractor's qualifications for the assigned job description, such as school transcripts, certifications and licenses as applicable;



Exhibit A-1 Operational Requirements

- 7.6.6. A signed and dated record of orientation as required by 7.3.4 above;
- 7.6.7. A copy of each current New Hampshire license, registration or certification in health care field and CPR certification, if applicable;
- 7.6.8. Records of screening for communicable diseases results required in 7.4 above;
- 7.6.9. Written performance appraisals for each year of employment including description of any corrective actions, supervision, or training determined by the person's supervisor to be necessary;
- 7.6.10. Documentation of annual in-service education as required by 7.3.6 above;
- 7.6.11. Information as to the general content and length of all continuing education or educational programs attended;
- 7.6.12. A signed statement acknowledging the receipt of the Contractor's policy setting forth the client's rights and responsibilities, including confidentiality requirements, and acknowledging training and implementation of the policy.
- 7.6.13. A statement, which shall be signed at the time the initial offer of employment is made and then annually thereafter, stating that he or she:
  - 7.6.13.1. Does not have a felony conviction in this or any other state;
  - 7.6.13.2. Has not been convicted of a sexual assault, other violent crime, assault, fraud, abuse, neglect or exploitation or pose a threat to the health, safety or well-being of a client; and
  - 7.6.13.3. Has not had a finding by the department or any administrative agency in this or any other state for assault, fraud, abuse, neglect or exploitation of any person; and
- 7.6.14. Documentation of the criminal records check and any waivers per 7.2 above;
- 7.7. An individual need not re-disclose any of the matters in 7.6.13 and 7.6.14 above if the documentation is available and the Contractor has previously reviewed the material and granted a waiver so that the individual can continue employment.
- 8. Clinical Supervision.
  - 8.1. Contractors shall comply with the following clinical supervision requirements for unlicensed counselors:
    - 8.1.1. All unlicensed staff providing treatment, education and/or recovery support services shall be under the direct supervision of a licensed supervisor.
    - 8.1.2. No licensed supervisor shall supervise more than twelve unlicensed staff unless the Department has approved an alternative supervision plan.
    - 8.1.3. Unlicensed counselors shall receive at least one (1) hour of supervision for every forty (40) hours of direct client contact;
    - 8.1.4. Supervision shall be provided on an individual or group basis, or both, depending upon the employee's need, experience and skill level;
    - 8.1.5. Supervision shall include following techniques:
      - 8.1.5.1. Review of case records;
      - 8.1.5.2. Observation of interactions with clients;
      - 8.1.5.3. Skill development; and
      - 8.1.5.4. Review of case management activities; and
    - 8.1.6. Supervisors shall maintain a log of the supervision date, duration, content and who was supervised by whom;
    - 8.1.7. Individuals licensed or certified shall receive supervision in accordance with the requirement of their licensure.



Exhibit A-1 Operational Requirements

9. Clinical Services.

- 9.1. Each Contractor shall have and adhere to a clinical care manual which includes policies and procedures related to all clinical services provided.
- 9.2. All clinical services provided shall:
  - 9.2.1. Focus on the client's strengths;
  - 9.2.2. Be sensitive and relevant to the diversity of the clients being served;
  - 9.2.3. Be client and family centered;
  - 9.2.4. Be trauma informed, which means designed to acknowledge the impact of violence and trauma on people's lives and the importance of addressing trauma in treatment; and
- 9.3. Upon a client's admission, the Contractor shall conduct a client orientation, either individually or by group, to include the following:
  - 9.3.1. Rules, policies, and procedures of the Contractor, program, and facility;
  - 9.3.2. Requirements for successfully completing the program;
  - 9.3.3. The administrative discharge policy and the grounds for administrative discharge;
  - 9.3.4. All applicable laws regarding confidentiality, including the limits of confidentiality and mandatory reporting requirements; and
  - 9.3.5. Requiring the client to sign a receipt that the orientation was conducted.
  - 9.3.6. Upon a client's admission to treatment, the Contractor shall conduct an HIV/AIDS screening, to include:
    - 9.3.7. The provision of information;
    - 9.3.8. Risk assessment;
    - 9.3.9. Intervention and risk reduction education, and
    - 9.3.10. Referral for testing, if appropriate, within 7 days of admission;

10. Treatment and Rehabilitation.

- 10.1. A LADC or unlicensed counselor under the supervision of a LADC shall develop and maintain a written treatment plan for each client in accordance with TAP 21: Addiction Counseling Competencies available at <http://store.samhsa.gov/lis/series?name=Technical-Assistance-Publications-TAPs-&pageNumber=1> which addresses all ASAM domains.
- 10.2. Treatment plans shall be developed as follows:
  - 10.2.1. Within 7 days following admission to any residential program; and
  - 10.2.2. No later than the third session of an ambulatory treatment program.
- 10.3. Individual treatment plans shall contain, at a minimum, the following elements:
  - 10.3.1. Goals, objectives, and interventions written in terms that are specific, measurable, attainable, realistic and timely.
  - 10.3.2. Identifies the recipient's clinical needs, treatment goals, and objectives;
  - 10.3.3. Identifies the client's strengths and resources for achieving goals and objectives in 10.3.1 above;
  - 10.3.4. Defines the strategy for providing services to meet those needs, goals, and objectives;
  - 10.3.5. Identifies referral to outside Contractors for the purpose of achieving a specific goal or objective when the service cannot be delivered by the treatment program;
  - 10.3.6. Provides the criteria for terminating specific interventions; and

PA  
Date 4/1/18



**Exhibit A-1 Operational Requirements**

- 10.3.7. Includes specification and description of the indicators to be used to assess the individual's progress.
- 10.3.8. Documentation of participation by the client in the treatment planning process or the reason why the client did not participate; and
- 10.3.9. Signatures of the client and the counselor agreeing to the treatment plan, or if applicable, documentation of the client's refusal to sign the treatment plan.
- 10.4. Treatment plans shall be updated based on any changes in any American Society of Addiction Medicine Criteria (ASAM) domain and no less frequently than every 4 sessions or every 4 weeks, whichever is less frequent.
- 10.5. Treatment plan updates shall include:
  - 10.5.1. Documentation of the degree to which the client is meeting treatment plan goals and objectives;
  - 10.5.2. Modification of existing goals or addition of new goals based on changes in the clients functioning relative to ASAM domains and treatment goals and objectives.
  - 10.5.3. The counselor's assessment of whether or not the client needs to move to a different level of care based on changes in functioning in any ASAM domain and documentation of the reasons for this assessment.
  - 10.5.4. The signature of the client and the counselor agreeing to the updated treatment plan, or if applicable, documentation of the client's refusal to sign the treatment plan.
- 10.6. In addition to the individualized treatment planning in 10.3 above, all Contractors shall provide client education on:
  - 10.6.1. Substance use disorders;
  - 10.6.2. Relapse prevention;
  - 10.6.3. Infectious diseases associated with injection drug use, including but not limited to, HIV, hepatitis, and TB;
  - 10.6.4. Sexually transmitted diseases;
  - 10.6.5. Emotional, physical, and sexual abuse;
  - 10.6.6. Nicotine use disorder and cessation options;
  - 10.6.7. The impact of drug and alcohol use during pregnancy, risks to the fetus, and the importance of informing medical practitioners of drug and alcohol use during pregnancy
- 10.7. Group education and counseling
  - 10.7.1. The Contractor shall maintain an outline of each educational and group therapy session provided.
  - 10.7.2. All group counseling sessions shall be limited to 12 clients or fewer per counselor.
- 10.8. Progress notes
  - 10.8.1. A progress note shall be completed for each individual, group, or family treatment or education session.
  - 10.8.2. Each progress note shall contain the following components:
    - 10.8.2.1. Data, including self-report, observations, interventions, current issues/stressors, functional impairment, interpersonal behavior, motivation, and progress, as it relates to the current treatment plan;
    - 10.8.2.2. Assessment, including progress, evaluation of intervention, and obstacles or barriers; and



**Exhibit A-1 Operational Requirements**

- 10.8.2.3. Plan, including tasks to be completed between sessions, objectives for next session, any recommended changes, and date of next session; and
- 10.9. Residential programs shall maintain a daily shift change log which documents such things as client behavior and significant events that a subsequent shift should be made aware of.
- 11. Client Discharge and Transfer.
  - 11.1. A client shall be discharged from a program for the following reasons:
    - 11.1.1. Program completion or transfer based on changes in the client's functioning relative to ASAM criteria;
    - 11.1.2. Program termination, including:
      - 11.1.2.1. Administrative discharge;
      - 11.1.2.2. Non-compliance with the program;
      - 11.1.2.3. The client left the program before completion against advice of treatment staff; and
    - 11.1.3. The client is inaccessible, such as the client has been jailed or hospitalized; and
  - 11.2. In all cases of client discharge or transfer, the counselor shall complete a narrative discharge summary, including, at a minimum:
    - 11.2.1. The dates of admission and discharge or transfer;
    - 11.2.2. The client's psychosocial substance abuse history and legal history;
    - 11.2.3. A summary of the client's progress toward treatment goals in all ASAM domains;
    - 11.2.4. The reason for discharge or transfer;
    - 11.2.5. The client's DSM 5 diagnosis and summary, to include other assessment testing completed during treatment;
    - 11.2.6. A summary of the client's physical condition at the time of discharge or transfer;
    - 11.2.7. A continuing care plan, including all ASAM domains;
    - 11.2.8. A determination as to whether the client would be eligible for re-admission to treatment, if applicable; and
    - 11.2.9. The dated signature of the counselor completing the summary.
  - 11.3. The discharge summary shall be completed:
    - 11.3.1. No later than 7 days following a client's discharge or transfer from the program; or
    - 11.3.2. For withdrawal management services, by the end of the next business day following a client's discharge or transfer from the program.
  - 11.4. When transferring a client, either from one level of care to another within the same certified Contractor agency or to another treatment Contractor, the counselor shall:
    - 11.4.1. Complete a progress note on the client's treatment and progress towards treatment goals, to be included in the client's record; and
    - 11.4.2. Update the client assessment and treatment plan.
  - 11.5. When transferring a client to another treatment Contractor, the current Contractor shall forward copies of the following information to the receiving Contractor, only after a release of confidential information is signed by the client:
    - 11.5.1. The discharge summary;
    - 11.5.2. Client demographic information, including the client's name, date of birth, address, telephone number, and the last 4 digits of his or her Social Security number; and
    - 11.5.3. A diagnostic assessment statement and other assessment information, including:



**Exhibit A-1 Operational Requirements**

- 11.5.3.1. TB test results;
- 11.5.3.2. A record of the client's treatment history; and
- 11.5.3.3. Documentation of any court-mandated or agency-recommended follow-up treatment.
- 11.6. The counselor shall meet with the client at the time of discharge or transfer to establish a continuing care plan that:
  - 11.6.1. Includes recommendations for continuing care in all ASAM domains;
  - 11.6.2. Addresses the use of self-help groups including, when indicated, facilitated self-help; and
  - 11.6.3. Assists the client in making contact with other agencies or services.
- 11.7. The counselor shall document in the client record if and why the meeting in Section 11.6 above could not take place.
- 11.8. A Contractor may administratively discharge a client from a program only if:
  - 11.8.1. The client's behavior on program premises is abusive, violent, or illegal;
  - 11.8.2. The client is non-compliant with prescription medications;
  - 11.8.3. Clinical staff documents therapeutic reasons for discharge, which may include the client's continued use of illicit drugs or an unwillingness to follow appropriate clinical interventions; or
  - 11.8.4. The client violates program rules in a manner that is consistent with the Contractor's progressive discipline policy.
- 12. Client Record System.
  - 12.1. Each Contractor shall have policies and procedures to implement a comprehensive client record system, in either paper form or electronic form, or both, that complies with this section.
    - 12.1.1. Organized into related sections with entries in chronological order;
    - 12.1.2. Easy to read and understand;
    - 12.1.3. Complete, containing all the parts; and
    - 12.1.4. Up-to-date, including notes of most recent contacts.
  - 12.2. The client record shall include, at a minimum, the following components, organized as follows:
    - 12.2.1. First section, Intake/Initial Information:
      - 12.2.1.1. Identification data, including the client's:
        - 12.2.1.1.1. Name;
        - 12.2.1.1.2. Date of birth;
        - 12.2.1.1.3. Address;
        - 12.2.1.1.4. Telephone number; and
        - 12.2.1.1.5. The last 4 digits of the client's Social Security number;
      - 12.2.1.2. The date of admission;
      - 12.2.1.3. If either of these have been appointed for the client, the name and address of:
        - 12.2.1.3.1. The guardian; and
        - 12.2.1.3.2. The representative payee;
      - 12.2.1.4. The name, address, and telephone number of the person to contact in the event of an emergency;
      - 12.2.1.5. Contact information for the person or entity referring the client for services, as applicable;



**Exhibit A-1 Operational Requirements**

- 12.2.1.6. The name, address, and telephone number of the primary health care Contractor;
- 12.2.1.7. The name, address, and telephone number of the behavioral health care Contractor, if applicable;
- 12.2.1.8. The name and address of the client's public or private health insurance Contractor(s), or both;
- 12.2.1.9. The client's religious preference, if any;
- 12.2.1.10. The client's personal health history;
- 12.2.1.11. The client's mental health history;
- 12.2.1.12. Current medications;
- 12.2.1.13. Records and reports prepared prior to the client's current admission and determined by the counselor to be relevant; and
- 12.2.1.14. Signed receipt of notification of client rights;
- 12.2.2. Second section, Screening/Assessment/Evaluation:
  - 12.2.2.1. Documentation of all elements of screening, assessment and evaluation required by Exhibit A, Sections 6 and 10.2;
- 12.2.3. Third section, Treatment Planning:
  - 12.2.3.1. The individual treatment plan, updated at designated intervals in accordance with Sections 10.2 – 10.5 above; and
  - 12.2.3.2. Signed and dated progress notes and reports from all programs involved, as required by Section 10.8 above;
- 12.2.4. Fourth section, Discharge Planning:
  - 12.2.4.1. A narrative discharge summary, as required by Sections 11.2 and 11.3 above;
- 12.2.5. Fifth section, Releases of Information/Miscellaneous:
  - 12.2.5.1. Release of information forms compliant with 42 CFR, Part 2;
  - 12.2.5.2. Any correspondence pertinent to the client; and
  - 12.2.5.3. Any other information the Contractor deems significant.
- 12.3. If the Contractor utilizes a paper format client record system, then the sections in Section 12.3 above shall be tabbed sections.
- 12.4. If the Contractor utilizes an electronic format, the sections in Section 12.3 above shall not apply provided that all information listed in Section 12.3 above is included in the electronic record.
- 12.5. All client records maintained by the Contractor or its sub-Contractors, including paper files, facsimile transmissions, or electronic data transfers, shall be strictly confidential.
- 12.6. All confidential information shall be maintained within a secure storage system at all times as follows:
  - 12.6.1. Paper records and external electronic storage media shall be kept in locked file cabinets;
  - 12.6.2. All electronic files shall be password protected; and
  - 12.6.3. All confidential notes or other materials that do not require storage shall be shredded immediately after use.
  - 12.6.4. Contractors shall retain client records after the discharge or transfer of the client, as follows:
    - 12.6.4.1. For a minimum of 7 years for an adult; and
    - 12.6.4.2. For a minimum of 7 years after age of majority for children.



**Exhibit A-1 Operational Requirements**

- 12.7. In the event of a program closure, the Contractor closing its treatment program shall arrange for the continued management of all client records. The closing Contractor shall notify the department in writing of the address where records will be stored and specify the person managing the records.
- 12.8. The closing Contractor shall arrange for storage of each record through one or more of the following measures:
  - 12.8.1. Continue to manage the records and give written assurance to the department that it will respond to authorized requests for copies of client records within 10 working days;
  - 12.8.2. Transfer records of clients who have given written consent to another Contractor; or
  - 12.8.3. Enter into a limited service organization agreement with another Contractor to store and manage records.
13. Medication Services.
  - 13.1. No administration of medications, including physician samples, shall occur except by a licensed medical practitioner working within their scope of practice.
  - 13.2. All prescription medications brought by a client to program shall be in their original containers and legibly display the following information:
    - 13.2.1. The client's name;
    - 13.2.2. The medication name and strength;
    - 13.2.3. The prescribed dose;
    - 13.2.4. The route of administration;
    - 13.2.5. The frequency of administration; and
    - 13.2.6. The date ordered.
  - 13.3. ~~Any change or discontinuation of prescription medications shall require a written order from a licensed practitioner.~~
  - 13.4. All prescription medications, with the exception of nitroglycerin, epi-pens, and rescue inhalers, which may be kept on the client's person or stored in the client's room, shall be stored as follows:
    - 13.4.1. All medications shall be kept in a storage area that is:
      - 13.4.1.1. Locked and accessible only to authorized personnel;
      - 13.4.1.2. Organized to allow correct identification of each client's medication(s);
      - 13.4.1.3. Illuminated in a manner sufficient to allow reading of all medication labels; and
      - 13.4.1.4. Equipped to maintain medication at the proper temperature;
    - 13.4.2. Schedule II controlled substances, as defined by RSA 318-B:1-b, shall be kept in a separately locked compartment within the locked medication storage area and accessible only to authorized personnel; and
    - 13.4.3. Topical liquids, ointments, patches, creams and powder forms of products shall be stored in a manner such that cross-contamination with oral, optic, ophthalmic, and parenteral products shall not occur.
  - 13.5. Medication belonging to personnel shall not be accessible to clients, nor stored with client medication.
  - 13.6. Over-the-counter (OTC) medications shall be handled in the following manner:
    - 13.6.1. Only original, unopened containers of OTC medications shall be allowed to be brought into the program;
    - 13.6.2. OTC medication shall be stored in accordance with Section 13.4 above.



**Exhibit A-1 Operational Requirements**

- 13.6.3. OTC medication containers shall be marked with the name of the client using the medication and taken in accordance with the directions on the medication container or as ordered by a licensed practitioner;
- 13.7. All medications self-administered by a client, with the exception of nitroglycerin, epipens, and rescue inhalers, which may be taken by the client without supervision, shall be supervised by the program staff, as follows:
  - 13.7.1. Staff shall remind the client to take the correct dose of his or her medication at the correct time;
  - 13.7.2. Staff may open the medication container but shall not be permitted to physically handle the medication itself in any manner;
  - 13.7.3. Staff shall remain with the client to observe them taking the prescribed dose and type of medication;
- 13.8. For each medication taken, staff shall document in an individual client medication log the following:
  - 13.8.1. The medication name, strength, dose, frequency and route of administration;
  - 13.8.2. The date and the time the medication was taken;
  - 13.8.3. The signature or identifiable initials of the person supervising the taking of said medication; and
  - 13.8.4. The reason for any medication refused or omitted.
- 13.9. Upon a client's discharge:
  - 13.9.1. The client medication log in Section 13.8 above shall be included in the client's record; and
  - 13.9.2. The client shall be given any remaining medication to take with him or her
- 14. Notice of Client Rights:
  - 14.1. Programs shall inform clients of their rights under these rules in clear, understandable language and form, both verbally and in writing as follows:
    - 14.1.1. Applicants for services shall be informed of their rights to evaluations and access to treatment;
    - 14.1.2. Clients shall be advised of their rights upon entry into any program and at least once a year after entry;
    - 14.1.3. Initial and annual notifications of client rights in Section 14 above shall be documented in the client's record; and
  - 14.2. Every program within the service delivery system shall post notice of the rights, as follows:
    - 14.2.1. The notice shall be posted continuously and conspicuously;
    - 14.2.2. The notice shall be presented in clear, understandable language and form; and
    - 14.2.3. Each program and residence shall have on the premises complete copies of rules pertaining to client rights that are available for client review.
- 15. Fundamental Rights.
  - 15.1. No person receiving treatment for a substance use disorder shall be deprived of any legal right to which all citizens are entitled solely by reason of that person's admission to the treatment services system.
- 16. Personal Rights.
  - 16.1. Persons who are applicants for services or clients in the service delivery system shall be treated by program staff with dignity and respect at all times.
  - 16.2. Clients shall be free from abuse, neglect and exploitation including, at a minimum, the following:



**Exhibit A-1 Operational Requirements**

- 16.2.1. Freedom from any verbal, non-verbal, mental, physical, or sexual abuse or neglect;
- 16.2.2. Freedom from the intentional use of physical force except the minimum force necessary to prevent harm to the client or others; and
- 16.2.3. Freedom from personal or financial exploitation.
- 16.3. Clients shall have the right to privacy.
- 17. Client Confidentiality
  - 17.1. All Contractors shall adhere to the confidentiality requirements in 42 CFR part 2.
  - 17.2. In cases where a client, attorney or other authorized person, after review of the record, requests copies of the record, a program shall make such copies available free of charge for the first 25 pages and not more than 25 cents per page thereafter.
  - 17.3. If a minor age 12 or older is treated for drug abuse without parental consent as authorized by RSA 318:B12-a, the following shall apply:
    - 17.3.1. The minor's signature alone shall authorize a disclosure; and
    - 17.3.2. Any disclosure to the minor's parents or guardians shall require a signed authorization to release.
- 18. Client Grievances
  - 18.1. Clients shall have the right to complain about any matter, including any alleged violation of a right afforded by these rules or by any state or federal law or rule.
  - 18.2. Any person shall have the right to complain or bring a grievance on behalf of an individual client or a group of clients.
  - 18.3. The rules governing procedures for protection of client rights found at He-C 200 shall apply to such complaints and grievances.
- 19. Treatment Rights.
  - 19.1. Each client shall have the right to adequate and humane treatment, including:
    - 19.1.1. The right of access to treatment including:
      - 19.1.1.1. The right to evaluation to determine an applicant's need for services and to determine which programs are most suited to provide the services needed;
      - 19.1.1.2. The right to provision of necessary services when those services are available, subject to the admission and eligibility policies and standards of each program; and
    - 19.1.2. The right to quality treatment including:
      - 19.1.2.1. Services provided in keeping with evidence-based clinical and professional standards applicable to the persons and programs providing the treatment and to the conditions for which the client is being treated;
    - 19.1.3. The right to receive services in such a manner as to promote the client's full participation in the community;
    - 19.1.4. The right to receive all services or treatment to which a person is entitled in accordance with the time frame set forth in the client's individual treatment plan;
    - 19.1.5. The right to an individual treatment plan developed, reviewed and revised in accordance with Sections 10.1 - 10.5 above which addresses the client's own goals;
    - 19.1.6. The right to receive treatment and services contained in an individual treatment plan designed to provide opportunities for the client to participate in meaningful activities in the communities in which the client lives and works;



**Exhibit A-1 Operational Requirements**

- 19.1.7. The right to service and treatment in the least restrictive alternative or environment necessary to achieve the purposes of treatment including programs which least restrict:
  - 19.1.7.1. Freedom of movement; and
  - 19.1.7.2. Participation in the community, while providing the level of support needed by the client;
- 19.1.8. The right to be informed of all significant risks, benefits, side effects and alternative treatment and services and to give consent to any treatment, placement or referral following an informed decision such that:
  - 19.1.8.1. Whenever possible, the consent shall be given in writing; and
  - 19.1.8.2. In all other cases, evidence of consent shall be documented by the program and shall be witnessed by at least one person;
- 19.1.9. The right to refuse to participate in any form of experimental treatment or research;
- 19.1.10. The right to be fully informed of one's own diagnosis and prognosis;
- 19.1.11. The right to voluntary placement including the right to:
  - 19.1.11.1. Seek changes in placement, services or treatment at any time; and
  - 19.1.11.2. Withdraw from any form of voluntary treatment or from the service delivery system;
- 19.1.12. The right to services which promote independence including services directed toward:
  - 19.1.12.1. Eliminating, or reducing as much as possible, the client's needs for continued services and treatment; and
  - 19.1.12.2. Promoting the ability of the clients to function at their highest capacity and as independently as possible;
- 19.1.13. The right to refuse medication and treatment;
- 19.1.14. The right to referral for medical care and treatment including, if needed, assistance in finding such care in a timely manner;
- 19.1.15. The right to consultation and second opinion including:
  - 19.1.15.1. At the client's own expense, the consultative services of:
    - 19.1.15.1.1. Private physicians;
    - 19.1.15.1.2. Psychologists;
    - 19.1.15.1.3. Licensed drug and alcohol counselors; and
    - 19.1.15.1.4. Other health practitioners; and
  - 19.1.15.2. Granting to such health practitioners reasonable access to the client, as required by Section 19.1.15, in programs and allowing such practitioners to make recommendations to programs regarding the services and treatment provided by the programs;
- 19.1.16. The right, upon request, to have one or more of the following present at any treatment meeting requiring client participation and informed decision-making:
  - 19.1.16.1. Guardian;
  - 19.1.16.2. Representative;
  - 19.1.16.3. Attorney;
  - 19.1.16.4. Family member;
  - 19.1.16.5. Advocate; or
  - 19.1.16.6. Consultant; and



**Exhibit A-1 Operational Requirements**

- 19.1.17. The right to freedom from restraint including the right to be free from seclusion and physical, mechanical or pharmacological restraint.
- 19.2. No treatment professional shall be required to administer treatment contrary to such professional's clinical judgment.
- 19.3. Programs shall, whenever possible, maximize the decision-making authority of the client.
- 19.4. In furtherance of Section 19.3 above, the following provisions shall apply to clients for whom a guardian has been appointed by a court of competent jurisdiction:
  - 19.4.1. The program shall ensure that in the course of service provision, the guardian and all persons involved in the provision of service are made aware of the client's views, preferences and aspirations;
  - 19.4.2. A guardian shall only make decisions that are within the scope of the powers set forth in the guardianship order issued by the court;
  - 19.4.3. The program shall request a copy of the guardianship order from the guardian and the order shall be kept in the client's record at the program;
  - 19.4.4. If any issues arise relative to the provision of services and supports which are outside the scope of the guardian's decision-making authority as set forth in the guardianship order, the client's choice and preference relative to those issues shall prevail unless the guardian's authority is expanded by the court to include those issues;
  - 19.4.5. A program shall take such steps as are necessary to prevent a guardian from exceeding the decision-making authority granted by the court including:
    - 19.4.5.1. Reviewing with the guardian the limits on his or her decision-making authority; and
    - 19.4.5.2. If necessary, bringing the matter to the attention of the court that appointed the guardian;
  - 19.4.6. The guardian shall act in a manner that furthers the best interests of the client;
  - 19.4.7. In acting in the best interests of the client, the guardian shall take into consideration the views, preferences and aspirations of the client;
  - 19.4.8. The program shall take such steps as are necessary to prevent a guardian from acting in a manner that does not further the best interests of the client and, if necessary, bring the matter to the attention of the court that appointed the guardian; and
  - 19.4.9. In the event that there is a dispute between the program and the guardian, the program shall inform the guardian of his or her right to bring the dispute to the attention of the probate court that appointed the guardian.
- 20. Termination of Services.
  - 20.1. A client shall be terminated from a Contractor's service if the client:
    - 20.1.1. Endangers or threatens to endanger other clients or staff, or engages in illegal activity on the property of the program;
    - 20.1.2. Is no longer benefiting from the service(s) he or she is receiving;
    - 20.1.3. Cannot agree with the program on a mutually acceptable course of treatment;
    - 20.1.4. Refuses to pay for the services that he or she is receiving despite having the financial resources to do so; or
    - 20.1.5. Refuses to apply for benefits that could cover the cost of the services that he or she is receiving despite the fact that the client is or might be eligible for such benefits.



**Exhibit A-1 Operational Requirements**

- 20.2. A termination from a Contractor's services shall not occur unless the program has given both written and verbal notice to the client and client's guardian, if any, that:
  - 20.2.1. Give the effective date of termination;
  - 20.2.2. List the clinical or management reasons for termination; and
  - 20.2.3. Explain the rights to appeal and the appeal process pursuant to He-C 200.
- 20.3. A Contractor shall document in the record of a client who has been terminated that:
  - 20.3.1. The client has been notified of the termination; and
  - 20.3.2. The termination has been approved by the program director.
- 21. Client Rights in Residential Programs.
  - 21.1. In addition to the foregoing rights, clients of residential programs shall also have the following rights:
    - 21.1.1. The right to a safe, sanitary and humane living environment;
    - 21.1.2. The right to privately communicate with others, including:
      - 21.1.2.1. The right to send and receive unopened and uncensored correspondence;
      - 21.1.2.2. The right to have reasonable access to telephones and to be allowed to make and to receive reasonable numbers of telephone calls except that residential programs may require a client to reimburse them for the cost of any calls made by the client;
      - 21.1.2.3. The right to receive and to refuse to receive visitors except that residential programs may impose reasonable restrictions on the number and time of visits in order to ensure effective provision of services; and
    - 21.1.3. The right to engage in social and recreational activities including the provision of regular opportunities for clients to engage in such activities;
    - 21.1.4. The right to privacy, including the following:
      - 21.1.4.1. The right to courtesies such as knocking on closed doors before entering and ensuring privacy for telephone calls and visits;
      - 21.1.4.2. The right to opportunities for personal interaction in a private setting except that any conduct or activity which is illegal shall be prohibited; and
      - 21.1.4.3. The right to be free from searches of their persons and possessions except in accordance with applicable constitutional and legal standards;
    - 21.1.5. The right to individual choice, including the following:
      - 21.1.5.1. The right to keep and wear their own clothes;
      - 21.1.5.2. The right to space for personal possessions;
      - 21.1.5.3. The right to keep and to read materials of their own choosing;
      - 21.1.5.4. The right to keep and spend their own money; and
      - 21.1.5.5. The right not to work and to be compensated for any work performed, except that:
        - 21.1.5.5.1. Clients may be required to perform personal housekeeping tasks within the client's own immediate living area and equitably share housekeeping tasks within the common areas of the residence, without compensation; and
        - 21.1.5.5.2. Clients may perform vocational learning tasks or work required for the operation or maintenance of a residential program, if the work is consistent with their individual treatment plans and the client is compensated for work performed; and
    - 21.1.6. The right to be reimbursed for the loss of any money held in safekeeping by the residence.



**Exhibit A-1 Operational Requirements**

- 21.2. Nothing in Section 21 shall prevent a residence from having policies governing the behavior of the residents.
- 21.3. Clients shall be informed of any house policies upon admission to the residence.
- 21.4. House policies shall be posted and such policies shall be in conformity with this section.
- 21.5. House policies shall be periodically reviewed for compliance with this section in connection with quality assurance site visits.
- 21.6. Notwithstanding Section 21.1.4.3 above, Contractors may develop policies and procedures that allow searches for alcohol and illicit drugs be conducted:
  - 21.6.1. Upon the client's admission to the program; and
  - 21.6.2. If probable cause exists, including such proof as:
    - 21.6.2.1. A positive test showing presence of alcohol or illegal drugs; or
    - 21.6.2.2. Showing physical signs of intoxication or withdrawal.
- 22. State and Federal Requirements
  - 22.1. If there is any error, omission, or conflict in the requirements listed below, the applicable Federal, State, and Local regulations, rules and requirements shall control. The requirements specified below are provided herein to increase the Contractor's compliance.
  - 22.2. The Contractor agrees to the following state and/or federal requirements for Program requirements for specialty treatment for pregnant and parenting women:
    - 21.2.1. The program treats the family as a unit and, therefore, admits both women and their children into treatment, if appropriate.
    - 21.2.2. The program treats the family as a unit and, therefore, admits both women and their children into treatment, if appropriate.
    - 21.2.3. The program provides or arranges for primary medical care for women who are receiving substance abuse services, including prenatal care.
    - 21.2.4. The program provides or arranges for child care with the women are receiving services.
    - 21.2.5. The program provides or arranges for primary pediatric care for the women's children, including immunizations.
    - 21.2.6. The program provides or arranges for gender-specific substance abuse treatment and other therapeutic interventions for women that may address issues of relationships, sexual abuse, physical abuse, and parenting.
    - 21.2.7. The program provides or arranges for therapeutic interventions for children in custody of women in treatment which may, among other things, address the children's developmental needs and their issues of sexual abuse, physical abuse, and neglect.
    - 21.2.8. The program provides or arranges for sufficient case management and transportation services to ensure that the women and their children have access to the services described above.



**Exhibit A-1 Operational Requirements**

- 22.3. Arrange for means activities to assist the client in finding and engaging in a service, which may include, but is not limited to helping the client to locate an appropriate provider, referring clients to the needed service provider, setting up appointments for clients with those providers, and assisting the client with attending appointments with the service provider.
- 22.4. The Contractor agrees to the following state and federal requirements for all programs in this Contract as follows:
  - 22.4.1. Within 7 days of reaching 90% of capacity, the program notifies the state that 90% capacity has been reached.
  - 22.4.2. The program admits each individual who requests and is in need of treatment for intravenous drug abuse not later than:
    - 22.4.2.1. 14 days after making the request; or
    - 22.4.2.2. 120 days if the program has no capacity to admit the individual on the date of the request and, within 48 hours after the request, the program makes interim services available until the individual is admitted to a substance abuse treatment program
  - 22.4.3. The program offers interim services that include, at a minimum, the following:
    - 22.4.3.1. Counseling and education about HIV and Tuberculosis (TB), the risks of needle-sharing, the risks of transmission to sexual partners and infants, and steps that can be taken to ensure that HIV and TB transmission does not occur
    - 22.4.3.2. Referral for HIV or TB treatment services, if necessary
    - 22.4.3.3. Individual and/or group counseling on the effects of alcohol and other drug use on the fetus for pregnant women and referrals for prenatal care for pregnant women
  - 22.4.4. The program has established a waiting list that includes a unique patient identifier for each injecting drug abuser seeking treatment, including patients receiving interim services while awaiting admission.
  - 22.4.5. The program has a mechanism that enables it to:
    - 22.4.5.1. Maintain contact with individuals awaiting admission
    - 22.4.5.2. Admit or transfer waiting list clients at the earliest possible time to an appropriate treatment program within a service area that is reasonable to the client.
    - 22.4.5.3. The program takes clients awaiting treatment off the waiting list only when one of the following conditions exist:
      - 22.4.5.3.1. Such persons cannot be located for admission into treatment or
      - 22.4.5.3.2. Such persons refuse treatment
  - 22.4.6. The program carries out activities to encourage individuals in need of treatment services to undergo treatment by using scientifically sound outreach models such as those outlined below or, if no such models are applicable to the local situation, another approach which can reasonably be expected to be an effective outreach method.
  - 22.4.7. The program has procedures for:
    - 22.4.7.1. Selecting, training, and supervising outreach workers.



**Exhibit A-1 Operational Requirements**

- 22.4.7.2. Contacting, communicating, and following up with high-risk substance abusers, their associates, and neighborhood residents within the constraints of Federal and State confidentiality requirements.
- 22.4.7.3. Promoting awareness among injecting drug abusers about the relationship between injecting drug abuse and communicable diseases such as HIV.
- 22.4.7.4. Recommending steps that can be taken to ensure that HIV transmission does not occur.
- 22.4.8. The program directly, or through arrangements with other public or non-profit private entities, routinely makes available the following TB services to each individual receiving treatment for substance abuse:
  - 22.4.8.1. Counseling the individual with respect to TB.
  - 22.4.8.2. Testing to determine whether the individual has been infected with mycobacteria TB to determine the appropriate form of treatment for the individual.
  - 22.4.8.3. Providing for or referring the individuals infected by mycobacteria TB appropriate medical evaluation and treatment.
- 22.4.9. For clients denied admission to the program on the basis of lack of capacity, the program refers such clients to other providers of TB services.
- 22.4.10. The program has implemented the infection control procedures that are consistent with those established by the Department to prevent the transmission of TB and that address the following:
  - 22.4.10.1. Screening patients and identification of those individuals who are at high risk of becoming infected.
  - 22.4.10.2. Meeting all State reporting requirements while adhering to Federal and State confidentiality requirements, including 42 CFR part 2.
  - 22.4.10.3. Case management activities to ensure that individuals receive such services.
  - 22.4.10.4. The program reports all individuals with active TB as required by State law and in accordance with Federal and State confidentiality requirements, including 42 CFR part 2.
- 22.4.11. The program gives preference in admission to pregnant women who seek or are referred for and would benefit from Block Grant funded treatment services. Further, the program gives preference to clients in the following order:
  - 22.4.11.1. To pregnant and injecting drug users first.
  - 22.4.11.2. To other pregnant substance users second.
  - 22.4.11.3. To other injecting drug users third.
  - 22.4.11.4. To all other individuals fourth.
- 22.4.12. The program refers all pregnant women to the State when the program has insufficient capacity to provide services to any such pregnant women who seek the services of the program.
- 22.4.13. The program makes available interim services within 48 hours to pregnant women who cannot be admitted because of lack of capacity.
- 22.4.14. The program makes continuing education in treatment services available to employees who provide the services.
- 22.4.15. The program has in effect a system to protect patient records from inappropriate disclosure, and the system:



**Exhibit A-1 Operational Requirements**

- 22.4.15.1. Is in compliance with all Federal and State confidentiality requirements, including 42 CFR part 2.
- 22.4.15.2. Includes provisions for employee education on the confidentiality requirements and the fact that disciplinary action may occur upon inappropriate disclosure.
- 22.4.16. The program does not expend SAPT Block Grant funds to provide inpatient hospital substance abuse services, except in cases when each of the following conditions is met:
  - 22.4.16.1. The individual cannot be effectively treated in a community-based, non-hospital, residential program.
  - 22.4.16.2. The daily rate of payment provided to the hospital for providing the services does not exceed the comparable daily rate provided by a community-based, non-hospital, residential program.
  - 22.4.16.3. A physician makes a determination that the following conditions have been met:
    - 22.4.16.3.1. The primary diagnosis of the individual is substance abuse and the physician certifies that fact.
    - 22.4.16.3.2. The individual cannot be safely treated in a community-based, non-hospital, residential program.
    - 22.4.16.3.3. The service can be reasonably expected to improve the person's condition or level of functioning.
    - 22.4.16.3.4. The hospital-based substance abuse program follows national standards of substance abuse professional practice.
    - 22.4.16.3.5. The service is provided only to the extent that it is medically necessary (e.g., only for those days that the patient cannot be safely treated in community-based, non-hospital, residential program.)
- 22.4.17. The program does not expend Substance Abuse Prevention and Treatment (SAPT) Block Grant funds to purchase or improve land; purchase, construct, or permanently improve (other than minor remodeling) any building or other facility; or purchase major medical equipment.
- 22.4.18. The program does not expend SAPT Block Grant funds to satisfy and requirement for the expenditure of non-Federal funds as a condition for the receipt of Federal funds.
- 22.4.19. The program does not expend SAPT Block Grant funds to provide financial assistance to any entity other than a public or nonprofit private entity.
- 22.4.20. The program does not expend SAPT Block Grant funds to make payments to intended recipients of health services.
- 22.4.21. The program does not expend SAPT Block Grant funds to provide individuals with hypodermic needles or syringes.
- 22.4.22. The program does not expend SAPT Block Grant funds to provide treatment services in penal or corrections institutions of the State.



**Exhibit A-1 Operational Requirements**

- 22.4.23. The program uses the Block Grant as the "payment of last resort" for services for pregnant women and women with dependent children, TB services, and HIV services and, therefore, makes every reasonable effort to do the following:
- 22.4.23.1. Collect reimbursement for the costs of providing such services to persons entitled to insurance benefits under the Social Security Act, including programs under title XVIII and title XIX; any State compensation program, any other public assistance program for medical expenses, any grant program, any private health insurance, or any other benefit program.
  - 22.4.23.2. Secure from patients or clients payments for services in accordance with their ability to pay.
- 22.4.24. The Contractor shall comply with all relevant state and federal laws such as but not limited to:
- 22.4.24.1. The Contractor shall, upon the direction of the State, provide court-ordered evaluation and a sliding fee scale (in Exhibit B) shall apply and submission of the court-ordered evaluation and shall, upon the direction of the State, offer treatment to those individuals.
  - 22.4.24.2. The Contractor shall comply with the legal requirements governing human subject's research when considering research, including research conducted by student interns, using individuals served by this contract as subjects. Contractors must inform and receive the Department's approval prior to initiating any research involving subjects or participants related to this contract. The Department reserves the right, at its sole discretion, to reject any such human subject research requests.
  - 22.4.24.3. Contractors shall comply with the Department's Sentinel Event Reporting Policy.

*PH*  
6/4/18



Exhibit B

**Method and Conditions Precedent to Payment**

1. The State shall pay the Contractor an amount not to exceed the Price Limitation, Block 1.8, of the General Provisions, for the services provided by the Contractor pursuant to Exhibit A, Scope of Services.
2. This Agreement is funded by:
  - 2.1. New Hampshire General Funds;
  - 2.2. Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment, and Recovery Funds;
  - 2.3. Federal Funds from the United States Department of Health and Human Services, the Substance Abuse and Mental Health Services Administration, Substance Abuse Prevention and Treatment Block Grant (CFDA #93.959); and
  - 2.4. The Contractor agrees to provide the services in Exhibit A, Scope of Services in compliance with the federal funding requirements.
3. Non Reimbursement for Services
  - 3.1. The State will not reimburse the Contractor for services provided through this contract when a client has or may have an alternative payer for services described in the Exhibit A, Scope of Work, such as but not limited to:
    - 3.1.1. Services covered by any New Hampshire Medicaid programs for clients who are eligible for New Hampshire Medicaid
    - 3.1.2. Services covered by Medicare for clients who are eligible for Medicare
    - 3.1.3. Services covered by the client's private insurer(s) at a rate greater than the Contract Rate in Exhibit B-1 Service Fee Table set by the Department.
  - 3.2. Notwithstanding Section 3.1 above, the Contractor may seek reimbursement from the State for services provided under this contract when a client needs a service that is not covered by the payers listed in Section 3.1.
4. The Contractor shall bill and seek reimbursement for actual services delivered by fee for services in Exhibit B-1 Service Fee Table, unless otherwise stated.
  - 4.1. The Contractor agrees the fees for services are all-inclusive contract rates to deliver the services (except for Clinical Evaluation which is an



Exhibit B

activity that is billed for separately) and are the maximum allowable charge in calculating the amount to charge the Department for services delivered as part of this Agreement (See Section 5 below).

5. Calculating the Amount to Charge the Department Applicable to All Services in Exhibit B-1 Service Fee Table, except for Childcare (See Section 11 below).
  - 5.1. The Contractor shall:
    - 5.1.1. Directly bill and receive payment for services and/or transportation provided under this contract from public and private insurance plans, the clients, and the Department
    - 5.1.2. Assure a billing and payment system that enables expedited processing to the greatest degree possible in order to not delay a client's admittance into the program and to immediately refund any overpayments.
    - 5.1.3. Maintain an accurate accounting and records for all services billed, payments received and overpayments (if any) refunded.
  - 5.2. The Contractor shall determine and charge accordingly for services provided to an eligible client under this contract, as follows:
    - 5.2.1. First: Charge the client's private insurance up to the Contract Rate, in Exhibit B-1, when the insurers' rates meet or are lower than the Contract Rate in Exhibit B-1.
    - 5.2.2. Second: Charge the client according to Exhibit B, Section 12, Sliding Fee Scale, when the Contractor determines or anticipates that the private insurer will not remit payment for the full amount of the Contract Rate in Exhibit B-1.
    - 5.2.3. Third: If, any portion of the Contract Rate in Exhibit B-1 remains unpaid, after the Contractor charges the client's insurer (if applicable) and the client, the Contractor shall charge the Department the balance (the Contract Rate in Exhibit B-1, Service Fee Table less the amount paid by private insurer and the amount paid by the client).
  - 5.3. The Contractor agrees the amount charged to the client shall not exceed the Contract Rate in Exhibit B-1, Service Fee Table multiplied by the corresponding percentage stated in Exhibit B, Section 12 Sliding Fee Scale for the client's applicable income level.

*PK*  
6/11/18



Exhibit B

- 5.4. The Contractor will assist clients who are unable to secure financial resources necessary for initial entry into the program by developing payment plans.
  - 5.5. The Contractor shall not deny, delay or discontinue services for enrolled clients who do not pay their fees in Section 5.2.2 above, until after working with the client as in Section 5.4 above, and only when the client fails to pay their fees within thirty (30) days after being informed in writing and counseled regarding financial responsibility and possible sanctions including discharge from treatment.
  - 5.6. The Contractor will provide to clients, upon request, copies of their financial accounts.
  - 5.7. The Contractor shall not charge the combination of the public or private insurer, the client and the Department an amount greater than the Contract Rate in Exhibit B-1, except for:
    - 5.7.1. Transitional Living (See Section 7 below) and
    - 5.7.2. Low-Intensity Residential Treatment as defined as ASAM Criteria, Level 3.1 (See Section 7 below).
  - 5.8. In the event of an overpayment (wherein the combination of all payments received by the Contractor for a given service (except in Exhibit B, Section 5.7.1 and 5.7.2) exceeds the Contract Rate stated in Exhibit B-1, Service Fee Table, the Contractor shall refund the parties in the reverse order, unless the overpayment was due to insurer, client or Departmental error.
  - 5.9. In instances of payer error, the Contractor shall refund the party who erred, and adjust the charges to the other parties, according to a correct application of the Sliding Fee Schedule.
  - 5.10. In the event of overpayment as a result of billing the Department under this contract when a third party payer would have covered the service, the Contractor must repay the state in an amount and within a timeframe agreed upon between the Contractor and the Department upon identifying the error.
6. Additional Billing Information for: Integrated Medication Assisted Treatment (MAT)
- 6.1. The Contractor shall invoice the Department for Integrated Medication Assisted Treatment Services for Medication and Physician Time as in Section 5 above and as follows:

*PK*  
*6/4/18*



Exhibit B

6.2. Medication:

6.2.1. The Contractor shall seek reimbursement for the Medication Assisted Treatment medication based on the Contractor's usual and customary charges according to Revised Statutes Annotated (RSA) 126-A:3 III. (b), except for Section 6.2.2 below.

6.2.2. The Contractor will be reimbursed for Medication Assisted Treatment with Methadone or Buprenorphine in a certified Opiate Treatment Program (OTP) per New Hampshire Administrative Rule He-A 304 as follows:

6.2.2.1. The Contractor shall seek reimbursement for Methadone or Buprenorphine based on the Medicaid rate, up to 7 days per week. The code for Methadone in an OTP is H0020, and the code for buprenorphine in an OTP is H0033.

6.2.3. The Contractor shall seek reimbursement for up to 3 doses per client per day.

6.2.4. The Contractor shall maintain documentation of the following:

6.2.4.1. WITS Client ID #;

6.2.4.2. Period for which prescription is intended;

6.2.4.3. Name and dosage of the medication;

6.2.4.4. Associated Medicaid Code;

6.2.4.5. Charge for the medication.

6.2.4.6. Client cost share for the service; and

6.2.4.7. Amount being billed to the Department for the service.

6.3. Physician Time:

6.3.1. Physician Time is the time spent by a physician or other medical professional to provide Medication Assisted Treatment Services, including but not limited to assessing the client's appropriateness for a medication, prescribing and/or administering a medication, and monitoring the client's response to a medication.

*[Handwritten Signature]*  
*[Handwritten Date: 6/14/18]*



Exhibit B

- 
- 6.3.2. The Contractor shall seek reimbursement according to Exhibit B-1 Service Fee Table.
- 6.3.3. The Contractor shall maintain documentation of the following:
- 6.3.3.1. WITS Client ID #;
  - 6.3.3.2. Date of Service;
  - 6.3.3.3. Description of service;
  - 6.3.3.4. Associated Medicaid Code;
  - 6.3.3.5. Charge for the service;
  - 6.3.3.6. Client cost share for the service; and
  - 6.3.3.7. Amount being billed to the Department for the service.
- 6.4. The Contractor will submit an invoice by the twentieth (20<sup>th</sup>) day of each month, which identifies and requests reimbursement for authorized expenses incurred for medication assisted treatment in the prior month. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement. Invoices must be submitted utilizing the WITS system.
7. Charging the Client for Room and Board for Transitional Living Services and for Low-Intensity Residential Treatment
- 7.1. The Contractor may charge the client fees for room and board, in addition to:
- 7.1.1. The client's portion of the Contract Rate in Exhibit B-1 using the sliding fee scale
  - 7.1.2. The charges to the Department
- 7.2. The Contractor may charge the client for Room and Board, inclusive of lodging and meals offered by the program according to the Table A below:

*PPK*  
*12/4/16*



Exhibit B

| If the percentage of Client's income of the Federal Poverty Level (FPL) is: | Then the Contractor may charge the client up to the following amount for room and board per week: |
|---|---|
| 0% - 138%   | \$0   |
| 139% - 149%   | \$8   |
| 150% - 199%   | \$12  |
| 200% - 249%   | \$25  |
| 250% - 299%   | \$40  |
| 300% - 349%   | \$57  |
| 350% - 399%   | \$77  |

- 7.3. The Contractor shall hold 50% of the amount charged to the client that will be returned to the client at the time of discharge.
- 7.4. The Contractor shall maintain records to account for the client's contribution to room and board.
8. Charging for Clinical Services under Transitional Living
- 8.1. The Contractor shall charge for clinical services separately from this contract to the client's other third party payers such as Medicaid, NHHPP, Medicare, and private insurance. The Contractor shall not charge the client according to the sliding fee scale.
- 8.2. Notwithstanding Section 8.1. above, the Contractor may charge in accordance with Sections 5.2.2 and 5.2.3 above for clinical services under this contract only when the client does not have any other payer source other than this contract.
9. Additional Billing Information: Intensive Case Management Services:
- 9.1. The Contractor shall charge in accordance with Section 5 above for intensive case management under this contract only for clients who have been admitted to programs in accordance to Exhibit A, Scope of Services and after billing other public and private insurance.
- 9.2. The Department will not pay for Intensive case management provided to a client prior to admission.
- 9.3. The Contractor will bill for intensive case management only when the service is authorized by the Department.

*PK*  
Date *6/14/18*



Exhibit B

10. Additional Billing Information: Transportation

10.1. The Contractor will seek reimbursement in accordance with Section 5 above and upon prior approval of the Department for Transportation provided in Exhibit A Scope of Services Section 2.4.2.2 as follows:

10.1.1. At Department's standard per mile rate plus an hourly rate in accordance with Exhibit B-1 Service Fee Table for Contractor's staff driving time, when using the Contractor's own vehicle for transporting clients to and from services required by the client's treatment plan. If the Contractor's staff works less than a full hour, then the hourly rate will be prorated at fifteen (15) minute intervals for actual work completed; or.

10.1.2. At the actual cost to purchase transportation passes or to pay for cab fare, in order for the client to receive transportation to and from services required by the client's treatment plan.

10.2. The Contractor shall keep and maintain records and receipts to support the cost of transportation and provide said records and receipts to the Department upon request.

10.3. The Contractor will invoice the Department according to Department Instructions.

11. Charging for Child Care

11.1. The Contractor shall seek reimbursement upon prior approval of the Department for Childcare provided in Exhibit A Scope of Services, Section 2.4.2.3 as follows:

11.1.1. At the hourly rate in Exhibit B-1 Service Fee Table for when the Contractor's staff provides child care while the client is receiving treatment or recovery support services, or

11.1.2. At the actual cost to purchase childcare from a licensed child care provider.

11.2. The Contractor shall keep and maintain records and receipts to support the cost of childcare and provide these to the Department upon request.

11.3. The Contractor will invoice the Department according to Department Instructions.

PA

1/4/18



Exhibit B

12. Sliding Fee Scale

12.1. The Contractor shall apply the sliding fee scale in accordance with Exhibit B Section 5 above.

12.2. The Contractor shall adhere to the sliding fee scale as follows:

| Percentage of Client's Income of the Federal Poverty Level (FPL) | Percentage of Contract Rate in Exhibit B-1 to Charge the Client |
|--|---|
| 0%-138%  | 0%  |
| 139% - 149%  | 8%  |
| 150% - 199%  | 12%   |
| 200% - 249%  | 25%   |
| 250% - 299%  | 40%   |
| 300% - 349%  | 57%   |
| 350% - 399%  | 77%   |

12.3. The Contractor shall not deny a minor child (under the age of 18) services because of the parent's unwillingness to pay the fee or the minor child's decision to receive confidential services pursuant to RSA 318-B:12-a.

13. Submitting Charges for Payment

13.1. The Contractor shall submit billing through the Website Information Technology System (WITS) for services listed in Exhibit B-1 Service Fee Table. The Contractor shall:

13.1.1. Enter encounter note(s) into WITS no later than three (3) days after the date the service was provided to the client

13.1.2. Review the encounter notes no later than twenty (20) days following the last day of the billing month, and notify the Department that encounter notes are ready for review.

13.1.3. Correct errors, if any, in the encounter notes as identified by the Department no later than seven (7) days after being notified of the errors and notify the Department the notes have been corrected and are ready for review.

13.1.4. Batch and transmit the encounter notes upon Department approval for the billing month.

13.1.5. Submit separate batches for each billing month.

*PK*

6/1/18



Exhibit B

- 13.2. The Contractor agrees that billing submitted for review after sixty (60) days of the last day of the billing month may be subject to non-payment.
- 13.3. To the extent possible, the Contractor shall bill for services provided under this contract through WITS. For any services that are unable to be billed through WITS, the contractor shall work with the Department to develop an alternative process for submitting invoices.
14. Funds in this contract may not be used to replace funding for a program already funded from another source.
15. The Contractor will keep detailed records of their activities related to Department funded programs and services.
16. Notwithstanding anything to the contrary herein, the Contractor agrees that funding under this agreement may be withheld, in whole or in part, in the event of non-compliance with any Federal or State law, rule or regulation applicable to the services provided, or if the said services or products have not been satisfactorily completed in accordance with the terms and conditions of this agreement.
17. Contractor will have forty-five (45) days from the end of the contract period to submit to the Department final invoices for payment. Any adjustments made to a prior invoice will need to be accompanied by supporting documentation.
18. Limitations and restrictions of federal Substance Abuse Prevention and Treatment (SAPT) Block Grant funds:
  - 18.1. The Contractor agrees to use the SAPT funds as the payment of last resort.
  - 18.2. The Contractor agrees to the following funding restrictions on SAPT Block Grant expenditures to:
    - 18.2.1. Make cash payments to intended recipients of substance abuse services.
    - 18.2.2. Expend more than the amount of Block Grant funds expended in Federal Fiscal Year 1991 for treatment services provided in penal or correctional institutions of the State.
    - 18.2.3. Use any federal funds provided under this contract for the purpose of conducting testing for the etiologic agent for Human Immunodeficiency Virus (HIV) unless such testing is accompanied by appropriate pre and post-test counseling.
    - 18.2.4. Use any federal funds provided under this contract for the purpose of conducting any form of needle exchange, free

*[Handwritten Signature]*  
*[Handwritten Date: 6/4/16]*



Exhibit B

needle programs or the distribution of bleach for the cleaning of needles for intravenous drug abusers.

- 18.3. The Contractor agrees to the Charitable Choice federal statutory provisions as follows:

Federal Charitable Choice statutory provisions ensure that religious organizations are able to equally compete for Federal substance abuse funding administered by SAMHSA, without impairing the religious character of such organizations and without diminishing the religious freedom of SAMHSA beneficiaries (see 42 USC 300x-65 and 42 CFR Part 54 and Part 54a, 45 CFR Part 96, Charitable Choice Provisions and Regulations). Charitable Choice statutory provisions of the Public Health Service Act enacted by Congress in 2000 are applicable to the SAPT Block Grant program. No funds provided directly from SAMHSA or the relevant State or local government to organizations participating in applicable programs may be expended for inherently religious activities, such as worship, religious instruction, or proselytization. If an organization conducts such activities, it must offer them separately, in time or location, from the programs or services for which it receives funds directly from SAMHSA or the relevant State or local government under any applicable program, and participation must be voluntary for the program beneficiaries.

*[Handwritten Signature]*  
*[Handwritten Date: 6/14/18]*



Exhibit B-1

**Service Fee Table**

1. The Contract Rates in the Table A are the maximum allowable charge used in the Methods for Charging for Services under this Contract in Exhibit B.

Table A

| Service  | Contract Rate:<br>Maximum Allowable<br>Charge | Unit  |
|--|---|---|
| Clinical Evaluation  | \$275.00                                      | Per evaluation  |
| Individual Outpatient  | \$22.00                                       | 15 min  |
| Group Outpatient   | \$6.60  | 15 min  |
| Intensive Outpatient   | \$104.00                                      | Per day: only on those days when the client attends individual and/or group counseling associated with the program.     |
| Partial Hospitalization  | \$223.00                                      | Per day: and only on those days when the client attends individual and/or group counseling associated with the program. |
| Transitional Living for room and board only  | \$75.00                                       | Per day   |
| Low-Intensity Residential for Adults only for clinical services and room and board                                   | \$119.00                                      | Per day   |
| High-Intensity Residential Adult, (excluding Pregnant and Parenting Women), for clinical services and room and board | \$154.00                                      | Per day   |
| High-Intensity Residential only for Pregnant and Parenting Women: Room and Board only                                | \$75.00                                       | Per Day   |
| High-Intensity Residential only for Pregnant and Parenting Women: Clinical services only                             | \$180.00                                      | Per Day   |

New Hampshire Department of Health and Human Services  
 Substance Use Disorder Treatment and Recovery Support Services



Exhibit B-1

| Service   | Contract Rate:<br>Maximum Allowable<br>Charge                              | Unit   |
|---|--|--|
| Integrated Medication Assisted Treatment - Physician Time   | Rate Per Medical Physician Billing Codes: 99201 - 99205 and 99211 - 99215. | Unit Per Medical Physician Billing Codes: 99201 - 99205 and 99211 - 99215. |
| Integrated Medication Assisted Treatment - Medication   | See Exhibit B, Section 6.2   | See Exhibit B, Section 6.2   |
| Ambulatory Withdrawal Management without Extended On-Site Monitoring (ASAM Level 1-WM)                                      | \$104.00   | Per day  |
| Medically Monitored Inpatient Withdrawal Management (ASAM Level 3.7 WM)   | \$215.00   | Per day  |
| Individual Intensive Case Management  | \$16.50  | 15 min   |
| Group Intensive Case Management   | \$5.50   | 15 min   |
| Staff Time for Child Care Provided by the Contractor, only for children of Pregnant and Parenting Women                     | Actual staff time up to \$20.00  | Hour   |
| Child Care Provided by a Child Care Provider (other than the Contractor), only for children of Pregnant and Parenting Women | Actual cost to purchase Child Care   | According to the Child Care Provider                                       |
| Staff Time for Transportation Provided by the Contractor, only for Pregnant and Parenting Women                             | Actual staff time up to \$5.00   | Per 15 minutes   |
| Mileage Reimbursement for use of the Contractor's Vehicle when providing Transportation for Pregnant and Parenting Women    | Department's standard per mile reimbursement rate                          | Per Mile   |
| Transportation provided by a Transportation Provider (other than the Contractor) only to Pregnant and Parenting Women       | Actual cost to purchase Transportation                                     | According to the Transportation Provider                                   |



SPECIAL PROVISIONS

Contractors Obligations: The Contractor covenants and agrees that all funds received by the Contractor under the Contract shall be used only as payment to the Contractor for services provided to eligible individuals and, in the furtherance of the aforesaid covenants, the Contractor hereby covenants and agrees as follows:

1. **Compliance with Federal and State Laws:** If the Contractor is permitted to determine the eligibility of individuals such eligibility determination shall be made in accordance with applicable federal and state laws, regulations, orders, guidelines, policies and procedures.
2. **Time and Manner of Determination:** Eligibility determinations shall be made on forms provided by the Department for that purpose and shall be made and remade at such times as are prescribed by the Department.
3. **Documentation:** In addition to the determination forms required by the Department, the Contractor shall maintain a data file on each recipient of services hereunder, which file shall include all information necessary to support an eligibility determination and such other information as the Department requests. The Contractor shall furnish the Department with all forms and documentation regarding eligibility determinations that the Department may request or require.
4. **Fair Hearings:** The Contractor understands that all applicants for services hereunder, as well as individuals declared ineligible have a right to a fair hearing regarding that determination. The Contractor hereby covenants and agrees that all applicants for services shall be permitted to fill out an application form and that each applicant or re-applicant shall be informed of his/her right to a fair hearing in accordance with Department regulations.
5. **Gratuities or Kickbacks:** The Contractor agrees that it is a breach of this Contract to accept or make a payment, gratuity or offer of employment on behalf of the Contractor, any Sub-Contractor or the State in order to influence the performance of the Scope of Work detailed in Exhibit A of this Contract. The State may terminate this Contract and any sub-contract or sub-agreement if it is determined that payments, gratuities or offers of employment of any kind were offered or received by any officials, officers, employees or agents of the Contractor or Sub-Contractor.
6. **Retroactive Payments:** Notwithstanding anything to the contrary contained in the Contract or in any other document, contract or understanding, it is expressly understood and agreed by the parties hereto, that no payments will be made hereunder to reimburse the Contractor for costs incurred for any purpose or for any services provided to any individual prior to the Effective Date of the Contract and no payments shall be made for expenses incurred by the Contractor for any services provided prior to the date on which the individual applies for services or (except as otherwise provided by the federal regulations) prior to a determination that the individual is eligible for such services.
7. **Conditions of Purchase:** Notwithstanding anything to the contrary contained in the Contract, nothing herein contained shall be deemed to obligate or require the Department to purchase services hereunder at a rate which reimburses the Contractor in excess of the Contractor's costs, at a rate which exceeds the amounts reasonable and necessary to assure the quality of such service, or at a rate which exceeds the rate charged by the Contractor to ineligible individuals or other third party funders for such service. If at any time during the term of this Contract or after receipt of the Final Expenditure Report hereunder, the Department shall determine that the Contractor has used payments hereunder to reimburse items of expense other than such costs, or has received payment in excess of such costs or in excess of such rates charged by the Contractor to ineligible individuals or other third party funders, the Department may elect to:
  - 7.1. Renegotiate the rates for payment hereunder, in which event new rates shall be established;
  - 7.2. Deduct from any future payment to the Contractor the amount of any prior reimbursement in excess of costs;

Exhibit C - Special Provisions

Contractor initials 

Date 6/14/18



- 7.3. Demand repayment of the excess payment by the Contractor in which event failure to make such repayment shall constitute an Event of Default hereunder. When the Contractor is permitted to determine the eligibility of individuals for services, the Contractor agrees to reimburse the Department for all funds paid by the Department to the Contractor for services provided to any individual who is found by the Department to be ineligible for such services at any time during the period of retention of records established herein.

**RECORDS: MAINTENANCE, RETENTION, AUDIT, DISCLOSURE AND CONFIDENTIALITY:**

8. Maintenance of Records: In addition to the eligibility records specified above, the Contractor covenants and agrees to maintain the following records during the Contract Period:
- 8.1. Fiscal Records: books, records, documents and other data evidencing and reflecting all costs and other expenses incurred by the Contractor in the performance of the Contract, and all income received or collected by the Contractor during the Contract Period, said records to be maintained in accordance with accounting procedures and practices which sufficiently and properly reflect all such costs and expenses, and which are acceptable to the Department, and to include, without limitation, all ledgers, books, records, and original evidence of costs such as purchase requisitions and orders, vouchers, requisitions for materials, inventories, valuations of in-kind contributions, labor time cards, payrolls, and other records requested or required by the Department.
  - 8.2. Statistical Records: Statistical, enrollment, attendance or visit records for each recipient of services during the Contract Period, which records shall include all records of application and eligibility (including all forms required to determine eligibility for each such recipient), records regarding the provision of services and all invoices submitted to the Department to obtain payment for such services.
  - 8.3. Medical Records: Where appropriate and as prescribed by the Department regulations, the Contractor shall retain medical records on each patient/recipient of services.
9. Audit: Contractor shall submit an annual audit to the Department within 60 days after the close of the agency fiscal year. It is recommended that the report be prepared in accordance with the provision of Office of Management and Budget Circular A-133, "Audits of States, Local Governments, and Non Profit Organizations" and the provisions of Standards for Audit of Governmental Organizations, Programs, Activities and Functions, issued by the US General Accounting Office (GAO standards) as they pertain to financial compliance audits.
- 9.1. Audit and Review: During the term of this Contract and the period for retention hereunder, the Department, the United States Department of Health and Human Services, and any of their designated representatives shall have access to all reports and records maintained pursuant to the Contract for purposes of audit, examination, excerpts and transcripts.
  - 9.2. Audit Liabilities: In addition to and not in any way in limitation of obligations of the Contract, it is understood and agreed by the Contractor that the Contractor shall be held liable for any state or federal audit exceptions and shall return to the Department, all payments made under the Contract to which exception has been taken or which have been disallowed because of such an exception.
10. Confidentiality of Records: All information, reports, and records maintained hereunder or collected in connection with the performance of the services and the Contract shall be confidential and shall not be disclosed by the Contractor, provided however, that pursuant to state laws and the regulations of the Department regarding the use and disclosure of such information, disclosure may be made to public officials requiring such information in connection with their official duties and for purposes directly connected to the administration of the services and the Contract; and provided further, that the use or disclosure by any party of any information concerning a recipient for any purpose not directly connected with the administration of the Department or the Contractor's responsibilities with respect to purchased services hereunder is prohibited except on written consent of the recipient, his attorney or guardian.



Notwithstanding anything to the contrary contained herein the covenants and conditions contained in the Paragraph shall survive the termination of the Contract for any reason whatsoever.

11. **Reports: Fiscal and Statistical:** The Contractor agrees to submit the following reports at the following times if requested by the Department.
  - 11.1. **Interim Financial Reports:** Written-interim financial reports containing a detailed description of all costs and non-allowable expenses incurred by the Contractor to the date of the report and containing such other information as shall be deemed satisfactory by the Department to justify the rate of payment hereunder. Such Financial Reports shall be submitted on the form designated by the Department or deemed satisfactory by the Department.
  - 11.2. **Final Report:** A final report shall be submitted within thirty (30) days after the end of the term of this Contract. The Final Report shall be in a form satisfactory to the Department and shall contain a summary statement of progress toward goals and objectives stated in the Proposal and other information required by the Department.
12. **Completion of Services: Disallowance of Costs:** Upon the purchase by the Department of the maximum number of units provided for in the Contract and upon payment of the price limitation hereunder, the Contract and all the obligations of the parties hereunder (except such obligations as, by the terms of the Contract are to be performed after the end of the term of this Contract and/or survive the termination of the Contract) shall terminate, provided however, that if, upon review of the Final Expenditure Report the Department shall disallow any expenses claimed by the Contractor as costs hereunder the Department shall retain the right, at its discretion, to deduct the amount of such expenses as are disallowed or to recover such sums from the Contractor.
13. **Credits:** All documents, notices, press releases, research reports and other materials prepared during or resulting from the performance of the services of the Contract shall include the following statement:
  - 13.1. The preparation of this (report, document etc.) was financed under a Contract with the State of New Hampshire, Department of Health and Human Services, with funds provided in part by the State of New Hampshire and/or such other funding sources as were available or required, e.g., the United States Department of Health and Human Services.
14. **Prior Approval and Copyright Ownership:** All materials (written, video, audio) produced or purchased under the contract shall have prior approval from DHHS before printing, production, distribution or use. The DHHS will retain copyright ownership for any and all original materials produced, including, but not limited to, brochures, resource directories, protocols or guidelines, posters, or reports. Contractor shall not reproduce any materials produced under the contract without prior written approval from DHHS.
15. **Operation of Facilities: Compliance with Laws and Regulations:** In the operation of any facilities for providing services, the Contractor shall comply with all laws, orders and regulations of federal, state, county and municipal authorities and with any direction of any Public Officer or officers pursuant to laws which shall impose an order or duty upon the contractor with respect to the operation of the facility or the provision of the services at such facility. If any governmental license or permit shall be required for the operation of the said facility or the performance of the said services, the Contractor will procure said license or permit, and will at all times comply with the terms and conditions of each such license or permit. In connection with the foregoing requirements, the Contractor hereby covenants and agrees that, during the term of this Contract the facilities shall comply with all rules, orders, regulations, and requirements of the State Office of the Fire Marshal and the local fire protection agency, and shall be in conformance with local building and zoning codes, by-laws and regulations.
16. **Equal Employment Opportunity Plan (EEO):** The Contractor will provide an Equal Employment Opportunity Plan (EEO) to the Office for Civil Rights, Office of Justice Programs (OCR), if it has received a single award of \$500,000 or more. If the recipient receives \$25,000 or more and has 50 or

*[Handwritten Signature]*  
6/4/18



more employees. It will maintain a current EEO on file and submit an EEO Certification Form to the OCR, certifying that its EEO is on file. For recipients receiving less than \$25,000, or public grantees with fewer than 50 employees, regardless of the amount of the award, the recipient will provide an EEO Certification Form to the OCR certifying it is not required to submit or maintain an EEO. Non-profit organizations, Indian Tribes, and medical and educational institutions are exempt from the EEO requirement, but are required to submit a certification form to the OCR to claim the exemption. EEO Certification Forms are available at: <http://www.ojp.usdoj/about/ocr/pdfs/cert.pdf>.

17. **Limited English Proficiency (LEP):** As clarified by Executive Order 13166, Improving Access to Services for persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with the Omnibus Crime Control and Safe Streets Act of 1968 and Title VI of the Civil Rights Act of 1964, Contractors must take reasonable steps to ensure that LEP persons have meaningful access to its programs.
18. **Pilot Program for Enhancement of Contractor Employee Whistleblower Protections:** The following shall apply to all contracts that exceed the Simplified Acquisition Threshold as defined in 48 CFR 2.101 (currently, \$150,000)

**CONTRACTOR EMPLOYEE WHISTLEBLOWER RIGHTS AND REQUIREMENT TO INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS (SEP 2013)**

(a) This contract and employees working on this contract will be subject to the whistleblower rights and remedies in the pilot program on Contractor employee whistleblower protections established at 41 U.S.C. 4712 by section 828 of the National Defense Authorization Act for Fiscal Year 2013 (Pub. L. 112-239) and FAR 3.908.

(b) The Contractor shall inform its employees in writing, in the predominant language of the workforce, of employee whistleblower rights and protections under 41 U.S.C. 4712, as described in section 3.908 of the Federal Acquisition Regulation.

(c) The Contractor shall insert the substance of this clause, including this paragraph (c), in all subcontracts over the simplified acquisition threshold.

19. **Subcontractors:** DHHS recognizes that the Contractor may choose to use subcontractors with greater expertise to perform certain health care services or functions for efficiency or convenience, but the Contractor shall retain the responsibility and accountability for the function(s). Prior to subcontracting, the Contractor shall evaluate the subcontractor's ability to perform the delegated function(s). This is accomplished through a written agreement that specifies activities and reporting responsibilities of the subcontractor and provides for revoking the delegation or imposing sanctions if the subcontractor's performance is not adequate. Subcontractors are subject to the same contractual conditions as the Contractor and the Contractor is responsible to ensure subcontractor compliance with those conditions.

When the Contractor delegates a function to a subcontractor, the Contractor shall do the following:

- 19.1. Evaluate the prospective subcontractor's ability to perform the activities, before delegating the function
- 19.2. Have a written agreement with the subcontractor that specifies activities and reporting responsibilities and how sanctions/revocation will be managed if the subcontractor's performance is not adequate
- 19.3. Monitor the subcontractor's performance on an ongoing basis

*[Handwritten Signature]*



- 19.4. Provide to DHHS an annual schedule identifying all subcontractors, delegated functions and responsibilities, and when the subcontractor's performance will be reviewed
- 19.5. DHHS shall, at its discretion, review and approve all subcontracts.

If the Contractor identifies deficiencies or areas for improvement are identified, the Contractor shall take corrective action.

#### DEFINITIONS

As used in the Contract, the following terms shall have the following meanings:

**COSTS:** Shall mean those direct and indirect items of expense determined by the Department to be allowable and reimbursable in accordance with cost and accounting principles established in accordance with state and federal laws, regulations, rules and orders.

**DEPARTMENT:** NH Department of Health and Human Services.

**FINANCIAL MANAGEMENT GUIDELINES:** Shall mean that section of the Contractor Manual which is entitled "Financial Management Guidelines" and which contains the regulations governing the financial activities of contractor agencies which have contracted with the State of NH to receive funds.

**PROPOSAL:** If applicable, shall mean the document submitted by the Contractor on a form or forms required by the Department and containing a description of the Services to be provided to eligible individuals by the Contractor in accordance with the terms and conditions of the Contract and setting forth the total cost and sources of revenue for each service to be provided under the Contract.

**UNIT:** For each service that the Contractor is to provide to eligible individuals hereunder, shall mean that period of time or that specified activity determined by the Department and specified in Exhibit B of the Contract.

**FEDERAL/STATE LAW:** Wherever federal or state laws, regulations, rules, orders, and policies, etc. are referred to in the Contract, the said reference shall be deemed to mean all such laws, regulations, etc. as they may be amended or revised from the time to time.

**CONTRACTOR MANUAL:** Shall mean that document prepared by the NH Department of Administrative Services containing a compilation of all regulations promulgated pursuant to the New Hampshire Administrative Procedures Act, NH RSA Ch 541-A, for the purpose of implementing State of NH and federal regulations promulgated thereunder.

**SUPPLANTING OTHER FEDERAL FUNDS:** The Contractor guarantees that funds provided under this Contract will not supplant any existing federal funds available for these services.

*[Handwritten Signature]*  
6/4/18



**REVISIONS TO STANDARD CONTRACT LANGUAGE**

**1. Revisions to Form P-37, General Provisions**

1.1. Section 4, Conditional Nature of Agreement, is replaced as follows:

**4. CONDITIONAL NATURE OF AGREEMENT.**

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including without limitation, the continuance of payments, in whole or in part, under this Agreement are contingent upon continued appropriation or availability of funds, including any subsequent changes to the appropriation or availability of funds affected by any state or federal legislative or executive action that reduces, eliminates, or otherwise modifies the appropriation or availability of funding for this Agreement and the Scope of Services provided in Exhibit A, Scope of Services, in whole or in part. In no event shall the State be liable for any payments hereunder in excess of appropriated or available funds. In the event of a reduction, termination or modification of appropriated or available funds, the State shall have the right to withhold payment until such funds become available, if ever. The State shall have the right to reduce, terminate or modify services under this Agreement immediately upon giving the Contractor notice of such reduction, termination or modification. The State shall not be required to transfer funds from any other source or account into the Account(s) identified in block 1.6 of the General Provisions, Account Number, or any other account in the event funds are reduced or unavailable.

1.2. Section 10, Termination, is amended by adding the following language:

10.1 The State may terminate the Agreement at any time for any reason, at the sole discretion of the State, 30 days after giving the Contractor written notice that the State is exercising its option to terminate the Agreement.

10.2 In the event of early termination, the Contractor shall, within 15 days of notice of early termination, develop and submit to the State a Transition Plan for services under the Agreement, including but not limited to, identifying the present and future needs of clients receiving services under the Agreement and establishes a process to meet those needs.

10.3 The Contractor shall fully cooperate with the State and shall promptly provide detailed information to support the Transition Plan including, but not limited to, any information or data requested by the State related to the termination of the Agreement and Transition Plan and shall provide ongoing communication and revisions of the Transition Plan to the State as requested.

10.4 In the event that services under the Agreement, including but not limited to clients receiving services under the Agreement are transitioned to having services delivered by another entity including contracted providers or the State, the Contractor shall provide a process for uninterrupted delivery of services in the Transition Plan.

10.5 The Contractor shall establish a method of notifying clients and other affected individuals about the transition. The Contractor shall include the proposed communications in its Transition Plan submitted to the State as described above.

**2. Revisions to Standard Exhibits**

2.1. Delete Exhibit C, Special Provisions, Section 9, Audit, and replace with:

**9. Audit**

9.1 Audit: The Contractor shall submit an annual audit to the Department within nine months after the close of the contractor's fiscal year. The audit shall be conducted in accordance with the single audit requirements found in 2 CFR Part 200, Subpart F of the Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards, when all of the following criteria apply:



- 9.1.1 Department determines the contractor is a subrecipient pursuant to 2 CFR 200.300;
- 9.1.2 Contractor is a non-federal entity pursuant to 2 CFR §200.69, which is defined as a state, local government, Indian tribe, or institution of higher education, or nonprofit organization that carries out a federal award as a recipient or subrecipient; and
- 9.1.3 Contractor expends \$750,000 or more of federal funds during the contractor's fiscal year.
- 9.2 Audit Exemption: The Contractor shall be exempt from the audit requirements of Section 9.1 if, during a single fiscal year, the contractor is not determined to be a subrecipient pursuant to 2 CFR 200.300 and cumulatively receives less than \$100,000 of total funds, regardless of source of funds, from the Department through this contract and other contracts.
- 9.3 Audit and Review: During the term of this Contract and the period for retention hereunder, the Department, the United States Department of Health and Human Services, and any of their designated representatives shall have access to all reports and records maintained pursuant to the Contract for purposes of audit, examination, excerpts and transcripts.
- 9.4 Audit Liabilities: In addition to and not in any way in limitation of obligations of the Contract, it is understood and agreed by the Contractor that the Contractor shall be held liable for any state or federal audit exceptions and shall return to the Department, all payments made under the Contract to which exception has been taken or which have been disallowed because of such an exception.

The Department has determined that Greater Nashua Council on Alcoholism is a subrecipient for the purposes of this contract. The Department reserves the right to withhold payment if the agency does not submit a completed A-133 audit within nine (9) months of the close of the Contractor's 2017 fiscal year.

**3. Renewal**

- 3.1. The Department reserves the right to extend this agreement for up to two (2) additional years, contingent upon satisfactory delivery of services, available funding, written agreement of the parties and approval of the Governor and Executive Council.



**CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS**

The Contractor identified in Section 1.3 of the General Provisions agrees to comply with the provisions of Sections 5151-5160 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D; 41 U.S.C. 701 et seq.), and further agrees to have the Contractor's representative, as identified in Sections 1.11 and 1.12 of the General Provisions execute the following Certification:

**ALTERNATIVE I - FOR GRANTEES OTHER THAN INDIVIDUALS**

**US DEPARTMENT OF HEALTH AND HUMAN SERVICES - CONTRACTORS  
US DEPARTMENT OF EDUCATION - CONTRACTORS  
US DEPARTMENT OF AGRICULTURE - CONTRACTORS**

This certification is required by the regulations implementing Sections 5151-5160 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D; 41 U.S.C. 701 et seq.). The January 31, 1989 regulations were amended and published as Part II of the May 25, 1990 Federal Register (pages 21681-21691), and require certification by grantees (and by inference, sub-grantees and sub-contractors), prior to award, that they will maintain a drug-free workplace. Section 3017.630(c) of the regulation provides that a grantee (and by inference, sub-grantees and sub-contractors) that is a State may elect to make one certification to the Department in each federal fiscal year in lieu of certificates for each grant during the federal fiscal year covered by the certification. The certificate set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. False certification or violation of the certification shall be grounds for suspension of payments, suspension or termination of grants, or government wide suspension or debarment. Contractors using this form should send it to:

Commissioner  
NH Department of Health and Human Services  
129 Pleasant Street,  
Concord, NH 03301-6505

1. The grantee certifies that it will or will continue to provide a drug-free workplace by:
  - 1.1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - 1.2. Establishing an ongoing drug-free awareness program to inform employees about
    - 1.2.1. The dangers of drug abuse in the workplace;
    - 1.2.2. The grantee's policy of maintaining a drug-free workplace;
    - 1.2.3. Any available drug counseling, rehabilitation, and employee assistance programs; and
    - 1.2.4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - 1.3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
  - 1.4. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will
    - 1.4.1. Abide by the terms of the statement; and
    - 1.4.2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
  - 1.5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 1.4.2 from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency

*[Signature]*  
6/4/88

New Hampshire Department of Health and Human Services  
Exhibit D



has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant:

- 1.6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 1.4.2, with respect to any employee who is so convicted
    - 1.6.1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
    - 1.6.2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
  - 1.7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1.1, 1.2, 1.3, 1.4, 1.5, and 1.6.
2. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant.

Place of Performance (street address, city, county, state, zip code) (list each location)

Check  if there are workplaces on file that are not identified here.

Contractor Name: Greater Nashua Council on Alcoholism

6/4/18  
Date

  
Name: Peter Kelleher  
Title: President & CEO



CERTIFICATION REGARDING LOBBYING

The Contractor identified in Section 1.3 of the General Provisions agrees to comply with the provisions of Section 319 of Public Law 101-121, Government wide Guidance for New Restrictions on Lobbying, and 31 U.S.C. 1352, and further agrees to have the Contractor's representative, as identified in Sections 1.11 and 1.12 of the General Provisions execute the following Certification:

US DEPARTMENT OF HEALTH AND HUMAN SERVICES - CONTRACTORS  
US DEPARTMENT OF EDUCATION - CONTRACTORS  
US DEPARTMENT OF AGRICULTURE - CONTRACTORS

Programs (Indicate applicable program covered):

- \*Temporary Assistance to Needy Families under Title IV-A
- \*Child Support Enforcement Program under Title IV-D
- \*Social Services Block Grant Program under Title XX
- \*Medicaid Program under Title XIX
- \*Community Services Block Grant under Title VI
- \*Child Care Development Block Grant under Title IV

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement (and by specific mention sub-grantee or sub-contractor).
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement (and by specific mention sub-grantee or sub-contractor), the undersigned shall complete and submit Standard Form LLL, (Disclosure Form to Report Lobbying, in accordance with its instructions, attached and identified as Standard Exhibit E-1.)
3. The undersigned shall require that the language of this certification be included in the award document for sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Contractor Name: Greater Nashua Council on Alcoholism

6/4/18

Date

Name: Peter Kelleher  
Title: President & CEO

Exhibit E - Certification Regarding Lobbying

Contractor Initials



**CERTIFICATION REGARDING DEBARMENT, SUSPENSION  
AND OTHER RESPONSIBILITY MATTERS**

The Contractor identified in Section 1.3 of the General Provisions agrees to comply with the provisions of Executive Office of the President, Executive Order 12549 and 45 CFR Part 76 regarding Debarment, Suspension, and Other Responsibility Matters, and further agrees to have the Contractor's representative, as identified in Sections 1.11 and 1.12 of the General Provisions execute the following Certification:

**INSTRUCTIONS FOR CERTIFICATION**

1. By signing and submitting this proposal (contract), the prospective primary participant is providing the certification set out below.

2. The ability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. If necessary, the prospective participant shall submit an explanation of why it cannot provide the certification. The certification or explanation will be considered in connection with the NH Department of Health and Human Services' (DHHS) determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when DHHS determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, DHHS may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the DHHS agency to whom this proposal (contract) is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. 45 CFR Part 76. See the attached definitions.

6. The prospective primary participant agrees by submitting this proposal (contract) that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by DHHS.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," provided by DHHS, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or involuntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List (of excluded parties).

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and



information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, DHHS may terminate this transaction for cause or default.

**PRIMARY COVERED TRANSACTIONS**

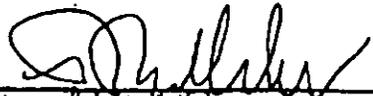
11. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- 11.1. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - 11.2. have not within a three-year period preceding this proposal (contract) been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or a contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - 11.3. are not presently indicted for otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (11)(b) of this certification; and
  - 11.4. have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
12. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal (contract).

**LOWER TIER COVERED TRANSACTIONS**

13. By signing and submitting this lower tier proposal (contract), the prospective lower tier participant, as defined in 45 CFR Part 76, certifies to the best of its knowledge and belief that it and its principals:
- 13.1. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
  - 13.2. where the prospective lower tier participant is unable to certify to any of the above, such prospective participant shall attach an explanation to this proposal (contract).
14. The prospective lower tier participant further agrees by submitting this proposal (contract) that it will include this clause entitled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

Contractor Name: Greater Nashua Council on Alcoholism

6/4/18  
Date

  
Name: Peter Kelleher  
Title: President & CEO

Contractor Initials 

Date 6/4/18



**CERTIFICATION OF COMPLIANCE WITH REQUIREMENTS PERTAINING TO  
FEDERAL NONDISCRIMINATION, EQUAL TREATMENT OF FAITH-BASED ORGANIZATIONS AND  
WHISTLEBLOWER PROTECTIONS**

The Contractor identified in Section 1.3 of the General Provisions agrees by signature of the Contractor's representative as identified in Sections 1.11 and 1.12 of the General Provisions, to execute the following certification:

Contractor will comply, and will require any subgrantees or subcontractors to comply, with any applicable federal nondiscrimination requirements, which may include:

- the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. Section 3789d) which prohibits recipients of federal funding under this statute from discriminating, either in employment practices or in the delivery of services or benefits, on the basis of race, color, religion, national origin, and sex. The Act requires certain recipients to produce an Equal Employment Opportunity Plan;
- the Juvenile Justice Delinquency Prevention Act of 2002 (42 U.S.C. Section 5672(b)) which adopts by reference, the civil rights obligations of the Safe Streets Act. Recipients of federal funding under this statute are prohibited from discriminating, either in employment practices or in the delivery of services or benefits, on the basis of race, color, religion, national origin, and sex. The Act includes Equal Employment Opportunity Plan requirements;
- the Civil Rights Act of 1964 (42 U.S.C. Section 2000d, which prohibits recipients of federal financial assistance from discriminating on the basis of race, color, or national origin in any program or activity);
- the Rehabilitation Act of 1973 (29 U.S.C. Section 794), which prohibits recipients of Federal financial assistance from discriminating on the basis of disability, in regard to employment and the delivery of services or benefits, in any program or activity;
- the Americans with Disabilities Act of 1990 (42 U.S.C. Sections 12131-34), which prohibits discrimination and ensures equal opportunity for persons with disabilities in employment, State and local government services, public accommodations, commercial facilities, and transportation;
- the Education Amendments of 1972 (20 U.S.C. Sections 1881, 1883, 1885-86), which prohibits discrimination on the basis of sex in federally assisted education programs;
- the Age Discrimination Act of 1975 (42 U.S.C. Sections 6108-07), which prohibits discrimination on the basis of age in programs or activities receiving Federal financial assistance. It does not include employment discrimination;
- 28 C.F.R. pt. 31 (U.S. Department of Justice Regulations – OJJDP Grant Programs); 28 C.F.R. pt. 42 (U.S. Department of Justice Regulations – Nondiscrimination; Equal Employment Opportunity; Policies and Procedures); Executive Order No. 13279 (equal protection of the laws for faith-based and community organizations); Executive Order No. 13559, which provide fundamental principles and policy-making criteria for partnerships with faith-based and neighborhood organizations;
- 28 C.F.R. pt. 38 (U.S. Department of Justice Regulations – Equal Treatment for Faith-Based Organizations); and Whistleblower protections 41 U.S.C. §4712 and The National Defense Authorization Act (NDAA) for Fiscal Year 2013 (Pub. L. 112-239, enacted January 2, 2013) the Pilot Program for Enhancement of Contract Employee Whistleblower Protections, which protects employees against reprisal for certain whistle blowing activities in connection with federal grants and contracts.

The certificate set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. False certification or violation of the certification shall be grounds for suspension of payments, suspension or termination of grants, or government wide suspension or debarment.

Exhibit G

Contractor Initials

Certification of Compliance with requirements pertaining to Federal Nondiscrimination, Equal Treatment of Faith-Based Organizations and Whistleblower protections

New Hampshire Department of Health and Human Services  
Exhibit G



In the event a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, or sex against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, to the applicable contracting agency or division within the Department of Health and Human Services, and to the Department of Health and Human Services Office of the Ombudsman.

The Contractor identified in Section 1.3 of the General Provisions agrees by signature of the Contractor's representative as identified in Sections 1.11 and 1.12 of the General Provisions, to execute the following certification:

1. By signing and submitting this proposal (contract) the Contractor agrees to comply with the provisions indicated above.

Contractor Name: Greater Newham Council on Alcoholism

6/4/18  
Date

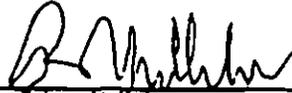
  
Name: Peter Kelleher  
Title: President & CEO

Exhibit G

Contractor Initials 

Certification of Compliance with requirements pertaining to Federal Nondiscrimination, Equal Treatment of Faith-Based Organizations and Workforce protections



CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, Part C - Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity.

The Contractor identified in Section 1.3 of the General Provisions agrees, by signature of the Contractor's representative as identified in Section 1.11 and 1.12 of the General Provisions, to execute the following certification:

1. By signing and submitting this contract, the Contractor agrees to make reasonable efforts to comply with all applicable provisions of Public Law 103-227, Part C, known as the Pro-Children Act of 1994.

Contractor Name: *Greater Nashua Council on Alcoholism*

6/4/18  
Date

  
Name: *Peter Kelleher*  
Title: *President & CEO*



Exhibit I

HEALTH INSURANCE PORTABILITY ACT  
BUSINESS ASSOCIATE AGREEMENT

The Contractor identified in Section 1.3 of the General Provisions of the Agreement agrees to comply with the Health Insurance Portability and Accountability Act, Public Law 104-191 and with the Standards for Privacy and Security of Individually Identifiable Health Information, 45 CFR Parts 160 and 164 applicable to business associates. As defined herein, "Business Associate" shall mean the Contractor and subcontractors and agents of the Contractor that receive, use or have access to protected health information under this Agreement and "Covered Entity" shall mean the State of New Hampshire, Department of Health and Human Services.

(1) Definitions.

- a. "Breach" shall have the same meaning as the term "Breach" in section 164.402 of Title 45, Code of Federal Regulations.
- b. "Business Associate" has the meaning given such term in section 160.103 of Title 45, Code of Federal Regulations.
- c. "Covered Entity" has the meaning given such term in section 160.103 of Title 45, Code of Federal Regulations.
- d. "Designated Record Set" shall have the same meaning as the term "designated record set" in 45 CFR Section 164.501.
- e. "Data Aggregation" shall have the same meaning as the term "data aggregation" in 45 CFR Section 164.501.
- f. "Health Care Operations" shall have the same meaning as the term "health care operations" in 45 CFR Section 164.501.
- g. "HITECH Act" means the Health Information Technology for Economic and Clinical Health Act, Title XIII, Subtitle D, Part 1 & 2 of the American Recovery and Reinvestment Act of 2009.
- h. "HIPAA" means the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 and the Standards for Privacy and Security of Individually Identifiable Health Information, 45 CFR Parts 160, 162 and 164 and amendments thereto.
- i. "Individual" shall have the same meaning as the term "individual" in 45 CFR Section 160.103 and shall include a person who qualifies as a personal representative in accordance with 45 CFR Section 164.501(g).
- j. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Parts 160 and 164, promulgated under HIPAA by the United States Department of Health and Human Services.
- k. "Protected Health Information" shall have the same meaning as the term "protected health information" in 45 CFR Section 160.103, limited to the information created or received by Business Associate from or on behalf of Covered Entity.

  
6/4/14



Exhibit I

- l. "Required by Law" shall have the same meaning as the term "required by law" in 45 CFR Section 164.103.
- m. "Secretary" shall mean the Secretary of the Department of Health and Human Services or his/her designee.
- n. "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 CFR Part 164, Subpart C, and amendments thereto.
- o. "Unsecured Protected Health Information" means protected health information that is not secured by a technology standard that renders protected health information unusable, unreadable, or indecipherable to unauthorized individuals and is developed or endorsed by a standards developing organization that is accredited by the American National Standards Institute.
- p. Other Definitions - All terms not otherwise defined herein shall have the meaning established under 45 C.F.R. Parts 160, 162 and 164, as amended from time to time, and the HITECH Act.

(2) Business Associate Use and Disclosure of Protected Health Information.

- a. Business Associate shall not use, disclose, maintain or transmit Protected Health Information (PHI) except as reasonably necessary to provide the services outlined under Exhibit A of the Agreement. Further, Business Associate, including but not limited to all its directors, officers, employees and agents, shall not use, disclose, maintain or transmit PHI in any manner that would constitute a violation of the Privacy and Security Rule.
- b. Business Associate may use or disclose PHI:
  - i. For the proper management and administration of the Business Associate;
  - ii. As required by law, pursuant to the terms set forth in paragraph d. below; or
  - iii. For data aggregation purposes for the health care operations of Covered Entity.
- c. To the extent Business Associate is permitted under the Agreement to disclose PHI to a third party, Business Associate must obtain, prior to making any such disclosure, (i) reasonable assurances from the third party that such PHI will be held confidentially and used or further disclosed only as required by law or for the purpose for which it was disclosed to the third party; and (ii) an agreement from such third party to notify Business Associate, in accordance with the HIPAA Privacy, Security, and Breach Notification Rules of any breaches of the confidentiality of the PHI, to the extent it has obtained knowledge of such breach.
- d. The Business Associate shall not, unless such disclosure is reasonably necessary to provide services under Exhibit A of the Agreement, disclose any PHI in response to a request for disclosure on the basis that it is required by law, without first notifying Covered Entity so that Covered Entity has an opportunity to object to the disclosure and to seek appropriate relief. If Covered Entity objects to such disclosure, the Business



Exhibit I

Associate shall refrain from disclosing the PHI until Covered Entity has exhausted all remedies.

- e. If the Covered Entity notifies the Business Associate that Covered Entity has agreed to be bound by additional restrictions over and above those uses or disclosures or security safeguards of PHI pursuant to the Privacy and Security Rule, the Business Associate shall be bound by such additional restrictions and shall not disclose PHI in violation of such additional restrictions and shall abide by any additional security safeguards.

**(3) Obligations and Activities of Business Associate.**

- a. The Business Associate shall notify the Covered Entity's Privacy Officer immediately after the Business Associate becomes aware of any use or disclosure of protected health information not provided for by the Agreement including breaches of unsecured protected health information and/or any security incident that may have an impact on the protected health information of the Covered Entity.
- b. The Business Associate shall immediately perform a risk assessment when it becomes aware of any of the above situations. The risk assessment shall include, but not be limited to:
  - o The nature and extent of the protected health information involved, including the types of identifiers and the likelihood of re-identification;
  - o The unauthorized person used the protected health information or to whom the disclosure was made;
  - o Whether the protected health information was actually acquired or viewed
  - o The extent to which the risk to the protected health information has been mitigated.

The Business Associate shall complete the risk assessment within 48 hours of the breach and immediately report the findings of the risk assessment in writing to the Covered Entity.

- c. The Business Associate shall comply with all sections of the Privacy, Security, and Breach Notification Rule.
- d. Business Associate shall make available all of its internal policies and procedures, books and records relating to the use and disclosure of PHI received from, or created or received by the Business Associate on behalf of Covered Entity to the Secretary for purposes of determining Covered Entity's compliance with HIPAA and the Privacy and Security Rule.
- e. Business Associate shall require all of its business associates that receive, use or have access to PHI under the Agreement, to agree in writing to adhere to the same restrictions and conditions on the use and disclosure of PHI contained herein, including the duty to return or destroy the PHI as provided under Section 3 (l). The Covered Entity shall be considered a direct third party beneficiary of the Contractor's business associate agreements with Contractor's intended business associates, who will be receiving PHI



Exhibit I

pursuant to this Agreement, with rights of enforcement and indemnification from such business associates who shall be governed by standard Paragraph #13 of the standard contract provisions (P-37) of this Agreement for the purpose of use and disclosure of protected health information.

- f. Within five (5) business days of receipt of a written request from Covered Entity, Business Associate shall make available during normal business hours at its offices all records, books, agreements, policies and procedures relating to the use and disclosure of PHI to the Covered Entity, for purposes of enabling Covered Entity to determine Business Associate's compliance with the terms of the Agreement.
- g. Within ten (10) business days of receiving a written request from Covered Entity, Business Associate shall provide access to PHI in a Designated Record Set to the Covered Entity, or as directed by Covered Entity, to an individual in order to meet the requirements under 45 CFR Section 164.524.
- h. Within ten (10) business days of receiving a written request from Covered Entity for an amendment of PHI or a record about an individual contained in a Designated Record Set, the Business Associate shall make such PHI available to Covered Entity for amendment and incorporate any such amendment to enable Covered Entity to fulfill its obligations under 45 CFR Section 164.526.
- i. Business Associate shall document such disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR Section 164.528.
- j. Within ten (10) business days of receiving a written request from Covered Entity for a request for an accounting of disclosures of PHI, Business Associate shall make available to Covered Entity such information as Covered Entity may require to fulfill its obligations to provide an accounting of disclosures with respect to PHI in accordance with 45 CFR Section 164.528.
- k. In the event any individual requests access to, amendment of, or accounting of PHI directly from the Business Associate, the Business Associate shall within two (2) business days forward such request to Covered Entity. Covered Entity shall have the responsibility of responding to forwarded requests. However, if forwarding the individual's request to Covered Entity would cause Covered Entity or the Business Associate to violate HIPAA and the Privacy and Security Rule, the Business Associate shall instead respond to the individual's request as required by such law and notify Covered Entity of such response as soon as practicable.
- l. Within ten (10) business days of termination of the Agreement, for any reason, the Business Associate shall return or destroy, as specified by Covered Entity, all PHI received from, or created or received by the Business Associate in connection with the Agreement, and shall not retain any copies or back-up tapes of such PHI. If return or destruction is not feasible, or the disposition of the PHI has been otherwise agreed to in the Agreement, Business Associate shall continue to extend the protections of the Agreement, to such PHI and limit further uses and disclosures of such PHI to those purposes that make the return or destruction infeasible, for so long as Business

3/2014

Contractor Initials

Date: 6/4/18



Exhibit I

Associate maintains such PHI. If Covered Entity, in its sole discretion, requires that the Business Associate destroy any or all PHI, the Business Associate shall certify to Covered Entity that the PHI has been destroyed.

(4) Obligations of Covered Entity

- a. Covered Entity shall notify Business Associate of any changes or limitation(s) in its Notice of Privacy Practices provided to individuals in accordance with 45 CFR Section 164.520, to the extent that such change or limitation may affect Business Associate's use or disclosure of PHI.
- b. Covered Entity shall promptly notify Business Associate of any changes in, or revocation of permission provided to Covered Entity by individuals whose PHI may be used or disclosed by Business Associate under this Agreement, pursuant to 45 CFR Section 164.508 or 45 CFR Section 164.508.
- c. Covered entity shall promptly notify Business Associate of any restrictions on the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 CFR 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.

(5) Termination for Cause

In addition to Paragraph 10 of the standard terms and conditions (P-37) of this Agreement the Covered Entity may immediately terminate the Agreement upon Covered Entity's knowledge of a breach by Business Associate of the Business Associate Agreement set forth herein as Exhibit I. The Covered Entity may either immediately terminate the Agreement or provide an opportunity for Business Associate to cure the alleged breach within a timeframe specified by Covered Entity. If Covered Entity determines that neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.

(6) Miscellaneous

- a. Definitions and Regulatory References. All terms used, but not otherwise defined herein, shall have the same meaning as those terms in the Privacy and Security Rule, amended from time to time. A reference in the Agreement, as amended to include this Exhibit I, to a Section in the Privacy and Security Rule means the Section as in effect or as amended.
- b. Amendment. Covered Entity and Business Associate agree to take such action as is necessary to amend the Agreement, from time to time as is necessary for Covered Entity to comply with the changes in the requirements of HIPAA, the Privacy and Security Rule, and applicable federal and state law.
- c. Data Ownership. The Business Associate acknowledges that it has no ownership rights with respect to the PHI provided by or created on behalf of Covered Entity.
- d. Interpretation. The parties agree that any ambiguity in the Agreement shall be resolved to permit Covered Entity to comply with HIPAA, the Privacy and Security Rule.

3/2014

Contractor Initials

Date

  
6/4/18



Exhibit I

- e. Segregation. If any term or condition of this Exhibit I or the application thereof to any person(s) or circumstance is held invalid, such invalidity shall not affect other terms or conditions which can be given effect without the invalid term or condition; to this end the terms and conditions of this Exhibit I are declared severable.
- f. Survival. Provisions in this Exhibit I regarding the use and disclosure of PHI, return or destruction of PHI, extensions of the protections of the Agreement in section (3) I, the defense and indemnification provisions of section (3) e and Paragraph 13 of the standard terms and conditions (P-37), shall survive the termination of the Agreement.

IN WITNESS WHEREOF, the parties hereto have duly executed this Exhibit I.

Department of Health and Human Services  
 The State  
 \_\_\_\_\_  
 Signature of Authorized Representative  
 \_\_\_\_\_  
 Name of Authorized Representative  
 \_\_\_\_\_  
 Title of Authorized Representative  
 \_\_\_\_\_  
 Date

Greater Nashua Council on Alcoholism  
 Name of the Contractor  
 \_\_\_\_\_  
 Signature of Authorized Representative  
 \_\_\_\_\_  
 Name of Authorized Representative  
 \_\_\_\_\_  
 Title of Authorized Representative  
 \_\_\_\_\_  
 Date

Contractor Initials PK  
 Date 6/4/18



**CERTIFICATION REGARDING THE FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY  
ACT (FFATA) COMPLIANCE**

The Federal Funding Accountability and Transparency Act (FFATA) requires prime awardees of individual Federal grants equal to or greater than \$25,000 and awarded on or after October 1, 2010, to report on data related to executive compensation and associated first-tier sub-grants of \$25,000 or more. If the initial award is below \$25,000 but subsequent grant modifications result in a total award equal to or over \$25,000, the award is subject to the FFATA reporting requirements, as of the date of the award.

In accordance with 2 CFR Part 170 (Reporting Subaward and Executive Compensation Information), the Department of Health and Human Services (DHHS) must report the following information for any subaward or contract award subject to the FFATA reporting requirements:

1. Name of entity
2. Amount of award
3. Funding agency
4. NAICS code for contracts / CFDA program number for grants
5. Program source
6. Award title descriptive of the purpose of the funding action
7. Location of the entity
8. Principle place of performance
9. Unique identifier of the entity (DUNS #)
10. Total compensation and names of the top five executives if:
  - 10.1. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25M annually and
  - 10.2. Compensation information is not already available through reporting to the SEC.

Prime grant recipients must submit FFATA required data by the end of the month, plus 30 days, in which the award or award amendment is made.

The Contractor identified in Section 1.3 of the General Provisions agrees to comply with the provisions of The Federal Funding Accountability and Transparency Act, Public Law 109-282 and Public Law 110-252, and 2 CFR Part 170 (Reporting Subaward and Executive Compensation Information), and further agrees to have the Contractor's representative, as identified in Sections 1.11 and 1.12 of the General Provisions execute the following Certification:

The below named Contractor agrees to provide needed information as outlined above to the NH Department of Health and Human Services and to comply with all applicable provisions of the Federal Financial Accountability and Transparency Act.

Contractor Name: Greater Nashua Council on Alcoholism

6/4/18  
Date

  
Name: Peter R. Heiser  
Title: President & CEO

Contractor Initials: PH  
Date: 6/4/18



New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



A. Definitions

The following terms may be reflected and have the described meaning in this document:

1. "Breach" means the loss of control, compromise, unauthorized disclosure, unauthorized acquisition, unauthorized access, or any similar term referring to situations where persons other than authorized users and for an other than authorized purpose have access or potential access to personally identifiable information, whether physical or electronic. With regard to Protected Health Information, "Breach" shall have the same meaning as the term "Breach" in section 164.402 of Title 45, Code of Federal Regulations.
2. "Computer Security Incident" shall have the same meaning "Computer Security Incident" in section two.(2) of NIST Publication 800-61, Computer Security Incident Handling Guide, National Institute of Standards and Technology, U.S. Department of Commerce.
3. "Confidential Information" or "Confidential Data" means all confidential information disclosed by one party to the other such as all medical, health, financial, public assistance benefits and personal information including without limitation, Substance Abuse Treatment Records, Case Records, Protected Health Information and Personally Identifiable Information.

Confidential information also includes any and all information owned or managed by the State of NH - created, received from or on behalf of the Department of Health and Human Services (DHHS) or accessed in the course of performing contracted services - of which collection, disclosure, protection, and disposition is governed by state or federal law or regulation. This information includes, but is not limited to Protected Health Information (PHI), Personal Information (PI), Personal Financial Information (PFI), Federal Tax Information (FTI), Social Security Numbers (SSN), Payment Card Industry (PCI), and or other sensitive and confidential information.

4. "End User" means any person or entity (e.g., contractor, contractor's employee, business associate, subcontractor, other downstream user, etc.) that receives DHHS data or derivative data in accordance with the terms of this Contract.
5. "HIPAA" means the Health Insurance Portability and Accountability Act of 1996 and the regulations promulgated thereunder.
6. "Incident" means an act that potentially violates an explicit or implied security policy, which includes attempts (either failed or successful) to gain unauthorized access to a system or its data, unwanted disruption or denial of service, the unauthorized use of a system for the processing or storage of data; and changes to system hardware, firmware, or software characteristics without the owner's knowledge, instruction, or consent. Incidents include the loss of data through theft or device misplacement, loss or misplacement of hardcopy documents, and misrouting of physical or electronic

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



mail, all of which may have the potential to put the data at risk of unauthorized access, use, disclosure, modification or destruction.

7. "Open Wireless Network" means any network or segment of a network that is not designated by the State of New Hampshire's Department of Information Technology or delegate as a protected network (designed, tested, and approved, by means of the State, to transmit) will be considered an open network and not adequately secure for the transmission of unencrypted PI, PFI, PHI or confidential DHHS data.
8. "Personal Information" (or "PI") means information which can be used to distinguish or trace an individual's identity, such as their name, social security number, personal information as defined in New Hampshire RSA 359-C:19, biometric records, etc., alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc.
9. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. Parts 160 and 164, promulgated under HIPAA by the United States Department of Health and Human Services.
10. "Protected Health Information" (or "PHI") has the same meaning as provided in the definition of "Protected Health Information" in the HIPAA Privacy Rule at 45 C.F.R. § 160.103.
11. "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. Part 164, Subpart C, and amendments thereto.
12. "Unsecured Protected Health Information" means Protected Health Information that is not secured by a technology standard that renders Protected Health Information unusable, unreadable, or indecipherable to unauthorized individuals and is developed or endorsed by a standards developing organization that is accredited by the American National Standards Institute.

**I. RESPONSIBILITIES OF DHHS AND THE CONTRACTOR**

**A. Business Use and Disclosure of Confidential Information.**

1. The Contractor must not use, disclose, maintain or transmit Confidential Information except as reasonably necessary as outlined under this Contract. Further, Contractor, including but not limited to all its directors, officers, employees and agents, must not use, disclose, maintain or transmit PHI in any manner that would constitute a violation of the Privacy and Security Rule.
2. The Contractor must not disclose any Confidential Information in response to/a

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



request for disclosure on the basis that it is required by law, in response to a subpoena, etc., without first notifying DHHS so that DHHS has an opportunity to consent or object to the disclosure.

3. If DHHS notifies the Contractor that DHHS has agreed to be bound by additional restrictions over and above those uses or disclosures or security safeguards of PHI pursuant to the Privacy and Security Rule, the Contractor must be bound by such additional restrictions and must not disclose PHI in violation of such additional restrictions and must abide by any additional security safeguards.
4. The Contractor agrees that DHHS Data or derivative there from disclosed to an End User must only be used pursuant to the terms of this Contract.
5. The Contractor agrees DHHS Data obtained under this Contract may not be used for any other purposes that are not indicated in this Contract.
6. The Contractor agrees to grant access to the data to the authorized representatives of DHHS for the purpose of inspecting to confirm compliance with the terms of this Contract.

II. METHODS OF SECURE TRANSMISSION OF DATA

1. Application Encryption. If End User is transmitting DHHS data containing Confidential Data between applications, the Contractor attests the applications have been evaluated by an expert knowledgeable in cyber security and that said application's encryption capabilities ensure secure transmission via the internet.
2. Computer Disks and Portable Storage Devices. End User may not use computer disks or portable storage devices, such as a thumb drive, as a method of transmitting DHHS data.
3. Encrypted Email. End User may only employ email to transmit Confidential Data if email is encrypted and being sent to and being received by email addresses of persons authorized to receive such information.
4. Encrypted Web Site. If End User is employing the Web to transmit Confidential Data, the secure socket layers (SSL) must be used and the web site must be secure. SSL encrypts data transmitted via a Web site.
5. File Hosting Services, also known as File Sharing Sites. End User may not use file hosting services, such as Dropbox or Google Cloud Storage, to transmit Confidential Data.
6. Ground Mail Service. End User may only transmit Confidential Data via *certified* ground mail within the continental U.S. and when sent to a named individual.
7. Laptops and PDA. If End User is employing portable devices to transmit Confidential Data said devices must be encrypted and password-protected.
8. Open Wireless Networks. End User may not transmit Confidential Data via an open

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



wireless network. End User must employ a virtual private network (VPN) when remotely transmitting via an open wireless network.

9. Remote User Communication. If End User is employing remote communication to access or transmit Confidential Data, a virtual private network (VPN) must be installed on the End User's mobile device(s) or laptop from which information will be transmitted or accessed.
10. SSH File Transfer Protocol (SFTP), also known as Secure File Transfer Protocol. If End User is employing an SFTP to transmit Confidential Data, End User will structure the Folder and access privileges to prevent inappropriate disclosure of information. SFTP folders and sub-folders used for transmitting Confidential Data will be coded for 24-hour auto-deletion cycle (i.e. Confidential Data will be deleted every 24 hours).
11. Wireless Devices. If End User is transmitting Confidential Data via wireless devices, all data must be encrypted to prevent inappropriate disclosure of information.

**III. RETENTION AND DISPOSITION OF IDENTIFIABLE RECORDS**

The Contractor will only retain the data and any derivative of the data for the duration of this Contract. After such time, the Contractor will have 30 days to destroy the data and any derivative in whatever form it may exist, unless, otherwise required by law or permitted under this Contract. To this end, the parties must:

**A. Retention**

1. The Contractor agrees it will not store, transfer or process data collected in connection with the services rendered under this Contract outside of the United States. This physical location requirement shall also apply in the implementation of cloud computing, cloud service or cloud storage capabilities, and includes backup data and Disaster Recovery locations.
2. The Contractor agrees to ensure proper security monitoring capabilities are in place to detect potential security events that can impact State of NH systems and/or Department confidential information for contractor provided systems.
3. The Contractor agrees to provide security awareness and education for its End Users in support of protecting Department confidential information.
4. The Contractor agrees to retain all electronic and hard copies of Confidential Data in a secure location and identified in section IV. A.2
5. The Contractor agrees Confidential Data stored in a Cloud must be in a FedRAMP/HITECH compliant solution and comply with all applicable statutes and regulations regarding the privacy and security. All servers and devices must have currently-supported and hardened operating systems, the latest anti-viral, anti-hacker, anti-spam, anti-spyware, and anti-malware utilities. The environment, as a

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



whole, must have aggressive intrusion-detection and firewall protection.

6. The Contractor agrees to and ensures its complete cooperation with the State's Chief Information Officer in the detection of any security vulnerability of the hosting infrastructure.

B. Disposition

1. If the Contractor will maintain any Confidential Information on its systems (or its sub-contractor systems), the Contractor will maintain a documented process for securely disposing of such data upon request or contract termination; and will obtain written certification for any State of New Hampshire data destroyed by the Contractor or any subcontractors as a part of ongoing, emergency, and or disaster recovery operations. When no longer in use, electronic media containing State of New Hampshire data shall be rendered unrecoverable via a secure wipe program in accordance with industry-accepted standards for secure deletion and media sanitization, or otherwise physically destroying the media (for example, degaussing) as described in NIST Special Publication 800-88, Rev 1, Guidelines for Media Sanitization, National Institute of Standards and Technology, U. S. Department of Commerce. The Contractor will document and certify in writing at time of the data destruction, and will provide written certification to the Department upon request. The written certification will include all details necessary to demonstrate data has been properly destroyed and validated. Where applicable, regulatory and professional standards for retention requirements will be jointly evaluated by the State and Contractor prior to destruction.
2. Unless otherwise specified, within thirty (30) days of the termination of this Contract, Contractor agrees to destroy all hard copies of Confidential Data using a secure method such as shredding.
3. Unless otherwise specified, within thirty (30) days of the termination of this Contract, Contractor agrees to completely destroy all electronic Confidential Data by means of data erasure, also known as secure data wiping.

IV. PROCEDURES FOR SECURITY

- A. Contractor agrees to safeguard the DHHS Data received under this Contract, and any derivative data or files, as follows:
  1. The Contractor will maintain proper security controls to protect Department confidential information collected, processed, managed, and/or stored in the delivery of contracted services.
  2. The Contractor will maintain policies and procedures to protect Department confidential information throughout the information lifecycle, where applicable, (from creation, transformation, use, storage and secure destruction) regardless of the media used to store the data (i.e., tape, disk, paper, etc.).

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



3. The Contractor will maintain appropriate authentication and access controls to contractor systems that collect, transmit, or store Department confidential information where applicable.
4. The Contractor will ensure proper security monitoring capabilities are in place to detect potential security events that can impact State of NH systems and/or Department confidential information for contractor provided systems.
5. The Contractor will provide regular security awareness and education for its End Users in support of protecting Department confidential information.
6. If the Contractor will be sub-contracting any core functions of the engagement supporting the services for State of New Hampshire, the Contractor will maintain a program of an internal process or processes that defines specific security expectations, and monitoring compliance to security requirements that at a minimum match those for the Contractor, including breach notification requirements.
7. The Contractor will work with the Department to sign and comply with all applicable State of New Hampshire and Department system access and authorization policies and procedures, systems access forms, and computer use agreements as part of obtaining and maintaining access to any Department system(s). Agreements will be completed and signed by the Contractor and any applicable sub-contractors prior to system access being authorized.
8. If the Department determines the Contractor is a Business Associate pursuant to 45 CFR 160.103, the Contractor will execute a HIPAA Business Associate Agreement (BAA) with the Department and is responsible for maintaining compliance with the agreement.
9. The Contractor will work with the Department at its request to complete a System Management Survey. The purpose of the survey is to enable the Department and Contractor to monitor for any changes in risks, threats, and vulnerabilities that may occur over the life of the Contractor engagement. The survey will be completed annually, or an alternate time frame at the Departments discretion with agreement by the Contractor, or the Department may request the survey be completed when the scope of the engagement between the Department and the Contractor changes.
10. The Contractor will not store, knowingly or unknowingly, any State of New Hampshire or Department data offshore or outside the boundaries of the United States unless prior express written consent is obtained from the Information Security Office leadership member within the Department.
11. Data Security Breach Liability. In the event of any security breach Contractor shall make efforts to investigate the causes of the breach, promptly take measures to prevent future breach and minimize any damage or loss resulting from the breach. The State shall recover from the Contractor all costs of response and recovery from

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



the breach, including but not limited to: credit monitoring services, mailing costs and costs associated with website and telephone call center services necessary due to the breach.

12. Contractor must, comply with all applicable statutes and regulations regarding the privacy and security of Confidential Information, and must in all other respects maintain the privacy and security of PI and PHI at a level and scope that is not less than the level and scope of requirements applicable to federal agencies, including, but not limited to, provisions of the Privacy Act of 1974 (5 U.S.C. § 552a), DHHS Privacy Act Regulations (45 C.F.R. §5b), HIPAA Privacy and Security Rules (45 C.F.R. Parts 160 and 164) that govern protections for individually identifiable health information and as applicable under State law.
13. Contractor agrees to establish and maintain appropriate administrative, technical, and physical safeguards to protect the confidentiality of the Confidential Data and to prevent unauthorized use or access to it. The safeguards must provide a level and scope of security that is not less than the level and scope of security requirements established by the State of New Hampshire, Department of Information Technology. Refer to Vendor Resources/Procurement at <https://www.nh.gov/doi/vendor/index.htm> for the Department of Information Technology policies, guidelines, standards, and procurement information relating to vendors.
14. Contractor agrees to maintain a documented breach notification and incident response process. The Contractor will notify the State's Privacy Officer, and additional email addresses provided in this section, of any security breach within two (2) hours of the time that the Contractor learns of its occurrence. This includes a confidential information breach, computer security incident, or suspected breach which affects or includes any State of New Hampshire systems that connect to the State of New Hampshire network.
15. Contractor must restrict access to the Confidential Data obtained under this Contract to only those authorized End Users who need such DHHS Data to perform their official duties in connection with purposes identified in this Contract.
16. The Contractor must ensure that all End Users:
  - a. comply with such safeguards as referenced in Section IV A. above, implemented to protect Confidential Information that is furnished by DHHS under this Contract from loss, theft or inadvertent disclosure.
  - b. safeguard this information at all times.
  - c. ensure that laptops and other electronic devices/media containing PHI, PI, or PFI are encrypted and password-protected.
  - d. send emails containing Confidential Information only if encrypted and being sent to and being received by email addresses of persons authorized to receive such information.

A handwritten signature in black ink, appearing to be "JW", written over a horizontal line.

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



- e. limit disclosure of the Confidential Information to the extent permitted by law.
- f. Confidential Information received under this Contract and individually identifiable data derived from DHHS Data, must be stored in an area that is physically and technologically secure from access by unauthorized persons during duty hours as well as non-duty hours (e.g., door locks, card keys, biometric identifiers, etc.).
- g. only authorized End Users may transmit the Confidential Data, including any derivative files containing personally identifiable information, and in all cases, such data must be encrypted at all times when in transit, at rest, or when stored on portable media as required in section IV above.
- h. In all other instances Confidential Data must be maintained, used and disclosed using appropriate safeguards, as determined by a risk-based assessment of the circumstances involved.
- i. understand that their user credentials (user name and password) must not be shared with anyone. End Users will keep their credential information secure. This applies to credentials used to access the site directly or indirectly through a third party application.

Contractor is responsible for oversight and compliance of their End Users. DHHS reserves the right to conduct onsite inspections to monitor compliance with this Contract, including the privacy and security requirements provided in herein, HIPAA, and other applicable laws and Federal regulations until such time the Confidential Data is disposed of in accordance with this Contract.

**V. LOSS REPORTING**

The Contractor must notify the State's Privacy Officer, Information Security Office and Program Manager of any Security Incidents and Breaches within two (2) hours of the time that the Contractor learns of their occurrence.

The Contractor must further handle and report Incidents and Breaches involving PHI in accordance with the agency's documented Incident Handling and Breach Notification procedures and in accordance with 42 C.F.R. §§ 431.300 - 306. In addition to, and notwithstanding, Contractor's compliance with all applicable obligations and procedures, Contractor's procedures must also address how the Contractor will:

1. Identify Incidents;
2. Determine if personally identifiable information is involved in Incidents;
3. Report suspected or confirmed Incidents as required in this Exhibit or P-37;
4. Identify and convene a core response group to determine the risk level of Incidents and determine risk-based responses to Incidents; and

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



5. Determine whether Breach notification is required, and, if so, identify appropriate Breach notification methods, timing, source, and contents from among different options, and bear costs associated with the Breach notice as well as any mitigation measures.

Incidents and/or Breaches that implicate PI must be addressed and reported, as applicable, in accordance with NH RSA 359-C:20.

**VI. PERSONS TO CONTACT**

- A. DHHS contact for Data Management or Data Exchange Issues:

DHHSInformationSecurityOffice@dhhs.nh.gov

- B. DHHS contacts for Privacy Issues:

DHHSPrivacyOfficer@dhhs.nh.gov

- C. DHHS contact for Information Security issues:

DHHSInformationSecurityOffice@dhhs.nh.gov

- D. DHHS contact for Breach notifications:

DHHSInformationSecurityOffice@dhhs.nh.gov

DHHSPrivacy.Officer@dhhs.nh.gov

A handwritten signature in black ink, appearing to be "JW".

6/4/18